# A Framework for Augmented Reality using Non-Central Catadioptric Cameras

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Abstract This paper addresses the problem of augmented 1 reality on images acquired from non-central catadioptric 2 3 systems. We propose a solution which allows the projection of textured objects to images of these type of systems and, 4 depending on the complexity of the objects, can run up to 5 20 fps, using a 1328x1048 image resolution. The main con-6 tributions are related with the image formation of the non-7 central catadioptric cameras: projection of the 3D segments 8 onto the image of non-central catadioptric cameras; occlu-9 sions; and illumination/shading. To validate the proposed 10 solution, we used a non-central catadioptric camera formed 11 12 with a perspective camera and a spherical mirror. Also, to test the robustness of the proposed method, we used a regu-13 lar object (a parallelepiped) and three well known irregular 14 objects in computer graphics: "bunny", "happy buddha" and 15 "dragon", from Stanford database. 16

Keywords Augmented Reality · Non-Central Catadioptric
 Cameras · Forward-Projection

# 19 1 Introduction

<sup>20</sup> Augmented reality has been studied for almost fifty years

<sup>21</sup> [1]. As stated by Azuma [2], augmented reality can be de-

<sup>22</sup> fined as the projection of 3D virtual objects to the 2D im-

Tiago Dias · Nuno Gonçalves Institute of Systems and Robotics, Department of Electrical and Computer Engineering, University of Coimbra, Portugal Tel.: +231-239-796-201 Fax: +231-239-406-672 E-mail: {tdias, nunogon}@isr.uc.pt

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Institute for Systems and Robotics (LARSyS), Instituto Superior Técnico,Universidade de Lisboa, Lisboa, Portugal Tel.: 351-21-8418289 Fax: 351-21-8418291 E-mail: pmiraldo@isr.tecnico.ulisboa.pt age plane. For the conventional perspective camera, a large23number of distinct methods have been presented, *e.g.* [3,4,245,6]. The main reasons for the use of these cameras are their25simplicity (specially what is related to the projection model)26and wide availability.27

Geometrically, any imaging device can be modeled by 28 the association between image pixels and unconstrained 3D 29 straight projection lines [7]. When all these lines intersect at 30 a single 3D point (also called effective viewpoint), they are 31 called central. Otherwise, they are called non-central. Most 32 state-of-the-art on computer vision and computer graph-33 ics methods/algorithms were developed under the assump-34 tion that images are acquired by sensors verifying the pin-35 hole camera model (central perspective cameras [8]), thus 36 free from distortions. However, with appropriate undistor-37 tion methods, any central camera system can be modeled 38 by a central perspective camera [9]. As a result, the same 39 methods/algorithms can be easily applied to all central cam-40 era systems. For these reasons, when possible, researchers 41 tried to design new camera systems that verify the "sin-42 gle viewpoint" constraint (central cameras). The first central 43 omnidirectional camera system was proposed by Nalwa in 44 1996 [10], which consists in aligning four perspective cam-45 eras with four mirrors. Later (following Navar's work [11]), 46 several authors started to build omnidirectional cameras, 47 combining perspective cameras with quadric mirrors (cata-48 dioptric camera systems). In theory, as shown in [12], it is 49 possible to define a set of conditions (using specific types 50 of mirrors and a perfectly alignment between the camera 51 and mirror) which ensures that such systems are central. 52 However, small misalignments (for example between the 53 camera and mirror(s)) or using other types of mirrors (for 54 example spherical mirrors) will imply that these systems 55 will not verify the single viewpoint constraint. This means 56 that, in practice, omnidirectional catadioptric systems are 57 non-central cameras [13]. As a result, distortion cannot be 58



(a) Original Image.

(b) 3D objects example.

(c) Final results.

Fig. 1: This paper addresses the projection of a virtual object (e.g. Fig. (b)) to an image, acquired from a non-central catadioptric camera, Fig. (a). Due to the geometry of the imaging device (specially what is related with forward projection techniques), conventional techniques cannot be used. The main contributions of the paper are: projection of the objects' skeleton, occlusions, and illumination (which all depend on the geometry of the imaging device). Results of the proposed framework are shown at Fig. (c).

<sup>59</sup> modeled without prior knowledge of the 3D world from the scene (unwrapped images cannot be recovered), which means that augmented reality methods, used on perspective cameras, cannot be applied. Several authors proposed models and calibration procedures for non-central catadioptric camera systems using general quadric mirrors, *e.g.* [14, 15, 16, 17].

In this paper we propose a framework for the use of aug-66 mented reality using non-central catadioptric imaging de-67 vices. To the best of our knowledge, this is the first time 68 that the problem is addressed. An example of the obtained 69 results are shown in Fig. 1. Augmented reality for omni-70 directional catadioptric cameras can be extremely useful 71 for human-computer interaction [18], with several impor-72 tant applications in robotics. Two examples of these applica-73 tions are: teleoperation [19] (creation and projection of 3D 74 virtual landmarks to assist the human on robot navigation) 75 76 and the creation of augmented reality environment simulations [20] (creation and projection of 3D objects to simulate 77 real scenarios). Another example of an environment simula-78 tion (using augmented reality) is its application on the med-79 ical surgery (see e.g. [21]). During medical surgeries, the 80 frontal view of a camera is very important. Although, to pre-81 vent damage on organs that are not visible from this perspec-82 tive, non-central omnidirectional cameras can be used to 83 provide a larger field of view. With a larger field of view, we 84 have more information about the surrounding environment, 85 which can help us making better decisions. The same justifi-86 cation can be applied to teleoperation on robotics. Since we 87 can acquire 360 degrees of the scene, we can make a faster 88 detection of objects, placed in the environment, and decide 89 faster on the best trajectory. The use of augmented reality, 90 in both cases, can be very useful for the creation of simu-91 lated environments, which can provide to the user a good 92 experience for a specific task. 93

To better understand the proposed solution, we built a 94 pipeline aiming at representing the tasks required to get 95 the goal (shown in Fig. 2). To reach this goal, new al-96 gorithms and some well known methods had to be cre-97 ated/reformulated, such that they can be applied to non-98 central catadioptric systems. Assuming that the camera is 99 calibrated and that our 3D object is divided in segments (tri-100 angles), one of the most challenging steps is the projection 101 of these triangles (which form the 3D objects) onto the im-102 age plane. Considering that the triangles are small enough, 103 we can neglect the effects of distortion [9]. Thus, to project 104 these 3D triangles, one just needs to take into account the 105 projection of three 3D points (that form the vertices of 106 the triangles). The forward projection of 3D points for im-107 ages of non-central catadioptric cameras was addressed by 108 Gonçalves [22] and Agrawal [23]. 109

As it was previously said, the geometry of these imaging 110 systems does not verify most properties of the conventional 111 perspective cameras. Thus, we also had to reformulate con-112 ventional computer graphics techniques: such as occlusions 113 and illumination. Occlusions are a very well known problem 114 in Computer Graphics. When a 3D virtual object is divided 115 in small 3D pieces (for example 3D triangles), when map-116 ping these pieces to the image one have to verify if the pieces 117 are overlapped and, if they are, which of them are visible 118 and which of them are not. To solve this problem, several 119 methodologies were proposed: the Painter's Algorithm [24, 120 Chapter 36.4], Z-Buffer (also known as Depth Buffer) [24, 121 Chapter 36.3] and A-Buffer [25]. Another very important 122 step is the object illumination. If we consider a 3D object 123 with a solid colour, without illumination the projection of 124 this 3D object to the image will be represented by a BLOB 125 (Bynary Large OBject). The illumination, combined with a 126 shading technique, will give the illusion of shape to the pro-127 jection of the 3D object (this problem is better identified at 128 the illumination section). To solve this problem, several algorithms were proposed, such as: Flat shading [24, Chapter
6.2], Gouraud shading [26] and Phong shading [27]. To conclude, we have to display the projection of the virtual object
onto the image.

We have implemented the proposed framework in 134 C/C++. Because of its complexity, specially in the projec-135 tion's step, we only got up to 2 frames per second (fps), for 136 an image size of 1328x1048. Then, to improve the compu-137 tational time of our framework, we used the CUDA toolkit 138 (from NVIDIA), and we get up to 20 fps. In this paper, we 139 assume as realtime the perception of movement associated 140 to the human eye, which is near to 25 frames per second. 141

This work is an extension of the paper "A Framework for Augmented Reality using Non-Central Catadioptric Cameras" presented in IEEE Intl Conf. on Autonomous
Robot Systems and Competitions. We introduce the following changes:

- A larger and more detailed introduction and description
   of the proposed pipeline (Secs. 1 and 2);
- Regarding the illumination, in addition to Flat shading, we also adjust the Gouraud shading technique and took into account the illuminations occlusions problem to work with non-central catadioptric cameras (Sec. 3.6);
- New experimental results have been added to evaluate
   the proposed framework (Sec. 4).

This article is organized as follows: in Sec. 2, we describe the pipeline of the proposed framework and, in Sec. 3, each step of the framework is described in more detail. In Sec. 4 we show the experiments with the results of the application of the proposed framework and in Sec. 5 we give the conclusions of the paper.

# 161 2 Our Approach

To ensure that our framework runs in realtime, we di-162 vided the pipeline in two stages: pre-processing and realtime 163 stages, see Fig. 2. As described in the introduction section, 164 to achieve our goal, one has to take into account the fol-165 lowing steps: camera calibration, 3D object segmentation, 166 texture mapping, skeleton projection, occlusions, illumina-167 tion and display. In this paper, we are assuming that our 3D 168 object is rigid and static. As a result, to avoid unnecessary 169 computational effort, the first three steps can be computed a 170 priori. The remaining steps have to be computed in realtime. 171 In the following two subsections we analyze the two stages 172 of our pipeline. 173

#### 174 2.1 Pre-Processing Stage

The pre-processing stage is built by three steps: camera calibration and 3D segmentation and texturization of the virtual



Fig. 2: Representation of the proposed pipeline for the use of augmented reality on non-central catadioptric cameras. We divided the problem in two stages: pre-processing stage, where camera parameters and 3D object information is computed; and the realtime stage where the pre-processed object is mapped onto the image plane.

object. It is well known that all imaging devices are repre-177 sented by the mapping between pixels and 3D straight lines. 178 The camera calibration consists in the estimation of the pa-179 rameters that represent this mapping. Since we are consider-180 ing general non-central catadioptric cameras, the goal is to 181 get the camera intrinsic parameters, the mirror parameters, 182 and the transformation between the camera and mirror (in 183 Sec. 3.1 we present a detailed description of this step). 184

The second step of the pre-processing stage is related to 185 the segmentation of the 3D virtual object. As described in 186 the introduction, the virtual object must be decomposed into 187 small 3D segments which, later on, will be projected onto 188 the 2D image plane. If these segments are small enough, 189 the distortion effects will be neglectable and can be ignored. 190 Similar to most state-of-the-art approaches, we used the seg-191 mentation of the 3D virtual object in 3D triangles. We test 192 our method using a virtual paralellepiped (which we had to 193 triangulate) and three objects from Stanford database [28] 194 ("bunny", "happy buddha" and "dragon" already triangu-195 lated). 196

In addition to the 3D segmentation, the third step is related with the texturization of the 3D segments according to the 3D virtual object. These steps are further analyzed in Sec. 3.2 and 3.3, respectively. 200

# 2.2 Realtime Stage

The realtime stage corresponds to the methods that have to<br/>be computed each time a new image frame is received. This<br/>stage is formed by the following four steps: "skeleton pro-<br/>jection", occlusions, illumination and display.202<br/>203

Since we are using very small 3D triangles, and we are ignoring the distortion effects on these triangles, their image (textured) will just depend on the projection of three 3D points to the 2D image plane that represent the three vertices of each 3D triangle. The "skeleton projection" step is related 210



Fig. 3: Depiction of the problem related to the projection of a 3D object onto the image plane, using a non-central imaging device. This figure shows the three coordinates systems that must be considered: world ( $\mathcal{W}$ ), camera ( $\mathcal{C}$ ) and mirror ( $\mathcal{O}$ ) respectively). Also represented are the transformations between the coordinates systems: between mirror and camera coordinates ( $\mathbf{H}^{(\mathcal{CO})}$ ) and between world and camera coordinates ( $\mathbf{H}^{(\mathcal{CW})}$ ).

with the projection of the triangles' vertices onto the image 211 plane. Note that, since we are using non-central catadioptric 212 cameras, this step is not as easy as the conventional perspec-213 tive projection. In addition, one has to verify if the coordi-214 nate system of the virtual object is aligned with the camera's 215 coordinate system. To deal with this situation, before com-216 puting the projection of 3D points to the image plane, we 217 have to estimate the pose of the camera. This is a very im-218 portant issue when we have a mobile camera. This step is 219 further analyzed in Sec. 3.4. 220

Since we are considering the projection of small seg-221 ments onto the image plane, it is very important to un-222 derstand if these segments are overlapped and, if they are, 223 which of them are in front. The main difference between the 224 proposed method and the conventional algorithms is related 225 to the definition of "point of view". For the conventional per-226 spective camera, one can use the camera center (also called 227 the effective view point [8]) as a "point of view" for all 3D 228 triangles, and the distance between the triangle and the cam-229 era is computed as a distance between the 3D segment and 230 the camera center. For our case, this cannot be applied. Note 231 that we are considering non-central imaging devices, which 232 means that there isn't a single point where all the 3D pro-233 jection lines intersect. To solve this problem, we propose 234 a solution based on the Painter's Algorithm methodology, 235 which consists in drawing the scene (small segments) from 236 the farthest to the nearest. This problem is fully addressed in 237 Sec. 3.5. 238

Note that, Z-Buffer is probably the simplest and most
 widely used technique to solve this problem. However, this

method requires the association between pixels and coor-241 dinates of 3D points, for all pixels that define the object. 242 We want to avoid this because of the complexity associated 243 with the projection of points to images of non-central cata-244 dioptric systems (state-of-the-art solution cannot be applied 245 directly). Moreover, we are ignoring the distortion effects on 246 the projection of the triangles (by considering a large num-247 ber of small 3D triangles), which means that there is no easy 248 way to compute the matching between all pixels and respec-249 tive 3D points that belong to the triangles. 250

When regarding illumination and shading, there are sev-251 eral proposed approaches [24, Chapter 6]. However, these 252 methods were derived for imaging devices that can be mod-253 eled by the central perspective camera and, as a result, can-254 not be applied in our framework. For simplicity, we used 255 Flat shading technique, which considers the complete illu-256 mination of the 3D triangle equal to the illumination of the 257 mass center of the respective 3D triangle. In addition, since 258 we are dealing with irrelugar surfaces (Stanford objects), we 259 also reformulate the Gouraud shading technique (which is 260 usually used for smooth objects) to work with general non-261 central catadioptric cameras. This technique uses the colour 262 of each of the triangle's vertices and, knowing this informa-263 tion, defines the colour of all the triangle's pixels using a 264 linear interpolation process. 265

As for the illumination parameters, we reformulate the well known Phong's reflection model. The equation parameters applied to our case (non-central catadioptric systems) are analyzed in Sec. 3.6. 269

Now that we have all the required information (projection of the 3D triangulated virtual object to the 2D image including occlusions and illumination properties), the fourth step is about the display of the object in the current frame. For simplicity, we used the OpenGL to render/display the virtual object on the current frame obtained from the camera. 276

#### **3** Detailed Steps of the Pipeline

In this section, we will describe in detail the steps in which the proposed pipeline of Fig. 2 are decomposed. For now on, we will use the superscripts  $(\mathcal{W})$ ,  $(\mathcal{C})$  and  $(\mathcal{O})$  to represent features in the world (in which the 3D object was defined), camera and mirror coordinates, respectively. 282

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## 3.1 Camera Calibration



Fig. 4: Representation of the projection (proposed at Sec. 3.4) and illumination steps (described at Sec. 3.6). Points  ${}_{(i)}^{(j)}\mathbf{p}$  represent vertices of the triangle and the main goal is to project these vertices to the image, which consists on the estimation of the respective points on the mirror  ${}_{(i)}^{(j)}\mathbf{r}$ . The directions  ${}_{(i)}^{(j)}\mathbf{l}_i$ ,  ${}_{(i)}^{(j)}\mathbf{n}$ ,  ${}_{(i)}^{(j)}\mathbf{v}$  denote the incident ray (that came from the light source), the normal at the respective point, the reflected ray and the viewer's direction respectively.

one has to consider: the calibration of the central perspective camera, which means, estimate the camera parameters  $\mathbf{K} \in \mathbb{R}^{3\times3}$  such that  ${}^{(j)}\mathbf{v}_r^{(\mathscr{C})} \sim \mathbf{K}^{(j)}\mathbf{r}^{(\mathscr{C})}$  (where  ${}^{(j)}\mathbf{v}_r^{(\mathscr{C})}$  and  ${}^{(j)}\mathbf{r}^{(\mathscr{C})}$  are the projection ray of the perspective camera and the respective 3D point on the mirror); and the mirror parameters matrix  $\Omega \in \mathbb{R}^{4\times4}$  and  $\mathbf{H}^{(\mathscr{O}\mathscr{C})} \in \mathbb{R}^{4\times4}$  such that

$$^{(j)}\mathbf{r}^{(\mathscr{C})\ T}\ \mathbf{H}^{(\mathscr{OC})\ T}\ \mathbf{\Omega}\mathbf{H}^{(\mathscr{OC})\ (j)}\mathbf{r}^{(\mathscr{C})} = 0, \tag{1}$$

where  $\mathbf{H}^{(\mathscr{OC})}$  is the matrix that transforms a point from the quadric to the camera coordinate systems, see Fig. 3. Now that we have all the required parameters, we can use the Snell's law to compute the 3D projection direction

$${}^{(j)}\mathbf{v}_{i}^{(\mathscr{C})} = {}^{(j)}\mathbf{v}_{r}^{(\mathscr{C})} - 2\left({}^{(j)}\mathbf{v}_{r}^{(\mathscr{C})T}{}^{(j)}\mathbf{n}_{q}^{(\mathscr{C})}\right){}^{(j)}\mathbf{n}_{q}^{(\mathscr{C})}, \qquad (2)$$

where  ${}^{(j)}\mathbf{n}_{q}^{(\mathscr{C})}$  is the normal vector at the 3D quadric mirror point  ${}^{(j)}\mathbf{r}^{(\mathscr{C})}$ . To calibrate the non-central catadioptric camera, we follow the method proposed by Perdigoto and Araujo [16].

# 302 3.2 3D Object Triangulation

As mentioned above, we decided to segment the virtual ob-303 ject in 3D triangles. To avoid distortion aberrations, we just 304 considered very small triangles (the distortion in the image 305 will be very small). Let us consider that we know the co-306 ordinates of the 3D virtual object (which we know from 307 definition). As a result, points that belong to that 3D object 308 can be referenced. Using these points we can use Delaunay 309 algorithm [29] to compute the 3D triangles that define the 310 virtual object. In addition, in our experiments, we also used 311 three 3D objects that were already triangulated: the Stanford 312 "bunny", the "happy buddha" and the "dragon". 313

Let us consider that an object is, already, triangulated  $^{314}$  with *N* 3D triangles. Thus, we know the coordinates of the  $^{315}$  three vertices that define the *N* triangles. Formally  $^{316}$ 

$$\begin{cases} {}^{(j)}_{(1)} \mathbf{p}^{(\mathscr{W})}, {}^{(j)}_{(2)} \mathbf{p}^{(\mathscr{W})}, {}^{(j)}_{(3)} \mathbf{p}^{(\mathscr{W})} \end{cases}, \text{ for } j = 1, \dots, N$$
(3)

where  $\binom{(j)}{(i)} \mathbf{p}^{(\mathscr{W})}$  are the coordinates of the *i*<sup>th</sup> vertex of the *j*<sup>th</sup> <sup>317</sup> triangle.

Fig. 5(a) presents the result of the segmentation for the 319 3D parallelepiped object. 320

#### 3.3 Texture Mapping

Let us consider, for example, the texturization of a 3D virtual 322 parallelepiped. Using the triangulation defined in Sec. 3.2, 323 we know the vertices that form all triangles (3D point 324  $(j)_{(i)} \mathbf{p}^{(\mathscr{W})}$ ). Since we consider the 2D faces individually, one 325 can obtain the texture associated to each triangle through a 326 conversion of the 3D world coordinates of each face to the 327 respective texture coordinates (a 2D image). This procedure 328 can be done at the pre-processing stage because we are con-329 sidering that the coordinates associated to each triangle will 330 not change (static objects). For the Stanford objects, since 331 the goal of our work is not to map a texture to an irregular 332 surface, we used a single colour texture to all the 3D trian-333 gles that define the object. 334

Fig. 5(d) presents the result of the texturization for the 3D parallelepiped object.

#### 3.4 Skeleton Projection

In this step, the goal is to compute the projection of 3D triangles (that define the 3D virtual object) onto the image plane. 339

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(b) Without occlusions step.

(a) Triangles projection



(c) With occlusions step.

Window -

(d) Texture mapping.

Fig. 5: Results of the triangles' projection and occlusion steps applied to a 3D parallelepiped cube. Fig. (a) shows the projection of the 3D triangles onto the image. Figs. (b) and (c) show the effects of the occlusion step (before and after respectively) and Fig. (d) shows the result of the occlusion step with textured faces. The effects of distortion can be easily seen from any of these images.

Since we are ignoring the effects of triangle's distortion, 340 this can be computed simply by projecting the three vertices 341 (that define each triangle) to the image. Let us consider the 342 projection of 3D world points to the image plane of a non-343 central catadioptric camera. Since we know the parameters 344 of the calibration of the perspective camera (see Sec. 3.1), 345 this problem can be seen as the estimation of the 3D reflec-346 tion point on the mirror (see Fig. 4). The first thing one needs 347 to verify is if the coordinate system of the 3D object is the 348 same as the coordinates of the camera system. As a result, 349 we have to compute the rigid transformation  $\mathbf{H}^{(CW)} \in \mathbb{R}^{4 \times 4}$ 350 between both coordinate systems (see Fig. 3) 351

$${}^{(j)}_{(i)}\widetilde{\mathbf{p}}^{(\mathscr{C})} \sim \mathbf{H}^{(\mathscr{C}\mathscr{W})}{}^{(j)}_{(i)}\widetilde{\mathbf{p}}^{(\mathscr{W})},$$

$$(4)$$

where  $\tilde{\mathbf{p}}$  denotes the homogeneous representation of  $\mathbf{p}$ . This problem is known as the absolute camera pose estimation. Several authors addressed this problem, *e.g.* [30, 31, 32]. In the experiments, we used the method proposed by Miraldo and Araujo at [33]. This is very important since the goal is to use a mobile camera. Each time a new image is received, the pose must be recomputed. From now on, we will assume

Algorithm 1: Reformulation of Painter's algorithm for
images of non-central catadioptric cameras.
Let ${}^{(j)}_{(i)}\mathbf{p}$ be the 3D coordinates of the $i^{\text{th}}$ vertex of the $j^{\text{th}}$
triangle and N the number of existing triangles:
for $j = 1$ to N do
Compute mass center ${}^{(j)}\mathbf{t}$ for each triangle (7);
Compute reflection point $^{(j)}\mathbf{r_t}$ , using [22];
Set ${}^{(j)}\xi$ as the distance between ${}^{(j)}\mathbf{r}$ and ${}^{(j)}\mathbf{t}$ ;
end
Sort all the triangles by descending order using ${}^{(j)}\xi$ , for all

that 3D points are already known in the camera coordinate system.

Now, for all the vertices of the triangles  ${(j) \atop (i)} \mathbf{p}^{(\mathscr{C})}$  (in the 361 coordinates of the camera system), the goal is to compute 362 the reflection point in the mirror  $\binom{(j)}{(i)}\mathbf{r}^{(\mathscr{C})}$ . We used the so-363 lution method proposed by Gonçalves [22]. Note that other 364 solutions could be used, for instance the method proposed 365 by Agrawal et al. [23]. These methods are quite complex 366 and the goal in this paper is not to address this problem. 367 Therefore, we will consider a black box such that 368

$${}^{(j)}_{(i)}\mathbf{r}^{(\mathscr{C})} = \mathrm{fProj}\left({}^{(j)}_{(i)}\mathbf{p}^{(\mathscr{C})}\right), \text{ for all } i \text{ and } j.$$
(5)

Using this methodology, we can now assume that we have the projection of all the 3D triangles that form the 3D virtual object. We will denote these triangles (on the image plane) as 371

$$\begin{cases} {}^{(j)}_{(1)} \mathbf{u}, {}^{(j)}_{(2)} \mathbf{u}, {}^{(j)}_{(3)} \mathbf{u} \end{cases}, \text{ where } {}^{(j)}_{(i)} \mathbf{u} \sim \mathbf{K}^{(j)}_{(i)} \mathbf{r} \text{ and} \\ {}^{(j)}_{(i)} \mathbf{p} \mapsto {}^{(j)}_{(i)} \mathbf{r}, \ \forall j = 1, \dots, N, \quad (6) \end{cases}$$

where  ${j \choose i} \mathbf{u}$  are the coordinates of the vertices on the image plane and  $\mathbf{K} \in \mathbb{R}^{3 \times 3}$  are the camera intrinsic parameters [8]. 373

#### 3.5 Occlusions

j = 1, ..., N;

As it was previously said, to solve the occlusions' problem, 376 we propose a solution based on Painter's Algorithm. This 377 method was chosen because of its simplicity and efficiency. 378 However, since we are using non-central catadioptric imag-379 ing systems, this methodology have to be reformulated tak-380 ing into account the geometry of the imaging device. The 381 goal of painter's algorithm is to organize the 3D triangles 382 as a function of the distance between these triangles and the 383 camera system. As a result, to compute the distance between 384 the 3D triangles and the camera system, we consider the dis-385 tance between the triangle (we use the mass center of the tri-386 angle for simplicity) and the respective 3D reflection point 387 on the mirror (see Fig. 4). In more detail, to compute the 388 distance of each triangles j to the catadioptric camera, we consider the depth between the triangle's mass center

$${}^{(j)}\mathbf{t}^{(\mathscr{C})} = \frac{{}^{(j)}_{(1)}\mathbf{p}^{(\mathscr{C})} + {}^{(j)}_{(2)}\mathbf{p}^{(\mathscr{C})} + {}^{(j)}_{(3)}\mathbf{p}^{(\mathscr{C})}}{3},$$
(7)

<sup>391</sup> and its reflection point

$${}^{(j)}\mathbf{r}_{t}^{(\mathscr{C})} = \operatorname{fProj}\left({}^{(j)}\mathbf{t}^{(\mathscr{C})}\right), \text{ for all } j.$$
(8)

This step is formalized in algorithm 1. After its application, we have the 2D triangles in descending order and ready to display.

Results for this step are presented in Figs. 5(b) and (c). The first figure shows the projection of the 3D parallelepiped, without using the proposed occlusions' solution. The second figure shows the results after the application of the proposed algorithm. One can see that the proposed algorithm works well as expected and the problem is completely solved.

#### 402 3.6 Illumination

In augmented reality, an object without illumination will be represented as a BLOB. When regarding irregular objects, we will not have the perception of the object's shape. To better understand the problem and its consequences, we show two images of the projected object without and with the application of the illumination step. The results are shown in Fig. 6.

The traditional approach to this problem is to express 410 the illumination as a composition of several light sources 411 (and their interactions with the physical materials) and the 412 scene's global illumination. We start from the Phong's re-413 flection equation and derive a solution to work with non-414 central catadioptric cameras, (12). The three color channels 415 are computed separately. For each channel and for a single 416 point (on the image), we then defined two illumination com-417 ponents:  $\tilde{I}^{(ch)}$ , which represents the influence of both global 418 and light source ambient properties on the object's mate-419 rial; and  $\check{I}^{(ch)}$ , which represents the influence of the diffuse 420 and specular light source properties on the object's material. 421 The first one does not depend on the geometry of the camera 422 systems and does not require further analysis. On the other 423 hand, the latter depends on the object's projection to the im-424 age. Next, we analyse in more detail each components: 425

**Diffuse reflection**: related with the object shape. It depends on the direction of the incident ray (that comes from the light source) and the surface normal at the respective 3D point (vertex position);

A30 - Specular reflection: associated with the shininess re flected by the object. It depends on the reflection ray



Fig. 6: Results of the application of the illumination step to the "bunny" object. In Fig. (a) we show the results without the illumination step. As it can be seen, without illumination the object will be represented as a BLOB. In Fig. (b) we show the same results with the illumination step.

(that can be obtained using (2), assuming that the in-432 cident ray of the light source and the surface normal are 433 known) and direction to the viewer's position. The inci-434 dent ray is known (which is given by the position of the 435 light source) and we can obtain its reflection ray using 436 the Snell's law. Since we are using non-central systems, 437 the direction to viewer's position can not be computed 438 such as conventional techniques. For central cameras, 439 this direction is computed by considering viewer's posi-440 tion at the "single view point". To solve this problem, we 441 define the viewer's position at the respective reflection 442 point on the mirror, which can be computed using [22, 443 23]. 444

The ambient, diffuse and specular components are computed for all the vertices of the triangles, considering individually each light source influence.

In addition to these components, we need to take into account four additional directions (unitary): vector  ${j \choose k} l_i^{(\mathscr{C})}$ represents the direction that points from the object point to the *k*<sup>th</sup> light source (assumed to be known); vector  ${j \choose l} \mathbf{n}_l^{(\mathscr{C})}$ denotes the normal to the *j*<sup>th</sup> triangle 449

$${}^{(j)}\mathbf{n}_{t}^{(\mathscr{C})} = \frac{\begin{pmatrix} (j) \mathbf{p}^{(\mathscr{C})} - (j) \mathbf{p}^{(\mathscr{C})} \end{pmatrix} \times \begin{pmatrix} (j) \mathbf{p}^{(\mathscr{C})} - (j) \mathbf{p}^{(\mathscr{C})} \end{pmatrix}}{\left| \begin{pmatrix} (j) \mathbf{p}^{(\mathscr{C})} - (j) \mathbf{p}^{(\mathscr{C})} \end{pmatrix} \times \begin{pmatrix} (j) \mathbf{p}^{(\mathscr{C})} - (j) \mathbf{p}^{(\mathscr{C})} \end{pmatrix} \right|};$$
(9)

vector  ${\binom{j}{k}} \mathbf{l}_r^{(\mathscr{C})}$  denotes the  $k^{\text{th}}$  reflected direction on the mass center point  ${\binom{j}{k}} \mathbf{t}^{(\mathscr{C})}$  that can be computed using the Snell's dsta law ds5

$${}^{(j)}_{(k)} \mathbf{l}^{(\mathscr{C})}_{r} = {}^{(j)}_{(k)} \mathbf{l}^{(\mathscr{C})}_{i} - 2 \left( {}^{(j)}_{(k)} \mathbf{l}^{(\mathscr{C})}_{i} {}^{T}_{(j)} \mathbf{n}^{(\mathscr{C})}_{t} \right) {}^{(j)}_{t} \mathbf{n}^{(\mathscr{C})}_{t};$$
(10)

and vector  ${}^{(j)}\mathbf{v}_i^{(\mathscr{C})}$  represents the direction that points from  ${}^{456}_{457}$ 

$${}^{(j)}\mathbf{v}_{i}^{(\mathscr{C})} = \frac{{}^{(j)}\mathbf{r}_{t}^{(\mathscr{C})} - {}^{(j)}\mathbf{t}^{(\mathscr{C})}}{\left| {}^{(j)}\mathbf{r}_{t}^{(\mathscr{C})} - {}^{(j)}\mathbf{t}^{(\mathscr{C})} \right|}$$
(11)

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# Algorithm 2: Proposed illumination algorithm.

Let  $\binom{(j)}{(i)}\mathbf{p}$  be the 3D coordinates of the *i*<sup>th</sup> vertex of the *j*<sup>th</sup> triangle, N the number of existing triangles. M the number of light sources,  $\mathbf{d}_{sl(k)}$  the direction of the spotlight and  $\Omega$  the union between the spotlight and  $j^{th}$  triangle's edges: for j = 1 to N do

Compute vertices' normal  $\binom{(j)}{(i)} \mathbf{n}_t$ ; Compute the reflection points  ${}^{(j)}_{(i)}\mathbf{t} \mapsto {}^{(j)}_{(i)}\mathbf{r}$ ; Compute the visualization vectors  $\binom{(j)}{(i)}\mathbf{v}$ ; Set  ${}^{(j)}_{(i)}I^{(ch)} = {}^{(j)}_{(i)}\tilde{I}^{(ch)}$  for each vertex; for k = 1 to M do Compute the reflection rays  $_{(i)}\mathbf{l}_{r(k)}$ ; Set  $_{(i)}f_k = 1$  and  $_{(i)}spot_k = 0$ ; if angle between  $_{(i)}\mathbf{l}_{i(k)}$  and  $_{(i)}^{(j)}\mathbf{n}$  bigger than zero then  $_{(i)}f_k=0;$ end if maximum of  $\langle {}_{(i)}\mathbf{l}_{i(k)},\mathbf{d}_{sl(k)}\rangle$  and 0 bigger than  $C^{te}_{(k)}$  then  $\Big| \sum_{(i) \text{ spot}_k}^{T} \mathbf{d}_{sl(k)} \mathbf{d}_{sl(k)}, 0 \Big\}^{\mathscr{E}};$ end Add  $_{(i)}^{(j)}I^{(ch)} = _{(i)}^{(j)}I^{(ch)} + _{(i)}^{(j)}\check{I}_{k}^{(ch)}$  for each vertex, see (12); end Calculate  ${}^{(j)}I^{(ch)}$  using a linear interpolation of  ${}^{(j)}_{(i)}I^{(ch)}$ ; end

(note that, since we are using non-central catadioptric cam-458 eras, most of the novelty of the proposed approach is in the 459 use of  ${}^{(j)}\mathbf{v}_i^{(\mathscr{C})}$ ). In addition, one has to consider the  $k^{\text{th}}$  spot-460 light direction  $_{(k)}\mathbf{d}_{sl}^{(\mathscr{C})}$ , which is also assumed to be known. 461 Regarding the shading, we could use variations of Flat, 462 Phong, or Gouraud's techniques (note that all of them need 463 changes in what is related with the image formation). In our 464 experiments we used both Flat and Gouraud's methodolo-465 gies. As it was previously said, Gouraud's technique allows 466 a smoother transition between the triangles. As it was men-467 tioned, this methodology calculates the colour of the triangle 468 using a linear interpolation process between the colour of the 469 three vertices, that forms the respective triangle. The pro-470 posed solution using the Gouraud technique is formalized in 471 Algorithm 2. For the flat shading technique, the main dif-472 ference (considering the Gouraud's method) is that the three 473 vertices of each triangle will have the same colour.

To conclude this step, we also had to take into account 475 another illumination problem, which we denote as the occlu-476 sions' illumination problem. Let us consider the case where 477 a triangle is behind another triangle, regarding the spotlight 478 position. Note that, for the occlusions illumination prob-479 lem and since we assumed each piece (triangle) as an in-480 dependent part, the main issue is that the triangles which 481 should not have a colour associated (because of nearests 482 triangles are in front) will have. This occurs because the 483



Fig. 7: Depiction of the illumination's occlusion problem. Fig. (a) shows the results of the illumination without taking into account the illumination's occlusions (a triangle's illumination is occluded by another triangle). As it can be seen, in a realistic scenario and taking into account the light position, the triangles on the base and on the front of the object should not be illuminated (there are triangles in front of them when regarding the light source). Fig. (b) shows the results considering the illumination's occlusions.

Phongs Reflection Model will calculate the colour of trian-484 gle, only considering its normal. Thus, triangles that are oc-485 cluded (considering the light source) by others triangles will 486 be illuminated. In this case, the first triangle should not be 487 illuminated. However, the proposed Algorithm 2 does not 488 solve this problem. This problem does not depend on the 489 geometry of the imaging device and there are several solu-490 tions in the literature that could be used to solve this prob-491 lem. In this paper we implemented a simple method, which 492 basically searches if a triangle k is occluded by any other 493 triangle and, if it is occluded, sets  $occ_k = 0$  (otherwise it 494 will be  $occ_k = 1$ ). Later, this parameter will be used on (12) 495 (which already takes into account this parameter). Results 496 before and after the application of this step are shown in 497 Figs.7 (a) and (b), respectively. 498

# **4** Experiments

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The goal of this section is to evaluate the proposed frame-500 work. On the experiments, we used a non-central catadiop-501 tric camera formed with a perspective camera and a spheri-502 cal mirror. As described in the introduction section, we test 503 our framework using four 3D virtual objects: parallelepiped, 504 "bunny", "happy buddha" and "dragon". Note that, on the 505 detailed description subsections of the proposed solution, 506 we already presented some experiments to evaluate the re-507 spective steps. 508

For the illumination parameters, (12), we chose to wrap 509 our virtual objects with silver, which is a standard material 510 in computer graphics. Additionally, our light source will be 511

A Framework for Augmented Reality using Non-Central Catadioptric Cameras

$$\overbrace{(i)}^{\widetilde{I}(ch)} = \overbrace{K_e^{(ch)} + G_a^{(ch)} K_a^{(ch)} + {}_{(i)} \operatorname{spot}_k \sum_{k=1}^{M} \underbrace{(k) L_a^{(ch)} K_a^{(ch)}}_{\text{ambient component}} + + \underbrace{K_e^{(ch)} + G_a^{(ch)} K_a^{(ch)} + \underbrace{(k) L_a^{(ch)} K_a^{(ch)} + (k) K_a^{(ch)} + (k) \underbrace{(k) L_a^{(ch)} K_a^{(ch)} + (k) \underbrace{(k)$$

Illumination equation for a single 3D point using non-central catadioptric cameras: *M* is the number of light sources;  $K_a^{(ch)}$ ,  $K_d^{(ch)}$ ,  $K_s^{(ch)}$ ,  $K_e^{(ch)}$  and *sh* are ambient, diffuse, specular, emission, shininess material color properties;  $G_a^{(ch)}$  is the global ambient light property ((ch) denotes the color channel);  ${}_{(k)}L_a^{(ch)}$ ,  ${}_{(k)}L_d^{(ch)}$ ,  ${}_{(k)}L_s^{(ch)}$ ,  ${}_$ 



Fig. 8: Results of the computational effort for all the 3D objects. In Fig.(a) we present the number of frames per second obtained using different number of triangles for the cube object. In Fig.(b), we show the relation between the number of frames per second and the number of triangles for the "bunny", "buddha" and "dragon" objects.

treated as a spotlight, which is a positional and directional light source. In these experiments, we are always pointing the spotlight direction to the center of the 3D object. We also defined  $L_a^{(ch)}$ ,  $L_d^{(ch)}$  and  $L_s^{(ch)}$  to be white for the parallelepiped and the "bunny" objects. For the "buddha" and the "dragon" objects, we used a gold and red spotlight, respectively. For the global ambient light property  $(G_a^{(ch)})$  we used an arbitrary constant for each of the channel components.

On the first experiment, we captured a set of images 520 when considering a moving spotlight. The results are shown 521 in Fig. 9. For this experiment we used the parallelepiped, 522 "bunny", "buddha" and "dragon" objects. For the first two 523 objects (parallelepiped and "bunny", first and second row, 524 respectively), we used our framework without taking into 525 account the parameter  $occ_k$ , which means that illumination's 526 occlusions between triangles are not taked into account. Re-527 garding the shading we used a Flat shading technique. For 528 the "buddha" and the "dragon" (third and fourth rows) illu-529 mination's occlusions and Gouraud shading technique were 530 used. As it can be easily seen, comparing these images with 531 the results of the first and second rows, these results are 532 more realistic, when using irregular surfaces (as it would be 533 expected). In addition, to evaluate the computational effort, 534 we repeated these tests using different number of triangles 535 that define each objects. Taking into account our results, 536 a good dimension of the triangles is around 0.1188cm<sup>2</sup>, 537 for distances greater than 10cm. For each object, approx-538 imately 300 frames were captured (with different spotlight 539 positions), saving the comutational time required to compute 540 each frame. The estatistical distribution of each sequence are 541 shown in Fig. 8(b). As expected, the execution time is higher 542 (inverse of the frames per second) when the number of tri-543 angles (that form the 3D object) increases. From our point 544 of view, the computational complexity of the pre-processing 545 stage is not critical, and that is why we did not include any 546 reference to the required computation time. Note that the 547 pre-processing stage only needs to be ran one time. Videos 548 with the complete sequences (recorded in realtime) are sent 549 in the supplementary material. 550

In addition, we propose an experiment using multiple lights sources in the scene. For this test, we used three moving spotlights with different colours and movements, all pointing to the "bunny" object. The results of this experi-554

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<sup>555</sup> ment can be seen in Fig. 10. A video with these results are <sup>556</sup> also sent in the supplementary material.

To conclude the experiments, we considered the same 557 camera system but, in this case, mounted on a mobile robot 558 (Pioneer 3D-X robot [34]). For this case, to ensure that 559 the object's position is independent on the position of the 560 robot/camera, the pose of the robot is computed (in this pa-561 per we used the method proposed by Miraldo and Helder 562 at [33]) before the application of the augmented reality. The 563 results, for this experiment, are shown in Fig. 11. 564

## 565 5 Conclusions

In this paper we address the use of Augmented Reality on 566 images of a non-central catadioptric system. We believe that 567 this is the first time that this problem is addressed. The goal 568 of this paper is to identify differences between Augmented 569 Reality using conventional perspective cameras versus non-570 central catadioptric cameras. We saw that, in theory, to be 571 able to use augmented reality on non-central catadioptric 572 cameras, one needs to take into account changes on the fol-573 lowing steps: projection of the 3D triangles to the 2D image 574 plane; check for occlusions on the projected triangles; and 575 compute the illumination associated to each triangle. After 576 identifying and understanding these problems, we proposed 577 changes to each of these steps. From the experimental re-578 sults, we conclude that the proposed solutions work well and 579 in realtime. 580

Now, since we fully understand the differences between 581 Augmented Reality using conventional perspective cameras 582 and non-central catadioptric cameras, we can highlight some 583 future work. The first is related to the projection of the tri-584 angles. We intentionally chose to use a large number of 585 very small triangles to neglect the distortion effects associ-586 ated with the projection of the 3D triangles. However, if this 587 distortion can be considered, a smaller number of triangles 588 could be used and the computation time would decrease sig-589 nificantly. Another improvement that we intend to consider 590 is the shadows' effects of the virtual object, projected onto 591 the real scene, as well as the direct effect of the light source 592 on the real scene. All the steps/algorithms presented in this 593 paper were implemented on ROS and will be available when 594 the paper is accepted. 595

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Fig. 9: Results of the application of the proposed framework, considering a moving spotlight. We used all the 3D objects: parallelepiped (first row), "bunny" (second row), "buddha" (third row) and "dragon" (fourth row). For the parallelepiped and the "bunny" we tested our framework without taking into account the illumination's occlusions between triangles using a Flat shading technique. For the "buddha" and "dragon", the illumination's occlusions were taken into account and a Gouraud shading technique was used (for more detail see Sec. 3.6). Videos (recorded in real time) with the complete sequences are sent in supplementary material.

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Fig. 10: In this figure we show a set of frames in which we apply the proposed framework, considering three moving spotlights with different colors (blue, green and red) affecting the Stanford bunny. To obtain this result three different movements were applied to each one of the spotlights to show that our solution, for the illumination step, is working correctly with the use of multiple spotlights in our framework.

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Fig. 11: Results of our framework for three different positions of the robot. On the left column, we present the image obtained by the auxiliar camera, which is acquiring the realtime events in the real world, on the center column, we show the 3D virtual arena showing the position of the robot in the arena and, on the right column, it is presented the result of our framework according to the position of the robot and light focus (which is on the top of the robot).