

# On Existence of Optimal Linear Encoders over Non-field Rings for Data Compression with Application to Computing

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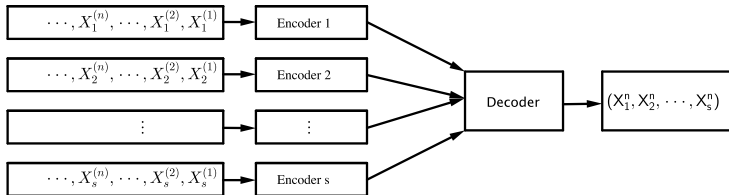
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# Linear Source Coding over Finite Fields / Rings (I)

Consider the Slepian–Wolf Source Network:



- 1 [Elias(1955), Csiszár(1982)] propose to use linear mappings (over finite fields) as encoders for Slepian–Wolf data compression;
- 2 Linear coding over finite fields (LCoF) is optimal, i.e. achieves the Slepian–Wolf region [Slepian and Wolf(1973)].

# Linear Source Coding over Finite Fields / Rings (II)

How about linear coding over finite rings (LCoR)?

## Definition 1

The tuple  $[\mathfrak{R}, +, \cdot]$  is called a *ring* if the following criteria are met:

- 1  $[\mathfrak{R}, +]$  is an *Abelian group*;
- 2 There exists a *multiplicative identity*  $1 \in \mathfrak{R}$ , namely,  $1 \cdot a = a \cdot 1 = a$ ,  $\forall a \in \mathfrak{R}$ ;
- 3  $\forall a, b, c \in \mathfrak{R}$ ,  $a \cdot b \in \mathfrak{R}$  and  $(a \cdot b) \cdot c = a \cdot (b \cdot c)$ ;
- 4  $\forall a, b, c \in \mathfrak{R}$ ,  $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$  and  $(b + c) \cdot a = (b \cdot a) + (c \cdot a)$ .

Examples: real (complex) numbers  $\mathbb{R}$  ( $\mathbb{C}$ ), integers,  $\mathbb{Z}_q$  ( $q$  is any positive integer), polynomials, **matrices** and etc.  $\mathbb{R}$ ,  $\mathbb{C}$ ,  $\mathbb{Z}_p$  ( $p$  is a prime), **invertible matrices** are fields.

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† Will LCoR be optimal as LCoF for Slepian–Wolf coding?

† What is the benefit?

# Achievability Theorem (I)

## Definition 2

A subset  $\mathcal{I}$  of a ring  $[\mathfrak{R}, +, \cdot]$  is said to be a *left ideal* of  $\mathfrak{R}$ , denoted by  $\mathcal{I} \leq_l \mathfrak{R}$ , if and only if

- 1  $[\mathcal{I}, +]$  is a subgroup of  $[\mathfrak{R}, +]$ ;
- 2  $\forall x \in \mathcal{I}$  and  $\forall a \in \mathfrak{R}$ ,  $a \cdot x \in \mathcal{I}$ .

$\{0\}$  is a *trivial* left ideal, usually denoted by  $0$ .

Examples: all even numbers of integers,  $\{0, 2\}$  of  $\mathbb{Z}_4$ , the ring itself.

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## Definition 3

Given a finite ring  $\mathfrak{R}$  and one of its left ideal  $\mathcal{I}$ , the *coset*  $\mathfrak{R}/\mathcal{I}$  is the set

$$\{r_1 + \mathcal{I}, r_2 + \mathcal{I}, \dots, r_m + \mathcal{I}\},$$

where  $m = |\mathfrak{R}| / |\mathcal{I}|$ ,  $r_i \in \mathfrak{R}$  for all feasible  $i$  and  $r_i + \mathcal{I} \cap r_j + \mathcal{I} = \emptyset \Leftrightarrow i \neq j$ .  $\mathfrak{R}/\mathcal{I}$  forms a *left module* over  $\mathfrak{R}$ .

# Achievability Theorem (II)

Assume that the sample space of  $X_i$  ( $1 \leq i \leq s$ ) is a finite set  $\mathcal{X}_i$ , and write

$$\mathcal{X}_T = \prod_{i \in T} \mathcal{X}_i, \quad \mathfrak{R}_T = \prod_{i \in T} \mathfrak{R}_i$$

for  $\emptyset \neq T \subseteq \{1, 2, \dots, s\}$  and  $\mathfrak{I}_T = \prod_{i \in T} \mathfrak{I}_i$  where  $\mathfrak{I}_i \leq_l \mathfrak{R}_i$ .

Let  $\Phi = \{\Phi_1, \Phi_2, \dots, \Phi_s\}$ , where  $\Phi_i : \mathcal{X}_i \rightarrow \mathfrak{R}_i$  is any injective mapping.



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## Theorem 4 ([Huang and Skoglund(2013a)])

The region  $\mathcal{R}_\Phi$  containing coding rate  $(R_1, R_2, \dots, R_s) \in \mathbb{R}^s$  that satisfies

$$\sum_{i \in T} \frac{R_i \log |\mathfrak{I}_i|}{\log |\mathfrak{X}_i|} > H(X_T | X_{T^c}) - H(Y_{\mathfrak{X}_T / \mathfrak{I}_T} | X_{T^c}), \quad (1)$$

$\forall \emptyset \neq T \subseteq \{1, 2, \dots, s\}$  and for all  $\emptyset \neq \mathfrak{I}_i \subseteq \mathfrak{X}_i$ ,

where  $Y_{\mathfrak{X}_T / \mathfrak{I}_T} = \prod_{i \in T} \Phi_i(X_i) + \mathfrak{I}_T$  (which has sample space  $\mathfrak{X}_T / \mathfrak{I}_T$ ), is

achievable with linear coding over  $\mathfrak{X}_1, \mathfrak{X}_2, \dots, \mathfrak{X}_s$ .

# Exist Optimal Linear Encoders over Non-field Rings (I)

## Theorem 5 ([Huang and Skoglund(2013b)])

Let  $\mathfrak{R}_1, \mathfrak{R}_2, \dots, \mathfrak{R}_s$  be  $s$  finite rings with  $|\mathfrak{R}_i| \geq |\mathcal{X}_i|$ . If  $\mathfrak{R}_i$  is isomorphic to either

- 1 a field, i.e.  $\mathfrak{R}_i$  contains no proper non-trivial left (right) ideal; or
- 2 a ring containing one and only one proper non-trivial left ideal  $\mathfrak{I}_{0i}$  and  $|\mathfrak{I}_{0i}| = \sqrt{|\mathfrak{R}_i|}$ ,

for all feasible  $i$ , then the convex hull of  $\bigcup_{\Phi} \mathcal{R}_{\Phi}$  coincides with the Slepian–Wolf region.

Examples: All finite fields,  $\mathbb{Z}_{p^2}$  ( $p$  is a prime) and

$$\mathbb{M}_{L,p} = \left\{ \begin{bmatrix} a & 0 \\ b & a \end{bmatrix} \mid a, b \in \mathbb{Z}_p \right\}.$$

## Exist Optimal Linear Encoders over Non-field Rings (II)

*Proof of Theorem 5 (for Single Source):* There is nothing to prove if  $\mathfrak{R}_1$  is a field. Assume that  $\mathfrak{R}_1$  is a non-field ring. Then  $\bigcup_{\phi} \mathcal{R}_{\phi}$  is the Slepian–Wolf region if and only if there exists  $\tilde{\Phi}_1 : \mathcal{X}_1 \rightarrow \mathfrak{R}_1$  such that

$$\frac{\log |\mathfrak{R}_1|}{\log |\mathcal{I}_{01}|} [H(X_1) - H(\tilde{\Phi}_1 + \mathcal{I}_{01})] \leq H(X_1) \quad (2)$$

$$\Leftrightarrow H(X_1) \leq 2H(\tilde{\Phi}_1 + \mathcal{I}_{01}) \quad (\text{since } \sqrt{|\mathfrak{R}_1|} = |\mathcal{I}_{01}|). \quad (3)$$

The existence of such a injection  $\tilde{\Phi}_1$  is guaranteed by Lemma 6. ■

# Exist Optimal Linear Encoders over Non-field Rings (III)

## Lemma 6 ([Huang and Skoglund(2012)])

Let  $\mathfrak{R}$  be a finite ring,  $X$  and  $Y$  be two correlated discrete random variables, and  $\mathcal{X}$  be the sample space of  $X$  with  $|\mathcal{X}| \leq |\mathfrak{R}|$ . If  $\mathfrak{R}$  contains one and only one proper non-trivial left ideal  $\mathfrak{I}$  and  $|\mathfrak{I}| = \sqrt{|\mathfrak{R}|}$ , then there exists injection  $\tilde{\Phi} : \mathcal{X} \rightarrow \mathfrak{R}$  such that

$$H(X|Y) \leq 2H(\tilde{\Phi}(X) + \mathfrak{I}Y). \quad (4)$$

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$$H(X|Y) \leq 2H(\tilde{\Phi}(X) + \mathfrak{I}|Y). \quad (4)$$

*Sketch of the Proof:* Let  $\tilde{\Phi} = \arg \max_{\Phi} H(\Phi(X) + \mathfrak{I}|Y)$ . By the grouping rule for entropy, there exists  $\bar{\Phi} : \mathcal{X} \rightarrow \mathfrak{R}$  such that

$$H(X|Y) - H(\tilde{\Phi}(X) + \mathfrak{I}|Y) = H(\bar{\Phi}(X) + \mathfrak{I}|Y).$$

Since

$$H(\tilde{\Phi}(X) + \mathfrak{I}|Y) \geq H(\bar{\Phi}(X) + \mathfrak{I}|Y)$$

by definition, the statement follows. ■

# Other Rings

## Example 7

Consider the single source scenario, where  $X_1 \sim p$  and  $\mathcal{X}_1 = \mathbb{Z}_6$ , specified as follows.

$X_1$	0	1	2	3	4	5
$p(X_1)$	0.05	0.1	0.15	0.2	0.2	0.3

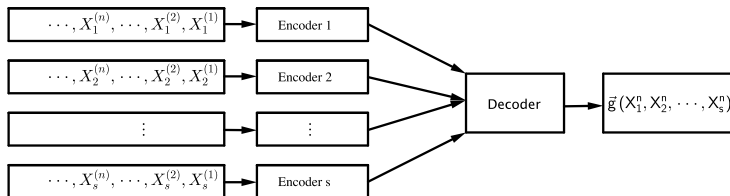
By Theorem 4,

$$\begin{aligned}\mathcal{R} &= \{R_1 \in \mathbb{R} \mid R_1 > \max\{2.40869, 2.34486, 2.24686\}\} \\ &= \{R_1 \in \mathbb{R} \mid R_1 > 2.40869 = H(X_1)\}\end{aligned}$$

is achievable with linear coding over ring  $\mathbb{Z}_6 \simeq \mathbb{Z}_2 \times \mathbb{Z}_3$ . Obviously,  $\mathcal{R}$  is just the Slepian–Wolf region. Optimality is claimed.

# Source Coding for Computing

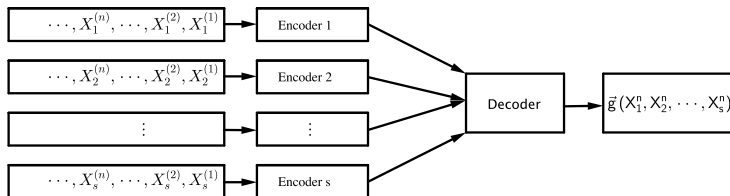
Source Coding for Computing  $g$  (a discrete function):



First considered by [Körner and Marton(1979), Ahlswede and Han(1983)]  
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**One trick:** Let  $Z^{(n)} = g(X_1^{(n)}, X_2^{(n)}, \dots, X_s^{(n)})$  and  $\phi$  be a linear encoder (over some field / ring) such that

$$\epsilon > \Pr \{ \psi(\phi(Z^n)) \neq Z^n \}$$

$$\stackrel{(a)}{=} \Pr \{ \psi(\vec{g}(\phi(X_1^n), \phi(X_2^n), \dots, \phi(X_s^n))) \neq Z^n \},$$

where (a) holds when  $g$  is a linear function over some field / ring.



# LCoF is not optimal in the Sense of [Körner and Marton(1979)] (I)

Consider linear function over  $\mathbb{Z}_4$

$$g(x, y, z) = x + 2y + 3z \text{ defined on the domain } \{0, 1\}^3 \subsetneq \mathbb{Z}_4^3.$$

$g$  can also be presented as polynomial function

$$\hat{h}(x + 2y + 4z) \text{ defined on domain } \{0, 1\} \subsetneq \mathbb{Z}_5^3,$$

where

$$\hat{h}(x) = \sum_{a \in \mathbb{Z}_5} a [1 - (x - a)^4] - [1 - (x - 4)^4]$$

is **not injective**. Linear coding (LC) techniques (over non-field ring  $\mathbb{Z}_4$  or field  $\mathbb{Z}_5$ ) are used for encoding  $g$ .

However, the achievable region  $\mathcal{R}_{\mathbb{Z}_4}$  achieved linear LC over  $\mathbb{Z}_4$  always dominates the one  $\mathcal{R}_{\mathbb{Z}_5}$  achieved by LC over  $\mathbb{Z}_5$ . **In fact,  $\mathcal{R}_{\mathbb{Z}_4}$  dominates the region achieved by LC over each and every finite field for encoding  $g$ .**

# LCoF is not optimal in the Sense of [Körner and Marton(1979)] (II)

## Definition 8

The *characteristic* of a finite ring  $\mathfrak{R}$  is defined to be the smallest positive integer  $m$ , such that  $\sum_{j=1}^m 1 = 0$ , where  $0$  and  $1$  are the zero and the multiplicative identity of  $\mathfrak{R}$ , respectively.

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The essential reason for the “domination” to happen is due to the fact that:

- 1 the characteristic of a finite field must be a prime (by the theory of splitting field);
- 2 the characteristic of a finite ring can be any integer  $\geq 2$ .

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- 1 the characteristic of a finite field must be a prime (by the theory of splitting field);
- 2 the characteristic of a finite ring can be any integer  $\geq 2$ .

Based on this fact, one can construct infinitely many functions, say  $g$ , such that LC over a finite field is always suboptimal (in the sense of [Körner and Marton(1979)]) for encoding  $g$ .

# Non-field Ring vs Field

	field	non-field ring	properties
Slepian–Wolf coding (side information)	✓	exist optimal encoders for all scenarios	inverse & typicality lemma
Slepian–Wolf coding (memory <sup>1</sup> )	✓	not yet proved, optimal shown by examples	inverse & typicality lemma
Implementation Complexity		✓	polynomial long division algorithm
Alphabet sizes of encoders		✓	prime subfield
Coding for Computing		✓	characteristic & zero divisor
Coding for Computing (memory)		✓	characteristic & zero divisor






<sup>1</sup>Please kindly refer to [Huang and Skoglund(2013c)] for details. 

# Thanks







# Thanks!

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