

# Quantum

## Lecture 8

- Shannon's channel capacity
- Classical information over quantum channel
- Quantum information over quantum channel

## Shannon's Channel Capacity

A **discrete memoryless channel** (DMC) with (finite) input and output alphabets  $\mathcal{X}$  and  $\mathcal{Y}$ , respectively, is described by a conditional pmf  $p(y|x)$

For a fixed  $n$ , the channel takes input sequences  $X^n \in \mathcal{X}^n$  and maps them to output sequences  $Y^n \in \mathcal{Y}^n$

For  $X^n = x^n$  the random sequence  $Y^n$  is described by

$$p(y^n|x^n) = \prod_{i=1}^n p(y_i|x_i)$$

Define an  $(M, n)$  **block channel code** for a DMC by

- ① An index set  $\mathcal{I}_M = \{1, \dots, M\}$
- ② An encoder mapping  $\mathcal{E} : \mathcal{I}_M \rightarrow \mathcal{X}^n$ . The set

$$\{x^n : x^n = \mathcal{E}(i), i \in \mathcal{I}_M\}$$

of **codewords** is called the codebook

- ③ A decoder mapping  $\mathcal{D} : \mathcal{Y}^n \rightarrow \mathcal{I}_M$

The **rate** of the code is

$$R = \frac{\log M}{n} \quad [\text{bits per channel use}]$$

An information symbol  $I$  is chosen uniformly from  $\in \mathcal{I}_M$

If  $I = i$ , the codeword  $x^n(i) = \mathcal{E}(i)$  is sent through the channel

The received sequence  $Y^n$  is decoded as  $\mathcal{D}(Y^n) \in \mathcal{I}_M$

The average **error probability** is

$$P_e^{(n)} = 1 - \frac{1}{M} \sum_{i=1}^M \Pr(\mathcal{D}(Y^n) = i | I = i)$$

A rate  $R$  is **achievable** if there exists a sequence of  $(M_n, n)$  codes such that

$$\liminf_{n \rightarrow \infty} \frac{1}{n} \log M_n \geq R$$

and  $P_e^{(n)} \rightarrow 0$  as  $n \rightarrow \infty$

The **capacity**  $C$  is the maximum achievable rate

**Shannon's coding theorem:** The capacity of a DMC  $p(y|x)$  is

$$\begin{aligned} C &= \max_{p(x)} I(Y; X) \\ &= \max_{p(x)} \left\{ \sum_{x \in \mathcal{X}} \sum_{y \in \mathcal{Y}} p(y|x)p(x) \log \frac{p(y|x)}{\sum_{x \in \mathcal{X}} p(y|x)p(x)} \right\} \end{aligned}$$

(over pmf's  $p(x)$  on  $\mathcal{X}$ )

## Classical Information over a Quantum Channel

Consider a quantum channel (noisy quantum operation)  $\mathcal{N}$  mapping states in  $\mathcal{H}$  to states in  $\mathcal{G}$

An  $(M, n)$  **code** for conveying a random  $I \in \mathcal{I}_{M_n}$  is described by

- ① An encoder  $\mathcal{E}_n$ , mapping  $I \in \mathcal{I}_{M_n}$  to  $\rho^{(n)} = \rho_1^{(k)} \otimes \dots \otimes \rho_\ell^{(k)}$  with  $\rho_j^{(k)} \in \mathcal{H}^{\otimes k}$  and for  $n = k\ell$
- ② A decoder  $\mathcal{D}_n$ , mapping  $\sigma^{(n)} = \mathcal{N}^k(\rho_1^{(k)}) \otimes \dots \otimes \mathcal{N}^k(\rho_\ell^{(k)})$  to  $\mathcal{I}_{M_n}$ , where  $\mathcal{N}^k = \mathcal{N}^{\otimes k}$

A **rate**  $R$  is **achievable** if there exists a sequence  $(\mathcal{E}_n, \mathcal{D}_n)$  such that

$$\liminf_{n \rightarrow \infty} \frac{1}{n} \log M_n \geq R$$

and  $P_e^{(n)} = \Pr(\mathcal{D}_n(\sigma^{(n)}) \neq I) \rightarrow 0$

The **capacity** is the maximum achievable rate

The encoder and decoder agree on an ensemble  $\{p(x), \rho_x^{(k)}\}$  and a classical codebook  $\{x^\ell(i)\}$  of size  $M_n$

For  $I = i$  the encoder transmits the joint state

$$\rho^{(n)}(i) = \rho_{x_1(i)}^{(k)} \otimes \cdots \otimes \rho_{x_\ell(i)}^{(k)}$$

The decoder  $\mathcal{D}_n$  is described by a measurement  $\{K_i\}_{i=1}^{M_n}$ , with POVM elements  $E_i = K_i^* K_i$ , such that  $\mathcal{D}_n(\sigma^{(n)}) = i'$  when the outcome is  $i'$

Note that

$$P_e^{(n)} = 1 - \sum_{i=1}^{M_n} \text{Tr}(E_i(\mathcal{N}^k \rho_{x_1(i)}^{(k)} \otimes \cdots \otimes \mathcal{N}^k \rho_{x_\ell(i)}^{(k)}))$$

Also note that the coding happens over  $\ell$  independent uses of the product channel  $\mathcal{N}^k$ , i.e.  $n = k\ell$  uses of  $\mathcal{N}$  in total

$$\text{The equivalent DMC is } p(y|x) = \text{Tr}(E_y \mathcal{N}^k \rho_x^{(k)})$$

## Holevo information of a channel

The Holevo information of the channel  $\mathcal{N}$  is

$$\chi(\mathcal{N}) = \max_{\rho_{CQ}} \chi(p(x), \mathcal{N}(\rho_x))$$

over  $\{p(x), \rho_x\}$  in the classical-quantum state

$$\rho_{CQ} = \sum_x p(x) |e(x)\rangle \langle e(x)| \otimes \mathcal{N}(\rho_x)$$

That is

$$\chi(\mathcal{N}) = \max(H(p) + S(\sigma) - S(\rho_{CQ}))$$

over  $\{p(x), \rho_x\}$ , where  $\sigma = \sum p(x) \mathcal{N}(\rho_x)$

## The Holevo–Schumacher–Westmoreland coding theorem

The capacity  $C$  for sending classical information over the channel  $\mathcal{N}$  is

$$C = \lim_{k \rightarrow \infty} \frac{1}{k} \chi(\mathcal{N}^k)$$

Even if we use the channel  $\mathcal{N}$  a number  $n$  independent times, this is **not a single-letter expression** for the capacity

C.f. the classical case, where we can use the single-letter expression  $\max_{p(x)} I(X; Y)$  instead of

$$\lim_{n \rightarrow \infty} \max_{p(x^n)} \frac{1}{n} I(X^n; Y^n)$$

for memoryless channels

The Holevo information is in general not additive,

$$\text{i.e. } \chi(\mathcal{N}_1 \otimes \mathcal{N}_2) \neq \chi(\mathcal{N}_1) + \chi(\mathcal{N}_2)$$

Additivity holds for **entanglement-breaking** channels: i.e., channels  $\mathcal{N} : \mathcal{H} \rightarrow \mathcal{G}$  such that if  $\rho$  is entangled in  $\mathcal{H} \otimes \mathcal{H}'$  then  $(\mathcal{N} \otimes I)\rho$  is not entangled

When additivity holds, we have a single-letter expression for capacity

$$C = \chi(\mathcal{N})$$

achieved by setting  $k = 1$  and  $\ell = n$ . However, in general sending **entangled states**  $\rho^{(k)}$  over  $\ell$  uses of  $\mathcal{N}^k$  gives higher rates

# Preservation of Entanglement over a Quantum Channel

Suppose we have a state  $|\psi\rangle$  in  $\mathcal{H} \otimes \mathcal{R}$ , but we can only access  $\mathcal{H}$

Assume  $\rho = |\psi\rangle\langle\psi|$  is pure in  $\mathcal{H} \otimes \mathcal{R}$  (by purification), but entangled

With an encoder that operates on  $\mathcal{H}$  over  $\mathcal{N} : \mathcal{A} \rightarrow \mathcal{B}$ , we wish to preserve  $\rho$  and the entanglement with  $\mathcal{R}$ , according to:

- ①  $\mathcal{E}_n$  maps  $\rho \in \mathcal{H} \otimes \mathcal{R}$  as  $(\mathcal{E}_n \otimes I)\rho$  to  $\mathcal{A}^{\otimes n}$
- ② The channel  $\mathcal{N}$  is used  $n$  independent times
- ③ The received state is  $\sigma^{(n)} = \mathcal{N}^n((\mathcal{E}_n \otimes I)\rho)$
- ④  $\mathcal{D}_n$  maps  $\sigma^{(n)}$  to  $\omega \in \mathcal{H}' \otimes \mathcal{R}$

Assume  $d_n = \dim \mathcal{H} = \dim \mathcal{H}'$

A rate  $Q$  is **achievable** if there exist a sequence  $(\mathcal{E}_n, \mathcal{D}_n)$  such that

$$\liminf_{n \rightarrow \infty} \frac{1}{n} \log d_n \geq Q$$

and  $V(\rho, \omega) = 1/2 \text{Tr}|\rho - \omega| \rightarrow 0$

(or equivalently the entanglement fidelity  $\rightarrow 1$ )

The **capacity**  $C$  is the maximum achievable rate

Remember the **no cloning theorem**: For any Hilbert space  $\mathcal{H}$  there is no unitary operation  $U$  such that for  $|\psi\rangle, |\psi'\rangle \in \mathcal{H}$ ,

$$U(|\psi\rangle \otimes |\psi'\rangle) = |\psi\rangle \otimes |\psi\rangle$$

Still, we have a positive capacity for quantum communication: The capacity is

$$C = \lim_{k \rightarrow \infty} \frac{1}{k} Q(\mathcal{N}^k)$$

where  $Q(\mathcal{N})$  is the **coherent information** of a channel  $\mathcal{N}$

For a state  $\rho \in \mathcal{A} \otimes \mathcal{B}$ , we had the conditional entropy

$$S(\rho_{\mathcal{A}}|\rho_{\mathcal{B}}) = S(\rho) - S(\rho_{\mathcal{B}})$$

with  $\rho_{\mathcal{A}} = \text{Tr}_{\mathcal{B}}\rho$  and  $\rho_{\mathcal{B}} = \text{Tr}_{\mathcal{A}}\rho$

Since  $S(\rho|\rho_{\mathcal{B}}) < 0$  when  $\rho$  is entangled, we also define the **coherent information** (for entangled states  $\rho$ )

$$Q(\rho_{\mathcal{A}}|\rho_{\mathcal{B}}) = -S(\rho|\rho_{\mathcal{B}})$$

The coherent information of channel  $\mathcal{N}$  then is

$$Q(\mathcal{N}) = \max_{\rho} Q(\sigma_{\mathcal{A}'}|\sigma_{\mathcal{B}})$$

over  $\rho \in \mathcal{A} \otimes \mathcal{B}$  and for  $\sigma = (\mathcal{N} \otimes I)\rho$  with  $\mathcal{N} : \mathcal{A} \rightarrow \mathcal{A}'$

As for classical over quantum, the expression for  $C$  is in general not single-letter, since in general  $Q(\mathcal{N}_1 \otimes \mathcal{N}_2) \neq Q(\mathcal{N}_1) + Q(\mathcal{N}_2)$

Additivity holds for **degradable** channels, i.e. channels  $\mathcal{N}$  that can be decomposed as

$$\mathcal{N}(\rho) = \mathcal{N}_1(\mathcal{N}_2(\rho))$$

Thus, for a degradable channel  $\mathcal{N}$ , we have  $C = Q(\mathcal{N})$