

On the feasibility of indoor broadband secondary access to 960-1215 MHz aeronautical spectrum

Evanny Obregon, Ki Won Sung, and Jens Zander
KTH Royal Institute of Technology, Wireless@KTH, Stockholm, Sweden
E-mails: ecog@kth.se, sungkw@kth.se, jenz@kth.se

Abstract—In this paper, we analyze the feasibility of indoor broadband service provisioning using secondary spectrum access to the 960-1215 MHz band, primarily allocated to the distance measuring equipment (DME) system for aeronautical navigation. We propose a practical secondary sharing scheme customized to the characteristics of the DME. Since the primary system performs a safety-of-life functionality, protection from harmful interference becomes extremely critical. The proposed scheme controls aggregate interference by imposing an individual interference threshold on the secondary users. We examine the feasibility of large scale secondary access in terms of the transmission probability of the secondary users that keeps the probability of harmful interference below a given limit. Uncertainties in the estimation of propagation loss and DME location affect the feasibility of the secondary access. Numerical results show that a large number of secondary users are able to operate in adjacent DME channels without harming the primary system even with limited accuracy on the estimation of the propagation loss.

I. INTRODUCTION

The demand for higher data rates in the growing wireless services has made the need for more spectrum evident. This has led to an apparent shortage of the available spectrum. It is generally believed that the spectrum shortage is caused by inefficient spectrum utilization under the existing regulatory and licensing process that only allows static spectrum allocation. Secondary spectrum access has emerged as a promising solution to relieve the apparent spectrum shortage [1], [2]. Secondary access allows secondary users to dynamically access white spaces or unused portions of spectrum licensed to a primary system under non-interfering basis [3].

In spite of extensive theoretical research in the field of cognitive radio and dynamic spectrum access [4], [5], the practical value of the secondary access has not been fully investigated. Most of the efforts to assess the real-life benefit of the secondary spectrum have thus mainly focused on the digital TV broadcasting bands, namely TV white spaces. Quantification of the usable TV white spaces in the UK, the USA and Europe has been investigated in [6]–[8], respectively. In [9], [10], spectrum reuse opportunities for ‘WiFi-like’ secondary system were analyzed considering adjacent channel interference constraints at the TV receivers. Although a substantial portion of the useful spectrum is primarily allocated to various systems such as radar and aeronautical navigation, the feasibility of secondary access to these frequency bands is mostly unexplored [1].

This work focuses on the 960-1215 MHz band which is allocated to aeronautical systems. In particular, this frequency band is mainly occupied by distance measuring equipment (DME). Since the DME system performs a safety-of-life functionality [11], protection from harmful interference becomes extremely critical. Due to the high sensitivity of DME receivers, aggregate interference should be controlled over a large area, which is the major challenge for secondary access to this spectrum.

A. Related Work

Little effort has been devoted to assessing the feasibility of large scale secondary usage in the radar and aeronautical bands. As for the secondary sharing with radar, initial feasibility results for 3GPP LTE usage of 2.7-2.9 GHz radar spectrum are presented in [12], where the analysis is based on a single secondary interferer. In [13], the authors assessed the opportunities for secondary access in 5.6 GHz primarily allocated to the meteorological radars. It is reported in [14], [15] that a predictable rotation pattern can further enhance the opportunities for the secondary users.

Compared to a handful of existing work on the radar spectrum, even fewer results are found in literature for the secondary access to aeronautical spectrum. A notable exception is our previous work which first studied the 960-1215 MHz band [16]. As a first step, we investigated the minimum requirements for the secondary users under the ideal assumption that the secondary users have accurate knowledge of propagation loss to the DME receivers. We observed that the secondary usage would be widely available under this particular assumption. However, it is obvious that the requirements to the secondary users will become more stringent if there are uncertainties in the propagation information. In practice, it is difficult to have perfect knowledge of the propagation to the DME system. Thus, it is needed to study the feasibility of secondary access under practical assumptions.

B. Contribution of this work

In this paper, we investigate the feasibility of secondary spectrum sharing with the DME system. To our best knowledge, it is the first attempt to examine the practical usefulness of 960-1215 MHz with regard to the secondary access. Our contribution can be detailed as follows. First, we propose practical methods by which the secondary users discover opportunities and share the spectrum. They are customized to

the characteristics of the primary user, i.e. DME receivers, and based on geo-location database and spectrum sensing. Second, we identify the major sources of uncertainties that cause inaccurate estimation of propagation loss to the DME, and analyze the impact of the uncertainties by employing mathematical aggregate interference models in [17], [18].

We consider massive deployment of secondary users that provides high-speed indoor broadband, e.g. WiFi and HeNB. Such a large scale secondary access is deemed *feasible* if the practical sharing methods enable the secondary users to maintain an acceptable transmission probability. Since our analysis accompanies the uncertainties in the propagation loss estimation, we focus on the following research questions:

- Is the massive secondary access feasible in 960-1215 MHz band?
- What is the impact of the uncertainties on the feasibility of secondary access?

The rest of the paper is organized as follows: the system model, primary and secondary systems characteristics are described in Section II. The proposed secondary access scheme and the mathematical models of the aggregate interference for ground transponder and airborne interrogator are introduced in Section III and in Section IV, respectively. In Section V, we present and discuss our numerical results. Finally, the main conclusions of this work and remaining issues for future studies are given in Section VI.

II. SYSTEM MODEL

A. DME as the primary system

DME is used for measuring the distance between an aircraft and a ground station. The airborne equipment (interrogator) sends short Gaussian pulses down to earth, and the ground station (transponder) responds on a frequency of ± 63 MHz from the interrogation frequency. The interrogator can calculate the slant distance based on the round trip delay of the signal. The pulses are burst more than 100 times per second by the interrogator and 2500 times by the transponder. Their transmission power reach up to 300 W for the interrogator and up to 2 kW for the transponder. The channel bandwidth of DME is 1 MHz, i.e. there are 252 channels in total. A more detailed description of DME can be found in [16] and references therein.

We consider that the DME receiver can tolerate a maximum interference power of A_{thr} , which corresponds to -119 dBm/MHz and -111 dBm/MHz for the transponder and interrogator, respectively [16]. The received interference is considered harmful if it exceeds A_{thr} . The aggregate interference (I_a) is regulated as follows:

$$\Pr[I_a > A_{thr}] \leq \beta_{PU} \quad (1)$$

where β_{PU} is the maximum permissible probability of harmful interference at the primary receiver. The nature of DME operation requires β_{PU} to be extremely small. A reasonable range of β_{PU} has not been discussed well in the literature. We adopt a value used for air traffic control (ATC) radar in

2.7-2.9 GHz, i.e. $\beta_{PU} = 0.001\%$ [12], which also performs a safety service. Notice that the value of β_{PU} has been set mainly based on the type of service rather than the operating frequency. Then, the frequency offset between the DME and ATC radar bands should not affect the value of β_{PU} .

The interference from the DME device to the secondary receiver is, on the contrary, negligible, since the DME generates only short pulses. Although the DME pair exchanges the pulses frequently, the overall channel utilization remains below 1%. Secondary receivers might be saturated if they receive excessively strong DME pulses. Let I_{sat} be the saturation point of the secondary receiver. Then, the following condition should be satisfied:

$$\Pr[I_{PU} > I_{sat}] \leq \beta_{SU} \quad (2)$$

where β_{SU} is the maximum saturation probability and I_{PU} is the received primary pulse power. We adopt a value of $\beta_{SU} = 2\%$ and $I_{sat} = -30$ dBm which is a typical saturation level of low noise amplifier (LNA) in WiFi receivers [19]. With the adopted values for A_{thr} , a simple link budget analysis indicates that (1) is the limiting constraint even before taking the effect of multiple secondary users into account. Therefore, we will focus on the protection of the primary user in the remainder of the paper.

B. Indoor Broadband as secondary system

Let us consider massive scale deployment of indoor access points and mobiles for high capacity broadband services over a large area. They utilize the spectrum allocated to the DME under the principle of spectrum interweave [20]. In our evaluation, the feasibility of secondary usage depends only on the aggregate interference from the secondary system to the primary victim since the interference from the primary system to secondary system was found to be negligible. Therefore, we investigate a wide range of secondary user density which directly impacts the amount of aggregate interference towards the primary system.

Notice that the DME system does not have a predefined rotating pattern, like the case of rotating radar, which could be employed to further exploit sharing opportunities in the time domain. Therefore, sharing opportunities in the DME band are time-invariant but location-dependent. Based on that, our analysis of the feasibility of large scale secondary access to the DME band will only focus on the spatial domain.

In practical environments, secondary users are deployed according to a heterogenous spatial distribution. Typically, there are zones with different user densities in a large geographical area, e.g. cities, suburbs, and farms. Results in [21] support that a homogeneous secondary user distribution can be assumed when there is a large separation distance between the high density zones and the primary receiver which is generally the case for secondary access to the DME spectrum. Then, secondary users are assumed to be spatially distributed according to a homogeneous Poisson point process in a two dimensional plane \mathfrak{R}^2 . The primary receiver is located at the

center of the circular region limited by two radii r_o and R , which are the minimum and maximum distances from the primary receiver, respectively.

Each secondary user decides whether it can access a particular DME channel or not by estimating the interference it will generate to the primary user. Let I_{thr} denote the interference threshold imposed on the individual secondary users. The value of I_{thr} is given to the secondary users by a central spectrum manager. This ensures that each secondary user makes its own decision without interacting with the others. The interference from a secondary user i is given by

$$I_i = \begin{cases} \xi_i, & \text{if } \tilde{\xi}_i \leq I_{thr} \\ 0, & \text{otherwise} \end{cases} \quad (3)$$

where ξ_i is the interference that the primary user would receive if an arbitrary secondary user were to transmit, and $\tilde{\xi}_i$ is the estimate of ξ_i by the secondary user i . Note that $\xi_i = \tilde{\xi}_i$ only when the secondary user has the perfect knowledge of the propagation loss. Considering that there are N secondary users around the primary user, the aggregate interference is

$$I_a = \sum_{i \in N_t} I_i \quad (4)$$

where N_t is the set of transmitting secondary users.

A secondary system with a given user density and transmission power is deemed *feasible* if secondary users at a distance r_i from the primary victim are able to transmit with a minimum transmission probability, $Pr(\tilde{\xi}_i(r_i) \leq I_{thr}) \geq TX_{min}$.

III. SHARING WITH THE GROUND TRANSPONDER

A. Secondary access scheme

The ground transponder is placed at a fixed location and frequently bursts short pulses to the airborne interrogators. Thus, it is possible for the secondary user to detect the existence of the transponder via spectrum sensing. The additional use of a geo-location database enables the secondary users to have prior knowledge about the transponder such as the location, operating frequency, and transmission power. This will significantly improve the performance of the spectrum sensing since the secondary users can have a good expectation about to signal to detect. Given the high transmission power of the ground transponder and the aid of the geo-location database, we assume that the spectrum sensing is reliable enough to ignore missed detection and false alarm. Moreover, the geo-location database can rapidly detect and correct any detection error due to its continuous bidirectional communication with the primary and secondary users.

Fig. 1 depicts the proposed opportunity detection mechanism. Note that the secondary users detect the transponder on the reply (sensing) frequency, while the interference is given on the interrogation (interfering) frequency. In both channels, propagation losses between the DME transponder and the secondary user consist of the distance-based path loss (L) and fading¹ (X and Y). Although it is reasonable to assume that

the secondary users accurately estimate the propagation loss of sensing channel ($S = L + X$), it does not necessarily mean that the estimation of interfering channel ($T = L + Y$) is also accurate. With the frequency offset of 63 MHz between the sensing and interfering channels, the shadowing components are typically highly correlated ($\rho_{shadowing} \approx 1$) [22], while the multi-path fading is uncorrelated ($\rho_{fast} = 0$). Therefore, the correlation between the composite fading components, ρ , lies between $[0, 1]$. The exact value of ρ depends on the characteristics of different propagation environments. Partial correlation between channels does not allow the secondary user to perfectly estimate its interference to the primary victim. Then, an *uncertainty* in the estimation of fading component of the propagation loss between the secondary user and the ground transponder still remains.

For making the proposed sharing scheme possible, the following technical capabilities are required: good sensing capabilities for the secondary users in order to make a good estimation of the propagation path loss, an upgrade of the primary equipment so it measures and reports the values of I_a to the geo-location database, and backhaul connectivity to assure the communication between the different components of the proposed sharing scheme. Notice that the role of the regulatory entity is particularly important for secondary access to the DME band due to its safety-of-life functionality. Thus, we envisage a close collaboration between the regulatory entity and the geo-location database, providing guarantees on the accuracy of the information and the enforcement of the coexistence rules.

B. Aggregate interference modeling

In this section, we model the aggregate interference when there is uncertainty in the fading estimation. Different levels of uncertainty in fading estimation are represented by a correlation coefficient ρ . We adopt the mathematical frameworks proposed in [16]–[18] with a slight modification to account for the proposed spectrum sharing mechanism.

Let us consider an arbitrary secondary user i which is distributed according to a homogeneous Poisson point process in a circular area of radius R . The path loss between the primary receiver and the secondary user i is modeled as $g(r_i) = Cr_i^{-\alpha}$ where C is a constant and α is the path loss exponent. Then, the user i would cause interference ξ_i to the primary receiver if it were to transmit, which can be expressed as

$$\xi_i = P_t^{eff} g(r_i) Y_i \quad (5)$$

where P_t^{eff} refers to the effective transmission power of the secondary user including antenna gains and bandwidth mismatch. Y_i is a random variable modeling the fading effect. It is generally considered that the fading consists of shadow fading following a normal distribution in dB scale and multi-path fading by which the instantaneous power is varied with an exponential distribution. We use the assumption that the composite fading Y_i follows a log-normal distribution. It is

¹Note that the fading here refers to the combined effect of shadowing and multi-path fading

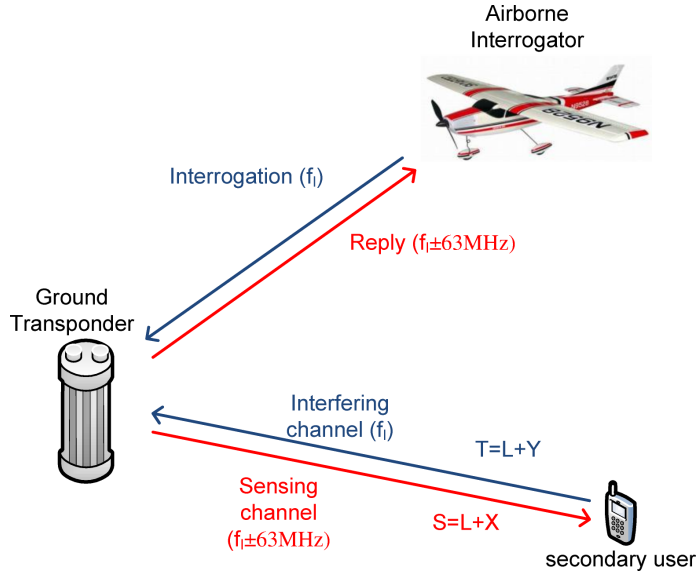


Fig. 1. Uncertainty in secondary sharing scenario with ground transponder as primary victim

known that this assumption works well when the standard deviation of shadowing is higher than 6dB, i.e. when the shadowing is a dominant factor of the composite fading [23]. The user i will decide to transmit if $\tilde{\xi}_i \leq I_{thr}$. Note that $\tilde{\xi}_i$ is affected by the fading on the sensing channel. That is,

$$\tilde{\xi}_i = P_t^{eff} g(r_i) X_i \quad (6)$$

where X_i is modeled as a log-normally distributed random variable whose parameters are the same as Y_i . Therefore, the joint distribution of X_i and Y_i is given by the following bivariate log-normal distribution:

$$f_{X_i, Y_i}(x, y) = \frac{1}{2\pi xy \sigma^2 \sqrt{1 - \rho^2}} \times e^{-\frac{(\ln x)^2 - 2\rho(\ln x)(\ln y) + (\ln y)^2}{2\sigma^2(1 - \rho^2)}} \quad (7)$$

where ρ is the correlation coefficient of X_i and Y_i :

$$\rho = \frac{Cov(\ln X_i, \ln Y_i)}{\sqrt{Var(\ln X_i) Var(\ln Y_i)}}. \quad (8)$$

We consider that the composite fading components, X_i and Y_i , will be partially correlated ($0 < \rho < 1$). The exact value of ρ depends on propagation environments. Note that full correlation ($\rho = 1$) represents an ideal case that the secondary user has an accurate knowledge of interference. On the opposite, zero correlation ($\rho = 0$) stands for a pessimistic assumption that the fading is completely unknown to the secondary user. For simplicity and mathematical tractability, we have adopted the assumption that secondary users in the whole area of study are affected by a homogeneous fading distribution. The feasibility of secondary access under different assumptions, ranging from ideal to pessimistic, will be shown and discussed in Section V.

The aggregate interference I_a can be expressed as:

$$I_a = P_t^{eff} C \underbrace{\sum_{i \in N_t} r_i^{-\alpha} Y_i}_{I_{N_t}}. \quad (9)$$

Hereafter, we omit the index of secondary user i , which is chosen in an arbitrary manner, unless necessary. By applying the Campbell's theorem, the characteristic function of I_{N_t} is as follows:

$$\psi_{I_{N_t}}(j\omega) = \exp\left(-2\pi\lambda \int_X \int_Y \int_{r_o}^R [1 - \exp(j\omega y r^{-\alpha})] \times \mathbf{1}_{[0, \hat{I}_{thr}]}(r^{-\alpha} x) f_{X, Y}(x, y) r dr dy dx\right). \quad (10)$$

where $j = \sqrt{-1}$ and $\hat{I}_{thr} = I_{thr} / (P_t^{eff} C)$. The activity of the secondary users is represented by $\mathbf{1}_{[0, \hat{I}_{thr}]}(r^{-\alpha} x)$, which is a Bernoulli random variable. The indicator function is defined as:

$$\mathbf{1}_{[a, b]}(z) = \begin{cases} 1, & \text{if } a \leq z \leq b \\ 0, & \text{otherwise} \end{cases} \quad (11)$$

where the value one of the Bernoulli variable denotes that the secondary user is able to transmit. We use (10) to derive exact expressions for the n^{th} cumulant of the aggregate interference in a limited circular region $[r_o, R]$. We consider the case where there is a partial correlation between the two fading effects affecting the sensing and interfering channels, X and Y .

For the special cases of full correlation ($\rho = 1$) and zero correlation ($\rho = 0$), the closed-form expressions of cumulants can be found in [17] and [18], respectively. Using the cumulant of I_{N_t} shown in (12), we can obtain the n^{th} cumulant of the aggregate interference I_a as follows:

$$k_{I_a}(n) = (P_t^{eff} C)^n k_{I_{N_t}}(n). \quad (13)$$

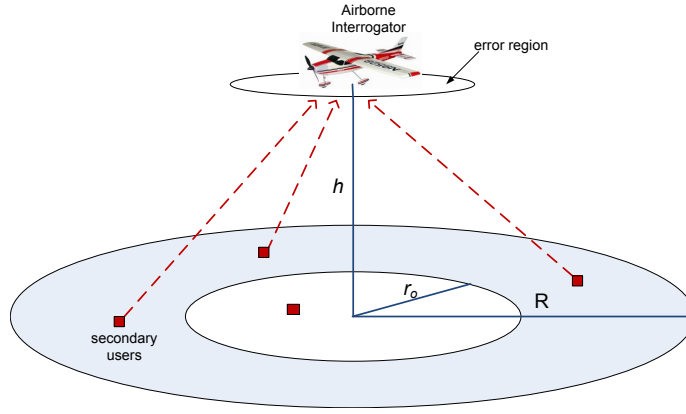


Fig. 2. Uncertainty in secondary sharing scenario with airborne interrogator as primary victim

$$\begin{aligned}
k_{I_{N_t}}(n) = & \frac{2\pi\lambda}{(n\alpha - 2)} \left[(r_o^{2-n\alpha} - R^{2-n\alpha}) \int_0^\infty y^n f_Y(y) \Phi(L_i) dy \right. \\
& - R^{2-n\alpha} \int_0^\infty y^n f_Y(y) [\Phi(L_s) - \Phi(L_i)] dy \\
& \left. + \hat{I}_{thr}^{\frac{n\alpha-2}{\alpha}} \int_0^\infty y^n f_Y(y) \times \int_{r_o^\alpha \hat{I}_{thr}}^{R^\alpha \hat{I}_{thr}} \frac{x^{\frac{2-n\alpha}{\alpha}}}{\sqrt{2\pi x \sigma \sqrt{1-\rho^2}}} e^{-\frac{(\ln x - \rho \ln y)^2}{2\sigma^2(1-\rho^2)}} dx dy \right]
\end{aligned} \tag{12}$$

where,

$$\begin{aligned}
L_i &= \frac{\ln(r_o^\alpha \hat{I}_{thr}) - \rho \ln y}{\sigma \sqrt{1-\rho^2}}, \\
L_s &= \frac{\ln(R^\alpha \hat{I}_{thr}) - \rho \ln y}{\sigma \sqrt{1-\rho^2}}.
\end{aligned}$$

The probability density function (pdf) of I_a can be approximated with a known distribution by moment-matching method. In [17], [18], shifted log-normal and truncated-stable distributions are employed to address the skewness of the aggregate interference. In our model, the strong interferers are effectively removed due to the stringent threshold in (3). Therefore, simple log-normal distribution sufficiently describes I_a . The pdf of I_a can be approximated with the first and second order cumulants of I_a obtained by (13).

$$f_{I_a}(y) = \frac{1}{y \sqrt{2\pi\sigma_{I_a}^2}} \exp\left[-\frac{\ln y - \mu_{I_a}}{2\sigma_{I_a}^2}\right], \tag{14}$$

where

$$k_{I_a}(1) = \exp[\mu_{I_a} + \sigma_{I_a}^2/2], \tag{15}$$

$$k_{I_a}(2) = \exp(\sigma_{I_a}^2 - 1) \exp(2\mu_{I_a} + \sigma_{I_a}^2). \tag{16}$$

IV. SHARING WITH THE AIRBORNE INTERROGATOR

A. Secondary access scheme

Airborne interrogators are equipped in the airplanes, which are moving with a high speed. Therefore, it is not reasonable to assume a reliable detection of the interrogator via spectrum sensing. Instead, we assume that the secondary users are

connected to a real-time database where the locations of the airplanes are provided. A living example of such a real-time aircraft location map can be found in [24]. Currently, the database information is updated every 20-60 seconds and has a limited coverage, which means that some airplanes (mostly small ones) do not appear in the map. Since we envision a close interaction between the regulatory body and the geo-location database, we expect that an official database in the future will be able to provide reliable information since it will be maintained/supervised by national authorities, i.e. regulatory body.

Due to the *update delay* in the database, the secondary user could potentially experience *uncertainty* or imperfect information on the location of the airborne interrogator which is changing rapidly. Based on the update delay and the speed of the airplane, we introduce the notion of *error region*, inside which secondary users will assume the worst case scenario that the sky is full of airplanes as shown in Fig. 2. Outside the error region, secondary users will assume that the primary receiver is located at the closest border of the error region. Let t_u be the time of update delay and v be the speed of the airplane. Then, the radius of the error region is given by $t_u v$. For example, the t_u of one minute corresponds to the error

region of 15 km radius assuming $v = 900$ km/h.

B. Aggregate interference modeling

For the case of the airborne interrogator, free-space propagation model between the secondary users and the primary receiver is assumed. This means that the fading effect is not taken into account. We adopt this assumption in order to account for the worst case scenario where there exists line-of-sight path between every secondary user and the primary user.

Similar to the ground transponder case, we assume that N secondary users are distributed according to a homogeneous Poisson point process in a circular area of radius R . The primary victim is assumed to be located at the center with a height of h from the ground. Since the fading effect is not considered, applying individual threshold I_{thr} will result in a circular exclusion region where secondary users are not allowed to transmit. The radius of exclusion region is denoted by r_o .

Let r_{thr} be the exclusion radius under the assumption that the secondary users know the exact location of the primary victim. In the presence of the update delay, each secondary user has to make a conservative decision that the airplane is at the closest possible location. It effectively increases the exclusion radius by $t_u v$. However, if the exclusion region is not needed in the first place ($r_{thr} = 0$), the uncertainty in the primary user location does not make any impact on the feasibility of the secondary users. Thus, r_o is given by

$$r_o = \begin{cases} r_{thr} + t_u v, & \text{if } r_{thr} > 0, \\ 0, & \text{otherwise.} \end{cases} \quad (17)$$

Let l_i be the distance from an arbitrary secondary user i to the primary receiver. Then, $l_i = \sqrt{h^2 + r_i^2}$ and the path loss $g(l_i)$ is given by $Cl_i^{-\alpha}$. Then, the aggregate interference I_a is

$$I_a = P_t^{eff} C \underbrace{\sum_{i \in N_t} l_i^{-\alpha}}_{I_{N_t}} \quad (18)$$

where N_t is the number of secondary users that are allowed to transmit, i.e. located at the outside of the exclusion region. Similar to Section III-B, we apply the Campbell's theorem to obtain the characteristic function of I_{N_t} . Then, we derive exact expressions for the n^{th} cumulant of I_{N_t} in a limited circular region $[r_o, R]$.

$$k_{I_{N_t}}(n) = \frac{2\pi\lambda}{n\alpha - 2} (B^{(2-n\alpha)/2} - A^{(2-n\alpha)/2}). \quad (19)$$

where $A = h^2 + R^2$ and $B = h^2 + r_o^2$. Since we consider the free-space propagation model ($\alpha = 2$), we employ l'Hopital's rule to calculate the first order cumulant ($k_{I_{N_t}}(1)$).

$$\begin{aligned} k_{I_{N_t}}(1) &= \lim_{M \rightarrow 0} \frac{\pi\lambda}{M} (B^{-M} - A^{-M}) \\ k_{I_{N_t}}(1) &= \pi\lambda(\ln A - \ln B) \end{aligned} \quad (20)$$

where $M = (n\alpha - 2)/2$. Using the cumulant of I_{N_t} , we can obtain the n^{th} cumulant of the aggregate interference I_a as it is shown in (13). Note that I_i is only affected by the distance-based path loss. Thus, I_a is well described by the central limit theorem. This means that I_a can be approximated as a Gaussian distribution with the first two cumulants as the mean and variance:

$$f_{I_a}(z) = \frac{1}{\sqrt{2\pi k_{I_a}(2)}} \exp \left[\frac{-(z - k_{I_a}(1))^2}{2k_{I_a}(2)} \right]. \quad (21)$$

V. NUMERICAL RESULTS

The parameters used for our numerical experiments are described in Table I. For the case of ground transponder, we model the propagation loss between the primary victim and the secondary user using Hata model for suburban area. Instead, for the airborne interrogator we employ free-space propagation loss. For the transponder, we investigate the impact of ρ on the requirement and feasibility of secondary access in terms of the individual interference threshold I_{thr} and the transmission probability of the secondary user i , $\Pr(\tilde{\xi}_i \leq I_{thr})$, at a given r_i . For the interrogator, we analyze the effect of the update delay on the requirements of secondary users. The feasibility of secondary access is given in terms of the exclusion region size r_o imposed on the secondary users.

In both cases, we provide results for co-channel usage and as well as adjacent channel usage. We apply DME selectivity mask given in [25] to determine the adjacent channel rejection (ACR) characteristics. This means that the condition (1) is changed to $\Pr[I_a > (A_{thr} + ACR)] \leq \beta_{PU}$ when we evaluate the adjacent channel usage. The values of ACR will vary according to the frequency separation. For instance, it is between 60dB and 70dB for channels with a frequency separation of 2 MHz. We assume that this applies as well to the channels of more frequency separation. To account for

TABLE I
PARAMETERS USED FOR NUMERICAL EXPERIMENTS

Parameters for ground transponder	
primary user transmission power	60dBm/MHz
path loss constant (C)	4.5×10^{-13}
path loss exponent (α)	3.5
Fading standard deviation ($\sigma_{X_f}^{dB}$)	10dB
height of the transponder	30 m
Parameters for airborne interrogator	
primary user transmission power	55dBm/MHz
path loss constant (C)	5.7×10^{-10}
path loss exponent (α)	2.0
height of the interrogator (h)	1 km
Common parameters	
radius of interference aggregation (R)	200 km
building penetration loss	10dB
DME antenna gain	5.4dBi
secondary user antenna gain	0dBi
secondary user transmission power	1dBm/MHz
secondary user height	1.5 m

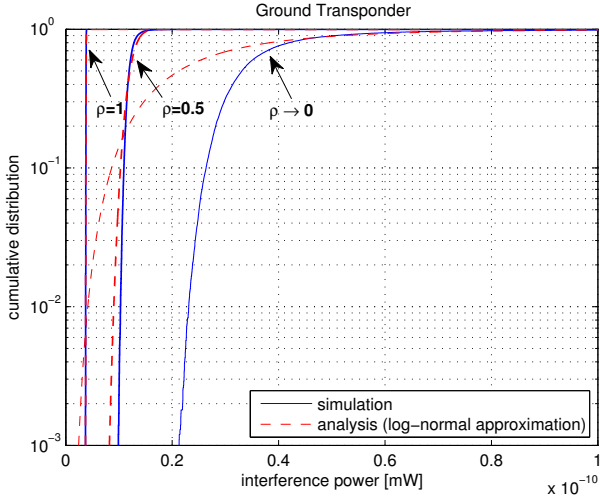


Fig. 3. A comparison between the analytic CDF of I_a and the result of Monte Carlo simulation; primary receiver is the DME ground transponder ($I_{thr} = -150\text{dBm}$ and $\lambda_{SU} = 20/\text{km}^2$)

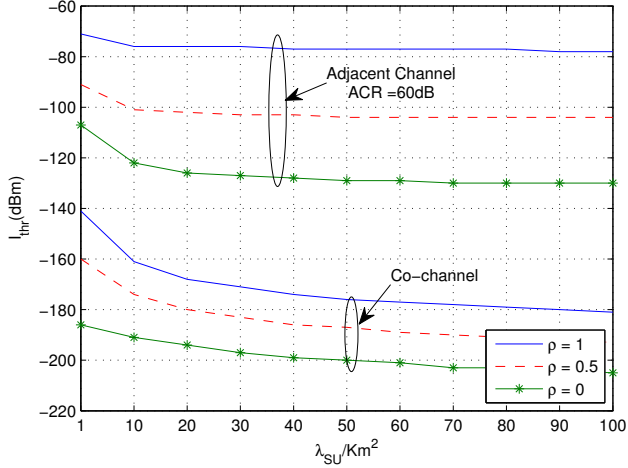


Fig. 4. I_{thr} as a function of secondary network density (λ_{SU}) for different correlation coefficients (ρ); the primary receiver is the DME ground transponder

interference aggregation in the spectral domain, we apply a fixed margin of 3dB and 10dB for co-channel and adjacent channel, respectively.

For the case of ground transponder, the cumulative distribution function (CDF) of I_a calculated from (14) for different values of ρ is shown in Fig. 3. A good agreement between analytical CDF of I_a and the simulation results is verified when $\rho > 0$. When the fading is unknown to the secondary user ($\rho = 0$), analytical CDF matches the tails of the simulation-based CDF of I_a . Since we are working with $\beta_{PU} = 0.001\%$, it is still possible to employ the log-normal approximation of the probability distribution of I_a to analyze the impact of fading uncertainty on the feasibility of secondary access.

The individual interference threshold I_{thr} required to satisfy (1) is given in Fig. 4. It shows the impact of ρ on the

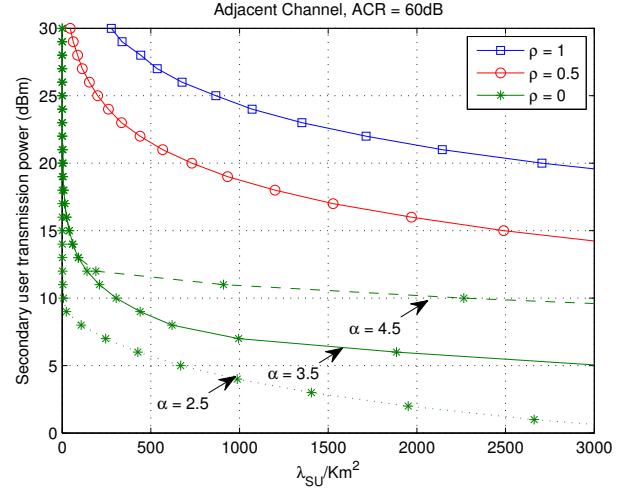


Fig. 5. Impact of different correlation coefficients (ρ) on the maximum secondary user transmission power for a given λ_{SU} when $Pr(\xi_i(r_i) \leq I_{thr}) \geq 90\%$ at $r_i = 5\text{km}$; the primary receiver is the DME ground transponder

required I_{thr} for accessing a co-channel and an adjacent channel with ACR of 60dB. We observe that the margins to cope with the uncertainty for different values of ρ do not change much when the density of secondary users per km^2 (λ_{SU}) increases. However, the uncertainty margin significantly varies for co-channel and adjacent use cases, i.e. for different A_{thr} values. Considering that secondary users transmit in an adjacent channel with ACR of 60dB, it is observed in Fig. 5 that the impact of fading uncertainty is critical for high-power secondary users (above 10dBm). Fig. 5 also shows that the impact of different propagation environments, i.e. different values of α , increases as the secondary network becomes denser. However, the operation of a dense secondary network for indoor coverage is feasible even if the secondary users cannot accurately estimate the propagation loss and an environment with flat terrain ($\alpha = 2.5$) is considered.

Now, let us consider the airborne interrogator as the primary victim. Recall that the update delay of 5 minutes can lead to the error region of 75 km radius, which is almost equivalent to not having the database. The exclusion region needed to satisfy (1) is shown in Fig. 6. The impact of the update delay is significant only when ACR is lower than 50dB. When ACR is 60dB, no exclusion region is required even if long update delay is experienced in the communication between the secondary user and the real-time database. Fig. 7 shows the combination of secondary users density and transmission power that do not require fast database update, i.e. no requirement for exclusion region. The figure indicates that dense secondary network accessing adjacent channels is feasible when the transmission power is about 0dBm even if no information on the location of the primary victim is provided.

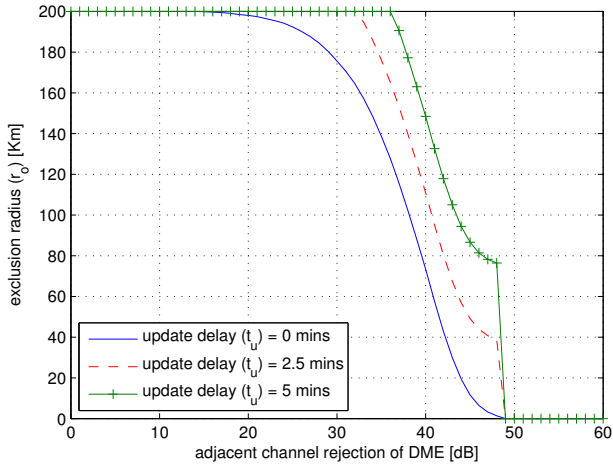


Fig. 6. Exclusion radius as a function of adjacent channel rejection of DME for different update delays when $\lambda_{SU} = 20/\text{km}^2$; the primary receiver is the DME airborne interrogator

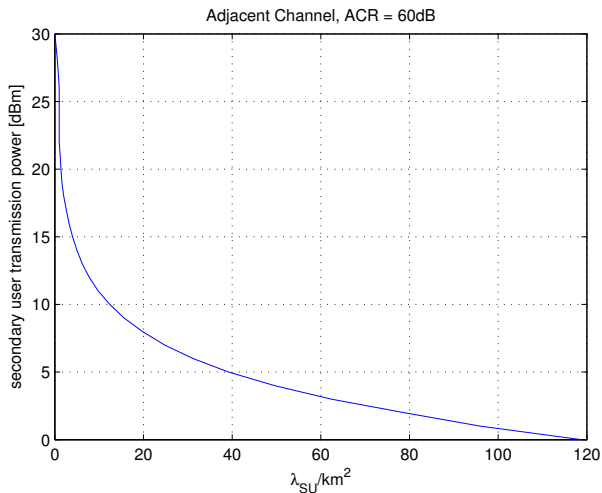


Fig. 7. Maximum secondary user transmission power for a given λ_{SU} when no exclusion region is needed ($r_o = 0\text{km}$); the primary receiver is the DME airborne interrogator

VI. CONCLUSIONS AND FUTURE WORK

We analyzed the feasibility of large scale indoor broadband secondary access to the 960-1215 MHz spectrum when uncertainties on the fading and the location of the primary receiver are present. Cumulant-based approximations have been employed to derive the probability distribution of the aggregate interference in the presence of uncertainties. The main contributions in this paper are twofold:

- We proposed a practical secondary sharing scheme considering the characteristics of different primary receivers (DME ground transponder and airborne interrogator). Then, we identified uncertainties in the estimation of propagation loss incurring from the proposed sharing scheme.
- The feasibility of large scale secondary access has been

evaluated in terms of the number of secondary users which are able to operate with an acceptable transmission probability and the exclusion region size imposed on the secondary users.

We conclude that massive indoor secondary access to adjacent channels ($\text{ACR} \geq 60\text{dB}$) is feasible even if secondary users are not capable of accurately estimating the propagation loss nor have accurate knowledge of the location of the airborne interrogator. Numerical results show that dense secondary users ($\lambda_{SU} > 1000/\text{km}^2$ for ground transponder and $\lambda_{SU} > 100/\text{km}^2$ for airborne interrogator) can have access to adjacent channels with a high transmission probability ($\geq 90\%$) or small exclusion region size.

Since the indoor secondary use of 960-1215 MHz spectrum is identified feasible, the capacity analysis of the secondary system taking self-interference and power control into account remains as an interesting future work. Location-dependent availability of the secondary access and its economic value are also to be investigated.

ACKNOWLEDGMENT

The research leading to these results has received funding from the European Union's Seventh Framework Programme FP7/2007-2013 under grant agreement n^o 248303 (QUASAR).

REFERENCES

- [1] Y. Hwang, S.-L. Kim, K. Sung, and J. Zander, "Scenario Making for Assessment of Secondary Spectrum Access," *IEEE Wireless Communications*, vol. 19, no. 4, pp. 25–31, August 2012.
- [2] M. Lopez-Benitez and F. Casadevall, "Methodological Aspects of Spectrum Occupancy Evaluation in the Context of Cognitive Radio," *European Transactions on Telecommunications*, vol. 21, no. 8, pp. 680–693, 2010.
- [3] Q. Zhao and B. Sadler, "A Survey of Dynamic Spectrum Access," *IEEE Signal Processing Magazine*, vol. 24, no. 3, pp. 79–89, May 2007.
- [4] S. Rini and C. Huppert, "On the Capacity of the Cognitive Interference Channel with a Common Cognitive Message," *Transactions on Emerging Telecommunications Technologies*, 2013.
- [5] G. Ding, Q. Wu, Y. Zou, J. Wang, and Z. Gao, "Joint Spectrum Sensing and Transmit Power Adaptation in Interference-Aware Cognitive Radio Networks," *Transactions on Emerging Telecommunications Technologies*, 2012.
- [6] M. Nekovee, "Quantifying the Availability of TV White Spaces for Cognitive Radio Operation in the UK," in *IEEE International Conference on Communications Workshops, 2009. ICC Workshops 2009.*, June 2009, pp. 1–5.
- [7] K. Harrison, S. Mishra, and A. Sahai, "How Much White-Space Capacity Is There?" in *Proc. IEEE Symposium on New Frontiers in Dynamic Spectrum Access Networks (DySPAN)*, Singapore, Apr. 6–9 2010.
- [8] J. Van de Beek, J. Riihijarvi, A. Achtzehn, and P. Mahonen, "TV White Space in Europe," *IEEE Transactions on Mobile Computing*, vol. 11, no. 2, pp. 178–188, Feb. 2012.
- [9] E. Obregon and J. Zander, "Short Range White Space Utilization in Broadcast Systems for Indoor Environments," in *Proc. IEEE Symposium on New Frontiers in Dynamic Spectrum Access Networks (DySPAN)*, Singapore, Apr. 6–9 2010.
- [10] L. Shi, K. W. Sung, and J. Zander, "Secondary Spectrum Access in TV-bands with combined Co-channel and Adjacent Channel Interference Constraints," in *2012 IEEE International Symposium on Dynamic Spectrum Access Networks (DYSPAN)*, 2012, pp. 452–460.
- [11] M. Tooley and D. Wyatt, *Aircraft Communications and Navigation Systems: Principles, Operation and Maintenance*, 1st ed. Elsevier, 2007.

- [12] M. Rahman and J. Karlsson, "Feasibility Evaluations for Secondary LTE Usage in 2.7-2.9 GHz Radar Bands," in *IEEE 22nd International Symposium on Personal Indoor and Mobile Radio Communications (PIMRC)*, Sept. 2011, pp. 1561–1566.
- [13] M. Tercero, K. W. Sung, and J. Zander, "Impact of Aggregate Interference on Meteorological Radar from Secondary Users," in *IEEE Wireless Communications and Networking Conference (WCNC)*, March 2011, pp. 2167–2172.
- [14] R. Saruthirathanaworakun, J. Peha, and L. Correia, "Opportunistic Sharing Between Rotating Radar and Cellular," *IEEE Journal on Selected Areas in Communications*, vol. 30, no. 10, pp. 1900–1910, november 2012.
- [15] M. Tercero, K. Sung, and J. Zander, "Exploiting Temporal Secondary Access Opportunities in Radar Spectrum," *Wireless Personal Communications*, pp. 1–12, 2013.
- [16] K. W. Sung, E. Obregon, and J. Zander, "On the Requirements of Secondary Access to 960-1215 MHz Aeronautical Spectrum," in *IEEE Symposium on New Frontiers in Dynamic Spectrum Access Networks (DySPAN)*, May 2011, pp. 371–379.
- [17] A. Ghasemi and E. S. Sousa, "Interference Aggregation in Spectrum-Sensing Cognitive Wireless Networks," *IEEE Journal of Selected Topics in Signal Processing*, vol. 2, no. 1, pp. 41–56, Feb. 2008.
- [18] A. Rabbachin, T. Quek, H. Shin, and M. Win, "Cognitive Network Interference," *IEEE Journal on Selected Areas in Communications*, vol. 29, no. 2, pp. 480–493, February 2011.
- [19] Texas Instrument Inc., "The Effects of Adjacent Channel Rejection and Adjacent Channel Interference on 802.11 WLAN Performance," White Paper, 2003.
- [20] A. Goldsmith, S. Jafar, I. Maric, and S. Srinivasa, "Breaking Spectrum Gridlock With Cognitive Radios: An Information Theoretic Perspective," *Proceedings of the IEEE*, vol. 97, no. 5, pp. 894–914, May 2009.
- [21] M. Tercero, K. W. Sung, and J. Zander, "Aggregate Interference from Secondary Users with Heterogeneous Density," in *IEEE 22nd International Symposium on Personal Indoor and Mobile Radio Communications (PIMRC)*, Sept. 2011, pp. 1561–1566.
- [22] P. Mogensen, P. Eggers, C. Jensen, and J. Andersen, "Urban area radio propagation measurements at 955 and 1845 MHz for small and micro cells," in *IEEE Global Telecommunications Conference (GLOBECOM '91)*, vol. 2, Dec. 1991, pp. 1297–1302.
- [23] M. Ho and G. Stuber, "Co-channel Interference of Microcellular Systems on Shadowed Nakagami Fading Channels," in *IEEE 43rd Vehicular Technology Conference*, may 1993, pp. 568–571.
- [24] FlightRadar24, "FlightRadar24: Watch Air Traffic-Live," website: www.flightradar24.com, Jun. 2007.
- [25] Electronic Communications Committee (ECC), "Compatibility between UMTS 900/1800 and Systems Operating in Adjacent Bands," ECC Report 96, Mar. 2007.