

STRONG APPROXIMATION

AND

GOLDEN GATES

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## CLASSICAL COMPUTING

SINGLE BIT STATE  $\{0, 1\}$

GATES FOR CIRCUITS ACHIEVE ANY

BOOLEAN  $f: \{0, 1\}^N \rightarrow \{0, 1\}$

VIA  $\sim, \wedge$  etc.

COMPLEXITY IS THE SIZE OF THE CIRCUIT.

## THEORETICAL QUANTUM COMPUTING

SINGLE QUBIT STATE

$$\psi = (\psi_1, \psi_2) \in \mathbb{C}^2, |\psi|^2 = 1$$

A 1-BIT QUANTUM GATE IS AN ELEMENT  $A \in U(2)$  OR BETTER  $G = PU(2)$  (NO PHASES).

A UNIVERSAL GATES SET  $\mathcal{G}$  IS ONE WHICH GENERATES  $G$  TOPOLOGICALLY

•  $n$ -QUBITS,  $(\mathbb{C}^2)^{\otimes n}$  AND GATES ARE BUILT FROM THE 1-QUBIT GATES.

$$d_G^2(x, y) = 1 - \frac{|\text{tr}(x^*y)|}{2} = d(hx, hy) = d^2(xh, yh), \quad h \in G.$$

### $\mu$ -HAAR MEASURE ON $G$






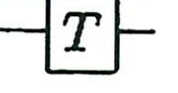
$B_r(x)$  BALL CENTERED AT  $x$  RADIUS  $r$ .

$$\mu(B_\epsilon) \sim C \epsilon^3, \quad \epsilon \text{ SMALL.}$$

• WANT GATE SETS WHICH HAVE SHORT CIRCUITS TO APPROXIMATE A GENERAL  $x \in G$ .

SOLOVAY / KITAEV THEOREM (95):  
EFFICIENT UNIVERSAL GATE SETS EXIST.

### TEXT BOOK GATES:

Hadamard		$\frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$
Pauli-X		$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$
Pauli-Y		$\begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$
Pauli-Z		$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$
Phase		$\begin{bmatrix} 1 & 0 \\ 0 & i \end{bmatrix}$
$\pi/8$		$\begin{bmatrix} 1 & 0 \\ 0 & e^{i\pi/4} \end{bmatrix}$

H AND S GENERATE A FINITE SUBGROUP OF G OF ORDER 24 - THE "CLIFFORD GATES".

THESE ALONE CAN BE SIMULATED WITH A CLASSICAL COMPUTER (CHEAP MEMBERS OF A CIRCUIT).

ADDING T TO H AND S GIVE A UNIVERSAL GATE SET.

ROSS-SELINGER (2014): GIVE AN OPTIMALLY EFFICIENT HEURISTIC ALGORITHM TO APPROXIMATE ANY DIAGONAL  $A \in G$ , WITH MINIMAL T-COUNT.

EG:  $d\left(U, \begin{bmatrix} e^{i\pi/128} & 0 \\ 0 & e^{-i\pi/128} \end{bmatrix}\right) < 10^{-10} = \epsilon$

WITH THE CIRCUIT U

$U = HTSHTSHT \dots \dots \dots HTH$

T-count is 102 (100 is optimal).

$\epsilon = 10^{-20}$ , T-count 200 (198 optimal).

$\epsilon = 10^{-2000}$ , T-count 19942 (19934 optimal)

"V-GATES" (ALL ARE SPECIAL CASES  
OF A GENERAL CONSTRUCTION  
LUBOTZKY-PHILLIPS - 5 88)

$$V_1 = \frac{I + 2iX}{\sqrt{5}}, \quad V_2 = \frac{I + 2iy}{\sqrt{5}}, \quad V_3 = \frac{I + 2iz}{\sqrt{5}}$$

□ THE GROUP (GATES) GENERATED BY  
 $V_1, V_2, V_3$  IS FREE. THE NUMBER  
 $N_t$  OF (REDUCED) WORDS OF LENGTH  $\leq t$  IS  
$$N_t = 6 \cdot 5^{t-1}$$

THE BASIC PROBLEM IS TO APPROXIMATE  
AN ARBITRARY GEG BY CIRCUITS OF  
MINIMAL AND SHORT LENGTH.

• ALGORITHM ; HERE WE SEEK  
SOMETHING LIKE A CONTINUED  
FRACTION ALGORITHM IN  $G$

• HOW WELL DO THE GATES DO?



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## EUCLID AND CONTINUED FRACTIONS:

ACCORDING TO KNUTH AND NOW WIKIPEDIA "THE EUCLIDIAN ALGORITHM TO COMPUTE THE GCD IS ONE OF THE OLDEST ALGORITHMS THAT IS STILL USED"

• CLOSELY RELATED IS THE CONTINUED FRACTION ALGORITHM:

LET  $\frac{a}{q} \in [0, 1]$ ,  $(a, q) = 1$ ,  $q \in \mathbb{Q}$   
BE THE FAREY FRACTIONS OF ORDER  $Q$ .

THE CONTINUED FRACTION ALGORITHM GIVES THE  $\frac{a}{q}$  CLOSEST TO  $\alpha \in [0, 1]$  (WHICH IS GIVEN) AND FAST,  $\text{POLY}(\log Q)$ .

BASED ON THE PROJECTIVE LINEAR TRANSFORMATIONS

$$S = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}, \quad T = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$$

WHICH GENERATE  $SL_2(\mathbb{Z})$ .

# DIOPHANTINE

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## ANALYSIS:

HOW WELL DO THESE  $\mathbb{Q}^2$  POINTS DO?

- RATIONALS WITH SMALL DENOMINATOR REPEL

$$\left| \frac{a}{q} - \frac{a'}{q'} \right| \geq \frac{1}{qq'} \quad (\geq \frac{1}{Q^2})$$

- BY DIRICHLET'S BOX PRINCIPLE - SHARP

$$\left| \alpha - \frac{a}{q} \right| \leq \frac{1}{qQ}, \text{ some } q \leq Q.$$

- MOST INTERVALS OF LENGTH  $Q^{-2+o(1)}$  HAVE A FAREY POINT.

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IT TURNS OUT THAT AT THE HEART OF NAVIGATING  $PU(2)$  WITH "GOLDEN GATES" IS STRONG APPROXIMATION FOR QUADRICS IN  $A^4$ .



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## STRONG APPROXIMATION:

CONCERNED WITH THE DENSITY (REAL AND  $p$ -ADIC) OF INTEGER POINTS ON AFFINE VARIETIES.

HYPERSURFACES:

$$F(x_1, \dots, x_n) \in \mathbb{Z}[x_1, \dots, x_n]$$

$$X_m : F(x) = m.$$

$X_m(\mathbb{Z})$  INTEGER SOLUTIONS.

FOR  $q \geq 1$ ,  $X_m(\mathbb{Z}) \xrightarrow{\text{mod } q} X_m(\mathbb{Z}/q\mathbb{Z})$ .

DO WE GET ALL SOLUTIONS mod  $q$ ?  
( $p$ -ADIC DENSITY).

ARCHIMEDIAN DENSITY, SAY  $F$   
IS HOMOGENEOUS DEGREE  $k$ ; project

$$\frac{x}{m^{1/k}} \in X_1(\mathbb{R})$$

CAN WE APPROXIMATE  $\exists \in X_1(\mathbb{R})$  BY SUCH AS  $m \rightarrow \infty$ .



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IN GENERAL THESE ARE HOPELESS PROBLEMS, EVEN WHETHER  $X(\mathbb{Z}) \neq \emptyset$  HAS NO DECISION PROCEDURE. THE ONLY GENERAL METHOD TO PRODUCE MANY POINTS AND WITH IT STRONG APPROXIMATION IS THE HARDY-LITTLEWOOD CIRCLE METHOD. HOWEVER IT REQUIRES MANY VARIABLES COMPARED TO THE DEGREES. MUCH EFFORT IS PUT INTO REDUCING THE NUMBER OF VARIABLES (EG WOOLEY'S WORK FOR DIAGONAL F).

## BALLS IN BOXES:

WHAT IS THE OPTIMAL FORM OF STRONG APPROXIMATION THAT ONE CAN ACHIEVE?

IF ONE PLACES  $N$  BALLS IN  $N$ -BOXES ONE HAS TO BE VERY CAREFUL TO HAVE EACH BOX OCCUPIED.

HOWEVER IF  $\epsilon > 0$ , THEN PLACING  $N^{1+\epsilon}$  BALLS IN  $N$ -BOXES AT RANDOM WILL CAPTURE EACH BOX WITH HIGH PROBABILITY AS  $N \rightarrow \infty$ , AND ALMOST EVERY BOX WITH VERY HIGH PROB.



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QUADRICS:  $F(x_1, \dots, x_n)$

IS AN INTEGRAL QUADRATIC FORM  
(MORE GENERAL "S-INTEGRAL" OVER  
A NUMBER FIELD  $K$ ).

NO GO THEOREM (ADLEMAN-MANDERS 78)

(QUADRATIC EQUATIONS ARE COMPUTATIONALLY HARD)

GIVEN  $a, b, H$  POSITIVE INTEGERS  
THE PROBLEM: IS THERE AN  
INTEGER  $0 \leq x \leq H$  SUCH THAT

$$x^2 \equiv a \pmod{b}$$

IS "NP-COMPLETE"

AND THIS IS SO EVEN IF ONE  
CAN FACTOR QUICKLY!

(THE LATTER IS SOMETHING  
WE WILL ASSUME WE CAN DO)

TWO VARIABLES

$$x_1^2 + x_2^2 = m$$

OR INDEFINITE

IE A TORUS

$$X: x^2 - 2y^2 = 1$$

$X(\mathbb{Z})$  IS INFINITE AND A GROUP (CYCLIC)

TORI NEVER OBEY STRONG APPROXIMATION (BASICALLY: 2 IS NOT A PRIMITIVE ROOT MOD MANY P'S).

ALGORITHMIC:

FERMAT:  $x_1^2 + x_2^2 = p$

, p AN ODD PRIME HAS A SOLUTION IFF  $p \equiv 1(4)$ .

CAN WE FIND  $x_1, x_2$  QUICKLY?

YES! (SCHOOOF 85) IN  $POLY(\log p)$  STEPS!

$$x_1^2 + x_2^2 = m$$

FACTOR  $m = p_1^{e_1} \dots p_k^{e_k}$

III

SOLVE FOR EACH FACTOR  $p_i^{e_i}$  THEN  
MULTIPLY IN  $\mathbb{Z}[\sqrt{-1}]$ .

• HOWEVER IF  $m$  HAS MANY PRIME  
FACTORS THERE CAN BE MORE THAN  $\text{poly}(\log m)$   
SOLUTIONS AND THE PROBLEM OF FINDING  
THE CLOSEST ONE TO A POINT  $(\xi_1, \xi_2)$   
SUFFERS THE SAME FATE AS WITH  $A-M$ .

### THREE VARIABLES

$$X_m: x_1^2 + x_2^2 + x_3^2 = m > 0$$

$m \neq 4^a(8b+7)$  THEN  $X_m(\mathbb{Z}) \neq \emptyset$   
GAUSS (1800).

$$N(m) = |X_m(\mathbb{Z})| \quad \text{SUBTLE}$$

$N(m) \rightarrow \infty$  AS  $m \rightarrow \infty$   
HEILBRONN (1934)

$$N(m) = m^{\frac{1}{2} + o(1)}; \quad \text{SIEGEL - INEFFECTIVE!}$$

HOW DO THE PROJECTIONS DISTRIBUTE  
THEMSELVES (IE  $\frac{x}{\sqrt{m}}$ ) ON  $S^2$ ?



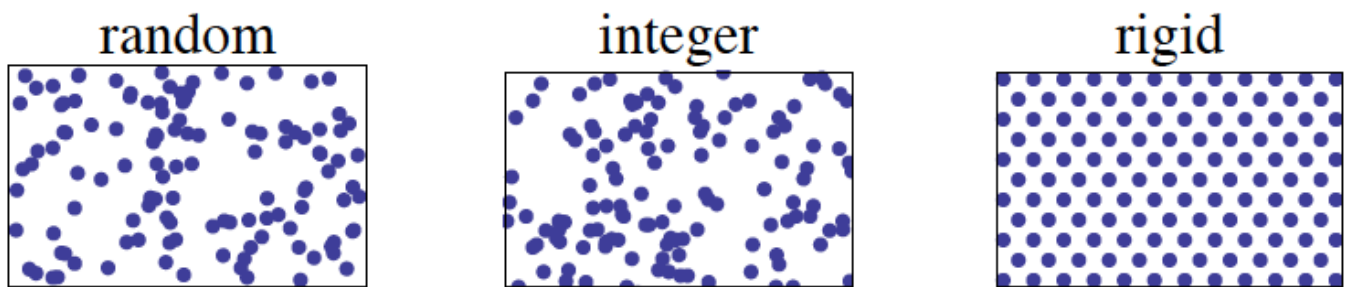


FIGURE 1. Lattice points coming from the prime  $n = 1299709$  (center), versus random points (left) and rigid points (right). The plot displays an area containing about 120 points.

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DIOPHANTINE ANALYSIS

THEOREM (DUKE 87):

THESE  $N(m)$  POINTS BECOME DENSE AS  $m \rightarrow \infty$ .

HOW DENSE?

BOURGAIN-RUDNICK-S (2014):

CONJECTURE WITH SOME EVIDENCE THAT THESE POINTS BEHAVE LOCALLY LIKE RANDOM POINTS ( $N$  OF THEM)

SO THAT THE COVERING RADIUS IS

$N^{-1/2 + o(1)}$  (THE BALL RADIUS  $\epsilon$  IN  $\mathbb{S}^2$  HAS VOL  $C\epsilon^2$ , SO " $\epsilon^{-2}$  BOXES").

IE OPTIMALLY SMALL!

• (B-R-S): ASSUME THE RIEMANN HYPOTHESIS FOR  $GL_2$  AUTOMORPHIC L-FUNCTIONS, THE ALMOST ALL  $\xi \in \mathbb{S}^2$

HAVE A POINT FROM  $X_m$  IN

$B(\xi, N^{-1/2 + o(1)})$ , OPTIMAL!

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ALGORITHM: (ADAPTION OF ROSS SELINGER)  
ROOTS IN WORK OF PETIT, LAUTER, QUASQUATER

FINDING THE POINT IN  $X_m(\mathbb{Z})$

CLOSEST TO ANY GIVEN  $\xi$  IS HARD  
(NP COMPLETE), BUT GIVEN  $\xi = \frac{a}{|a|}$

WITH  $(a_1, a_2, a_3) \in \mathbb{Z}^3$  FIXED OR SMALL

( $\log m$  IN SIZE) AND  $\epsilon > 0$  DETERMINES

IF THERE IS A POINT OF  $X_m(\mathbb{Z})$  IN

$B(\xi, \epsilon)$ . IF ONE CAN FACTOR QUICKLY

AND ASSUMES SOME HEURISTICS ABOUT  
PRIMES THE ALGORITHM RUNS IN  $\text{POLY}(\log \frac{1}{\epsilon})$ .

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IDEA: SAY  $(a_1, a_2, a_3) = (1, 0, 0)$

$$d^2 \left( \frac{(x_1, x_2, x_3)}{\sqrt{m}}, (1, 0, 0) \right) < \epsilon^2$$

•  $\sqrt{m} - x_1 \leq N \epsilon^2$

So for each such  $x_1$  solve

$$m - x_1^2 = x_2^2 + x_3^2 \dots$$



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FOUR VARIABLES

$$X_m: x_1^2 + x_2^2 + x_3^2 + x_4^2 = m > 0$$

Lagrange  $X_m(\mathbb{Z}) \neq \emptyset$

Jacobi:  $N = |X_m(\mathbb{Z})| \approx m$

ALGORITHM: (ROSS-SELINGER)

Given  $\bar{x} = (x_1, x_2, 0, 0) \in \mathbb{Z}^3$

and  $\epsilon > 0$  determines if there is an  $x \in X_m$  s.t.  $\frac{x}{\sqrt{m}} \in B_\epsilon(\bar{x})$  and provides one such  $x$  if there is one. Assuming that one can factor quickly and some strong heuristics about primes in certain sets, their algorithm runs in poly log  $1/\epsilon$  steps.

While the heuristics assumptions are very strong, they are no doubt true for most  $\bar{x}$  as above which is why their algorithm works in practice.



DIOPHANTINE ANALYSIS:

(FOLLOWS L-P-S ANALYSIS USING THE RAMANUJAN CONJECTURES)

(i) EVERY BALL  $B$  IN  $S^3$  OF RADIUS  $N^{-1/6}$  CONTAINS A POINT OF  $X_m(\mathbb{Z})$ .

(ii) "Almost all points  $\xi \in S^3$  have an  $x \in X_m(\mathbb{Z})$  in  $B_\varepsilon(\xi)$  with  $\varepsilon = N^{-1/3+o(1)}$  (OPTIMAL!)"

(iii) THERE ARE BIG HOLES  
IE. POINTS  $\eta \in S^3$  FOR WHICH

$B_\varepsilon(\eta)$  HAS NO POINTS FROM  $X_m(\mathbb{Z})$

WITH  $\varepsilon = N^{-1/4}$

CONJECTURE: THE COVERING RADIUS IS  $N^{-1/4+o(1)}$ .

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## FIVE OR MORE VARIABLES

N. SARDARI (PRINCETON THESIS 2016) HAS DETERMINED THE EXACT COVERING EXPONENT FOR STRONG APPROXIMATION FOR ANY INTEGRAL QUADRATIC FORM  $F$ .

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GHOSH-GORODNIK-NEVO HAVE DEVELOPED A THEORY OF DIOPHANTINE APPROXIMATION FOR HOMOGENEOUS VARIETIES FOR LINEAR ALGEBRAIC GROUPS ("S-ARITHMETIC ORBITS")

## CUBICS

FOR HOMOGENEOUS CUBIC FORMS  $F$   
 $X : F(x) = 0$  (PROJECTIVE)

THE SEARCH FOR RATIONAL POINTS AND STRONG APPROXIMATION IS A VERY ACTIVE TOPIC.

SEE THE 2014 SURVEY BY BROWNING AND IN PARTICULAR WORKS OF HEATH-BROWN, SWINNERTON-DYER, BROWNING, SKOROBOGATOV, ...



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OUR INTEREST IS IN INTEGRAL POINTS  
ON AFFINE CUBIC SURFACES IN  $A^3$

(IN  $A^2$  THERE ARE ONLY FINITELY  
MANY INTEGRAL POINTS - SIEGEL,  
IN  $A^3$  WE EXPECT FEW INTEGRAL  
POINTS IN GENERAL; VOTTA CONJECTURES)

EG:  $X_m : x_1^3 + x_2^3 + x_3^3 = m$

IF  $m \neq 4$  or  $5 \pmod{9}$ , PERHAPS

$|X_m(\mathbb{Z})| = \infty$ . HOWEVER THE STRONGEST  
FORMS OF STRONG APPROXIMATION FAILS  
(CASSELS, HEATH-BROWN, COLLIOT-THELENE/  
WITTENBERG)

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MARKOFF SURFACES :

$$X_k : x_1^2 + x_2^2 + x_3^2 - 3x_1x_2x_3 = k$$

AFFINE CUBIC SURFACES.



$$X_0: x_1^2 + x_2^2 + x_3^2 - 3x_1x_2x_3 = 0$$

$X_0(\mathbb{Z})$  IS INFINITE; THE GROUP  $\Gamma$  OF NONLINEAR AFFINE MORPHISMS OF  $A^3$  GENERATED BY PERMUTATIONS, VIETA INVOLUTIONS  $(x_1, x_2, x_3) \rightarrow (x_1, x_2, 3x_1x_2 - x_3)$  AND  $(x_1, x_2, x_3) \rightarrow (-x_1, -x_2, x_3)$ , HAS TWO ORBITS ON  $X_0(\mathbb{Z})$ ,  $\{0, 0, 0\}$ ,  $(1, 1, 1)$ .

CONJECTURE (STRONG APPROXIMATION) BOURGAIN-GAMBURD-S

$X_0(\mathbb{Z}) \longrightarrow X_0(\mathbb{Z}/p\mathbb{Z})$  IS ONTO FOR ALL PRIMES  $p$ .

THEOREM (B-G-S 2015):

THE SET OF PRIMES  $p \leq T$  FOR WHICH STRONG APPROXIMATION FAILS IS  $O_\epsilon(T^\epsilon)$ ,  $\epsilon > 0$ .

• THE TECHNIQUES INVOLVE NONLINEAR DYNAMICS, COMBINATORICS, ...

CRITICAL TO THE ANALYSIS IS THE DETERMINATION OF THE FINITE ORBITS OF  $\Gamma$  IN  $A^3(\mathbb{C})$ . THIS IS CLOSELY CONNECTED TO THE CLASSIFICATION OF ALGEBRAIC PAINLÉVE VI !



BACK TO OPTIMAL UNIVERSAL QUANTUM GATES

H HAMILTON QUATERNIONS

$$x_1 + x_2 \underline{i} + x_3 \underline{j} + x_4 \underline{k}$$

$$\alpha \in H(\mathbb{R}), \text{ Norm}(\alpha) = \bar{\alpha} \alpha = x_1^2 + x_2^2 + x_3^2 + x_4^2.$$

H(Z) INTEGRAL QUATERNIONS

THESE HAVE UNIQUE FACTORIZATION (NON-COMMUTATIVE!) (HURWITZ).

$$U_1 = 1 + 2\underline{i}, U_2 = 1 + 2\underline{j}, U_3 = 1 + 2\underline{k}$$

then up to units the elements of H(Z) of Norm = 5 are  $U_1, U_2, U_3, \bar{U}_1, \bar{U}_2, \bar{U}_3$ .

$$\alpha \longrightarrow \begin{bmatrix} x_1 + ix_2 & x_2 + ix_3 \\ -x_2 + ix_3 & x_1 - ix_2 \end{bmatrix}$$

is a morphism of  $H_2(\mathbb{R}) \longrightarrow SU(2)$ .

$$\frac{1}{\sqrt{5}} U_j \longrightarrow V_j \quad \text{The "V gates"}$$

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ALL ELEMENTS OF  $H(\mathbb{Z})$  OF NORM  $5^k$  ARE PRODUCTS (ESSENTIALLY UNIQUELY) OF  $U_1, U_2, U_3, \bar{U}_1, \bar{U}_2, \bar{U}_3$ .

KEY POINT: IF  $N_m(\alpha) = 5^k$  THE FACTORIZATION OF  $\alpha$  AS A PRODUCT OF  $k$  OF THE  $U_j$ 'S CAN BE DETERMINED EFFICIENTLY BY FACTORIZATION IN  $H(\mathbb{Z})$ !

THE CIRCUITS FORMED FROM  $V_1, V_2, V_3$  OF LENGTH  $k$  ARE IN BIJECTION WITH

$$x_1^2 + x_2^2 + x_3^2 + x_4^2 = 5^k$$

$SU(2)$  WITH ITS INVARIANT METRIC IS ISOMETRIC WITH  $S^3$ ;  $x_1^2 + x_2^2 + x_3^2 + x_4^2 = 1$ .

HENCE GIVEN  $g \in SU(2)$  TO BE APPROXIMATED BY CIRCUITS OF LENGTH  $\leq t$  AMOUNTS TO FINDING SOLUTIONS  $x$  WITH  $d\left(\frac{x}{5^{k/2}}, \bar{x}_g\right) < \epsilon$ .

• FOR  $g$  DIAGONAL, ROSS-SELINGER GIVES SUCH AN  $x$ .



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MOREOVER THE DIOPHANTINE ANALYSIS ENSURES THAT MOST  $g$ 'S HAVE AN OPTIMALLY SHORT CIRCUIT!

FOR A GENERAL  $g \in SU(2)$  FIRST FACTOR  $g = a_1 a_2 a_3$  IN DIAGONALS (EULER ANGLES) AND APPROXIMATE EACH  $a_j$ . THIS YIELDS A CIRCUIT WHICH IS 3-TIMES LONGER THAN OPTIMAL.

• EXCEPT FOR THE LAST THIS GIVES AN OPTIMAL NAVIGATION SCHEME OF  $SU(2)$  WITH  $V$ -GATES.

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THE CLIFFORD + T GATES ARE A VARIANT OF THE ABOVE. THAT THE GROUP (UNITARY) GENERATED BY THEM HAS A NUMBER THEORETIC DEFINITION IS DUE TO KLICHNIKOV-MASLOV-MOSCA (2012)  
BOCHAROV-GUREVICH-SVORE (2012)

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IT IS ANOTHER SPECIAL CASE OF  
A CONSTRUCTION VIA INTEGRAL QUATERNIONS.

H HAMILTON QUATERNIONS /  $K$

$$K = \mathbb{Q}(\sqrt{2}), \quad \mathcal{O}_K \text{ INTEGERS IN } K.$$

THE PRIME 5 IN THE V-GATES  
IS REPLACED BY THE PRIME  $\sqrt{2}$   
IN  $\mathcal{O}_K$ .

AGAIN (REMARKABLY) THE T-COUNT  
OF CIRCUITS IS  $k$  WHERE

$$x_1^2 + x_2^2 + x_3^2 + x_4^2 = 2^k$$

WITH  $x_j \in \mathcal{O}_K$ !

SO THE ALGORITHMS AND  
DIOPHANTINE ANALYSIS PROCEED  
AS BEFORE.



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