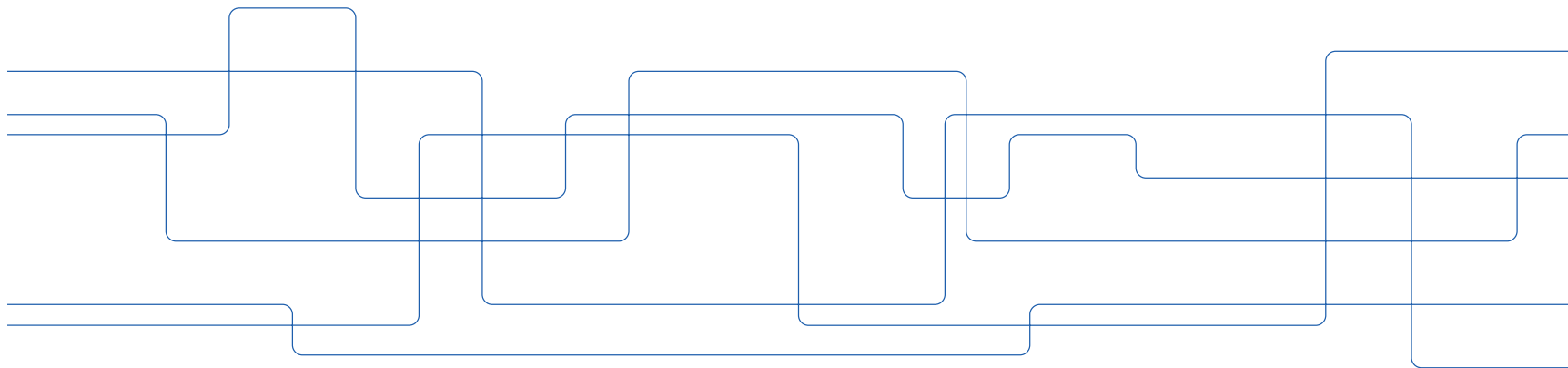


# Computational examples of relative Betti diagrams

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December 8, 2022 — Interactions between representation theory and TDA



## The picture so far...

- › We consider functors  $M: I \rightarrow \mathbf{vect}_k$  from a finite poset  $(I, \leq)$  to  $k$ -vector spaces.
- › We study their homological algebra relative to collections of functors and derive expressions for the **relative Betti diagrams** using **Koszul complexes**.

# Betti diagrams from Koszul complexes

## Poset terminology

Let  $a$  and  $b$  be elements of the poset  $(I, \leq)$ .

- › A **parent of  $a$**  is a maximal element smaller than  $a$ . We denote by  $\mathcal{U}(a)$  the set of parents of  $a$ .
- › The **join of  $a$  and  $b$** , if it exists, is the unique minimal upper bound  $a \vee b \geq a, b$ .
- › The **meet of  $a$  and  $b$** , if it exists, is the unique maximal lower bound  $a \wedge b \leq a, b$ .

## Koszul complexes

- Suppose that  $(I, \leq)$  is an **upper semilattice**: every two elements  $a$  and  $b$  have a join  $a \vee b$ .
- For a functor  $M: I \rightarrow \mathbf{vect}_k$  and  $a$  in  $I$ , we define the **Koszul complex of  $M$  at  $a$**  as the chain complex  $\mathcal{K}_a M$  where, for all  $d \geq 0$ ,

$$(\mathcal{K}_a M)_d := \bigoplus_{\substack{S \subseteq U(a) \\ |S|=d \\ S \text{ has lower bound}}} M(\bigwedge_{(I \leq a)} S),$$

and differential maps of  $\mathcal{K}_a M$  are induced from the structure maps of  $M$ .

## Theorem [Chachólski-Jin-Tombari 2021]

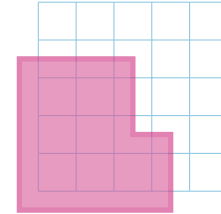
- › Let  $(I, \leq)$  be an upper semilattice.
- › For all functors  $M: I \rightarrow \mathbf{vect}_k$ , elements  $a$  in  $I$ , and  $d \geq 0$ ,

$$\beta^d M(a) = \dim H_d(\mathcal{K}_a M).$$

# Betti diagrams from Koszul complexes

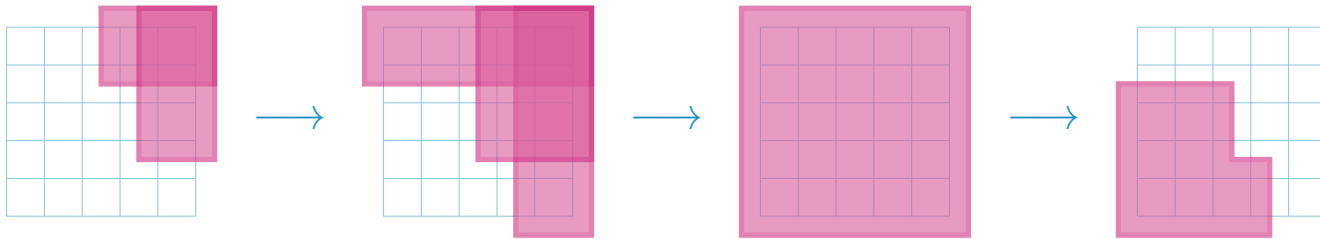
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## Example



# Betti diagrams from Koszul complexes

## Example

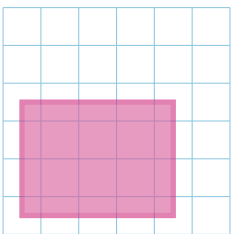




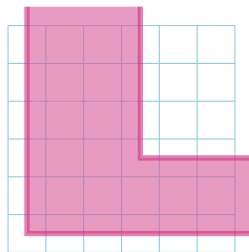
# Computation of relative Betti diagrams

## Non-free functors

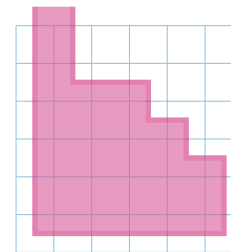
› Instead of resolving with free functors, we can try other shapes:



rectangles



lower hooks  
[BOO2021]



single-source spread  
modules  
[BBH2021]

## Adjunction

- › Let  $\mathcal{P}: J^{\text{op}} \rightarrow \text{Fun}(I, \mathbf{vect}_k)$  be a parametrization functor.
- › This induces an adjoint pair

$$\begin{array}{rcl}
 \mathcal{R}: \text{Fun}(I, \mathbf{vect}_k) & \rightleftarrows & \text{Fun}(J, \mathbf{vect}_k) : \mathcal{L} \\
 M & \mapsto & \text{Nat}(\mathcal{P}(-), M) \\
 \mathcal{P}(a) & \longleftarrow & \mathbf{k}_{[a, \infty)}
 \end{array}$$

## Flat and thin parametrizations

- › In particular, for all  $a$  in  $J$ , we have the morphism induced by the adjunction unit

$$\eta_a: \mathbf{k}_{[a, \infty)} \rightarrow \mathcal{RLk}_{[a, \infty)} = \text{Nat}(\mathcal{P}(-), \mathcal{P}(a)).$$

- › The parametrization  $\mathcal{P}$  is
- › **flat** if  $\eta_a$  is an isomorphism for all  $a$  in  $J$  such that  $\mathcal{P}(a) \neq 0$ ,
  - › **thin** if  $\eta_a$  is an epimorphism for all  $a$  in  $J$ .

## Degeneracy locus

Let  $\mathcal{P}: J^{\text{op}} \rightarrow \text{Fun}(I, \mathbf{vect}_k)$  be a thin parametrization.

- › An element  $a$  in  $J$  is  **$\mathcal{P}$ -degenerate** if
  - ›  $\mathcal{P}(a) = 0$ , or
  - ›  $\mathcal{P}(a) \neq 0$  and  $\beta_{\mathcal{P}}^d M(\mathcal{P}(a)) \neq \beta^d \mathcal{R}M(a)$  for some  $M: I \rightarrow \mathbf{vect}_k$  and  $d \geq 0$ .
- › The set of  $\mathcal{P}$ -degenerate elements is the **degeneracy locus** of  $\mathcal{P}$ .

## Theorem [CGRST 2022]

Suppose that  $(J, \preceq)$  is a finite upper semilattice.

- › If  $\mathcal{P}$  is flat, then the degeneracy locus is contained in  $\{a \in J \mid \mathcal{P}(a) = 0\}$ .
- › If  $\mathcal{P}$  is thin, then the degeneracy locus is contained in

$$\bigcup_{\substack{a \in J \\ d \geq 0}} \text{supp}(\beta^d \ker \eta_a).$$

# Flat parametrizations

## Upsets

› Consider the collection of **upset functors of  $\mathbf{k}_I$**

$$\{\mathbf{k}_U \subseteq \mathbf{k}_I \mid U \in \text{Up}(I)\}.$$

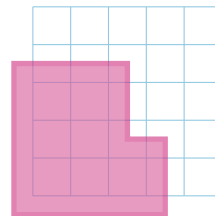
› If  $I$  has a unique maximal element, then the parametrization  $\mathbf{k}_- : (\text{Up}(I)^{\text{op}})^{\text{op}} \rightarrow \text{Fun}(I, \mathbf{vect}_{\mathbf{k}})$  is flat.



› The Koszul complex of a functor  $M$  at a nonempty upset  $U \in \text{Up}(I)$  is

$$(\mathcal{K}_F \mathcal{R} M)_d = \bigoplus_{\substack{S \subseteq \text{Max}(U^c) \\ |S|=d}} \text{Nat}(\mathbf{k}_{U \cup S}, M).$$

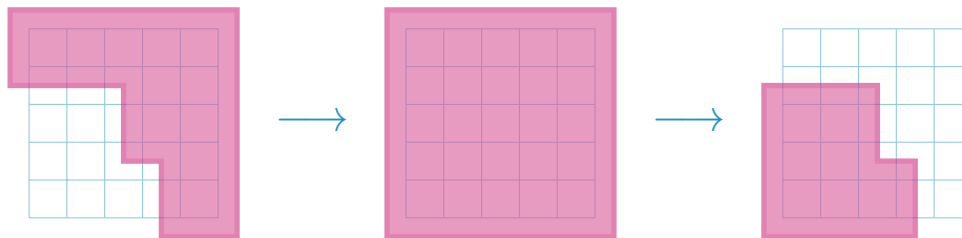
## Example



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## Example



## Translated functors

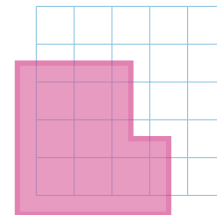
- › Let  $I = \{0 < \dots < n\}^r$  be a grid and fix  $U$  a nonempty upset of  $I$ .
- › Let  $v_0 := \max\{v \in I \mid v + \text{Min}(U) \subseteq I\}$  and consider the collection of **translated functors**  
$$\{\mathbf{k}_{v+U} \mid v \in (I \leq v_0)\}.$$
- › The parametrization  $\mathbf{k}_{-+U}: (I \leq v_0)^{\text{op}} \rightarrow \text{Fun}(I, \mathbf{vect}_{\mathbf{k}})$  is thin.

## Flat parametrizations

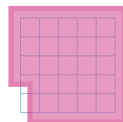
› The Koszul complex of a functor  $M$  at  $v \in (I \leq v_0)$  is

$$(\mathcal{K}_v \mathcal{R}M)_d = \bigoplus_{\substack{S \subseteq \mathcal{U}_{(I \leq v_0)}(v) \\ |S|=d}} \text{Nat}(\mathbf{k}_{\wedge_{(I \leq v_0)} S+U}, M).$$

### Example



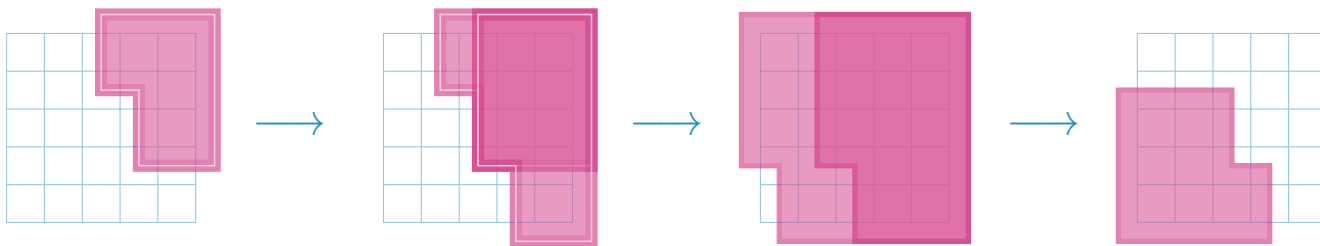
where  $U =$

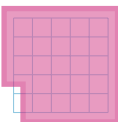


> The Koszul complex of a functor  $M$  at  $v \in (I \leq v_0)$  is

$$(\mathcal{K}_v \mathcal{R}M)_d = \bigoplus_{\substack{S \subseteq \mathcal{U}_{(I \leq v_0)}(v) \\ |S|=d}} \text{Nat}(\mathbf{k}_{\wedge_{(I \leq v_0)} S+U}, M).$$

## Example



where  $U =$  

# Thin parametrizations

## Corollary [CGRST 2022]

- › Suppose that  $(J, \preceq)$  is a finite upper semilattice and  $\mathcal{P}$  is thin.
- › **Degeneracy condition:** suppose that, for all  $a$  in  $J$ , the induced sublattice  $\langle \text{supp}(\beta^0 \ker \eta_a) \rangle$  is contained in  $\{b \in J \mid \mathcal{P}(b) = 0\}$ .
- › Then, for all functors  $M: I \rightarrow \mathbf{vect}_k$ ,  $a$  in  $J$  such that  $\mathcal{P}(a) \neq 0$ , and  $d \geq 0$ ,

$$\beta_{\mathcal{P}}^d M(a) = \dim H_d(\mathcal{K}_a \text{Nat}(\mathcal{P}(-), M)).$$

## Corollary [CGRST 2022]

- › Suppose that  $(J, \preceq)$  is a finite upper semilattice and  $\mathcal{P}$  is thin.
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- › Then, for all functors  $M: I \rightarrow \mathbf{vect}_k$ ,  $a$  in  $J$  such that  $\mathcal{P}(a) \neq 0$ , and  $d \geq 0$ ,

$$\beta_{\mathcal{P}}^d M(a) = \dim H_d(\mathcal{K}_a \text{Nat}(\mathcal{P}(-), M)).$$

**Assumption:** in all of the following examples,  $I$  is an upper semilattice.



## Spread modules [Blanchette-Brüstle-Hanson 2021]

- › Let  $S$  and  $T$  be subsets of pairwise incomparable elements of  $I$  such that
  - › every element  $s$  in  $S$  is bounded above by an element  $t \geq s$  of  $T$ ,
  - › every element  $t$  in  $T$  is bounded below by an element  $s \leq t$  of  $S$ .
- › The **spread** with **sources**  $S$  and **sinks**  $T$  is the subset of  $I$

$$[S, T] := \{v \in I \mid \exists s \in S, \exists t \in T, s \leq v \leq t\}.$$

- › We then consider the collection of **spread** (or **general interval**) **modules**

$$\{\mathbf{k}_{[S, T]} \mid S, T \text{ as above}\}.$$

## Thin parametrizations

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› Spread modules are parametrized by the functor

$$Q: \left\{ \begin{array}{l} \{U, V \in \text{Up}(I)^{\text{op}} \mid V \supseteq U\}^{\text{op}} \\ (U, V) \end{array} \right. \begin{array}{l} \rightarrow \text{Fun}(I, \mathbf{vect}_{\mathbf{k}}) \\ \mapsto \mathbf{k}_{V \setminus U} = \text{coker}(\mathbf{k}_U \rightarrow \mathbf{k}_V) \end{array} .$$

› However, if  $I$  is not a total order, then no parametrization of spread modules can be thin.

## Single-source spread modules [Blanchette-Brüstle-Hanson 2021]

- › Instead, consider the subcollection of **single-source spread modules**

$$\{\mathbf{k}_{\{\{s\}, T\}} \mid \{s\}, T \text{ as before}\}.$$

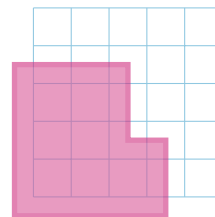
- › The restricted (re)parametrization  $\mathcal{Q}: \{(v, U) \in I \times \text{Up}(I)^{\text{op}} \mid v \leq U\}^{\text{op}} \rightarrow \text{Fun}(I, \mathbf{vect}_{\mathbf{k}})$  is thin.
- › The poset  $\{(v, U) \in I \times \text{Up}(I)^{\text{op}} \mid v \leq U\}$  is an upper semilattice.
- › The degeneracy condition is satisfied: in particular,  $\langle \text{supp}(\beta^0 \ker \eta_{v,U}) \rangle$  is generated by  $(u, [u, \infty))$  for  $u \in \text{Min}(U)$ .

## Thin parametrizations

› The Koszul complex of a functor  $M$  at  $(v, U)$  is

$$(\mathcal{K}_{(v,U)}\mathcal{R}M)_d = \bigoplus_{\substack{S \subseteq \mathcal{U}_I(v), T \subseteq (v \leq \text{Max}(U^c)) \\ |S|+|T|=d \\ S \text{ has lower bound}}} \bigcap_{u \in \text{Min}(U \cup T)} \ker M(\bigwedge_{(I \leq v)} S \leq u).$$

### Example

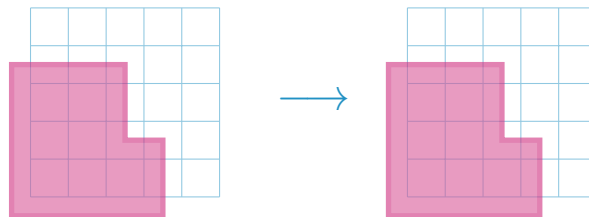


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### Example



## Lower hooks [Botnan-Oppermann-Oudot 2021]

- › Consider the collection of **lower hooks**

$$\{\text{coker}(\mathbf{k}_{[w,\infty)} \subseteq \mathbf{k}_{[v,\infty)}) \mid w \leq v \in I\},$$

parametrized by the poset  $(J, \preceq) = \{(v, w) \in I^2 \mid v \leq w\}$  equipped with the product order.

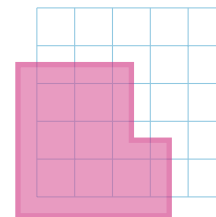
- › The restricted (re)parametrization  $\mathcal{Q}: J^{\text{op}} \rightarrow \text{Fun}(I, \mathbf{vect}_{\mathbf{k}})$  is thin.
- ›  $J$  is an upper semilattice, and the degeneracy condition is satisfied: in particular,  $\langle \text{supp}(\beta^0 \ker \eta_{v,w}) \rangle$  is just  $\{(w, w)\}$ .

## Thin parametrizations

› The Koszul complex of a functor  $M$  at  $(v, w)$  is

$$(\mathcal{K}_{(v,w)}\mathcal{R}M)_d = \bigoplus_{\substack{S \subseteq \mathcal{U}_I(v), T \subseteq (v \leq \mathcal{U}_I(w)) \\ |S| + |T| = d \\ S \text{ has lower bound}}} \ker M(\Lambda_{(I \leq v)} S \leq \Lambda_{(I \leq w)} T).$$

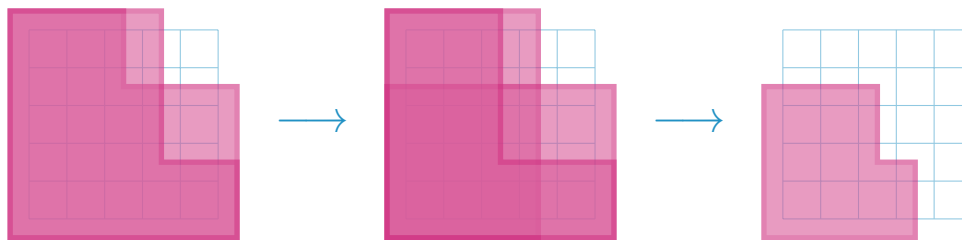
### Example



› The Koszul complex of a functor  $M$  at  $(v, w)$  is

$$(\mathcal{K}_{(v,w)}\mathcal{R}M)_d = \bigoplus_{\substack{S \subseteq \mathcal{U}_I(v), T \subseteq (v \leq \mathcal{U}_I(w)) \\ |S|+|T|=d \\ S \text{ has lower bound}}} \ker M(\Lambda_{(I \leq v)} S \leq \Lambda_{(I \leq w)} T).$$

## Example





# Non-example and partial solution: simple intervals

## Simple intervals

- › Consider the collection of **simple intervals**

$$\{\mathbf{k}_{[v,w]} \mid (v,w) \in J\}.$$

- › The parametrization  $\mathbf{k}_{[-,-]}: J^{\text{op}} \rightarrow \text{Fun}(I, \mathbf{vect}_k)$  is thin and the poset  $J$  is an upper semilattice whenever  $I$  is.
- › However, the degeneracy locus is not well-behaved.
  - › In particular,  $\mathbf{k}_{[v,w]}$  is never the zero functor, but sometimes  $\ker \eta_{v,w}$  is nonzero.

## Rectangles on a grid

- Let  $I = \{0 < \dots < n\}^r$  be a grid and consider the same collection as before.
- Now identify  $J$  with the subposet

$$\left\{ \left( v, \bigcup_{i=1}^r (v + (w_i - v_i)e_i \leq I) \right) \mid v, w \in I, v \leq w \right\}$$

of the poset parametrizing single-source spread modules.

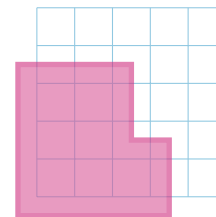
- The parametrization  $(v, w) \mapsto \text{coker} \left( \bigoplus_{i=1}^r \mathbf{k}_{[v+(w_i-v_i)e_i, \infty)} \rightarrow \mathbf{k}_{[v, \infty)} \right)$  is thin,  $J$  is an upper semilattice, and the degeneracy condition is satisfied.

## Non-example and partial solution: simple intervals

› The Koszul complex of a functor  $M$  at  $(v, w)$  is

$$(\mathcal{K}_{(v,w)} \mathcal{R}M)_d = \bigoplus_{\substack{S \subseteq \mathcal{U}_I(v), T \subseteq (v \leq \mathcal{U}_I(w)) \\ |S|+|T|=d}} \bigcap_{i=1}^r \ker M(v_S \leq v_S + (w_T - v_S)_i).$$

### Example

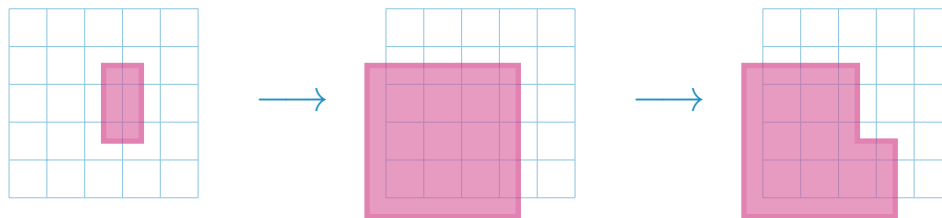


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### Example



Thank you for your attention :)

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## Outlook

- › **Software implementation** of the computation of Betti diagrams relative to lower hooks.
- › **Stability** and **hierarchical stabilization** of relative Betti diagrams.
- › Construction of new **computable metrics** for functors.

Thank you for your attention :)

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## References

- › B. Blanchette, T. Brüstle, and E. Hanson. *Homological approximations in persistence theory*, 2021.
- › M. Botnan, S. Oppermann, and S. Oudot. *Signed barcodes for multi-parameter persistence via rank decompositions and rank-exact resolutions*, 2021.
- › W. Chachólski, A. Jin, and F. Tombari. *Realisations of posets and tameness*, 2021.
- › Preprint on arXiv: *Effective computation of relative homological invariants for functors over posets*, 2209.05923.