

CURVES ON THE HILBERT SCHEME OF A K3 SURFACE

GEORG OBERDIECK

1. AN ENUMERATIVE PROBLEM

Let S be a smooth complex projective surface which we assume here for simplicity to be Fano (in particular, $p_g = q = 0$). Let L be a line bundle with no higher cohomology. We are interested in counting curves in the linear system $|L|$ of given geometric genus and *gonality*.

Definition 1. A smooth proper connected curve C is n -gonal if there exists a morphism $C \rightarrow \mathbb{P}^1$ of degree n .

Definition 2. Let $N_{g,n}(L)$ be the number of irreducible curves $C \in |L|$ such that:

- (i) the normalization \tilde{C} is n -gonal of genus g
- (ii) C passes through $\ell(g, n)$ generic points.

Here $\ell(g, n)$ is the number of points which makes the problem of expected dimension 0. To find it recall first that because the Brill-Noether number reads $\rho(g, a, d) = g - (a + 1)(g - d + a)$, in a given family of genus g curves the loci of n -gonal curves has expected codimension $-\rho(g, 1, n) = g + 2 - 2n$. Second, the locus of geometric genus g curves in a family arithmetic genus p_a curves is of expected codimension $p_a - g$. Let $p_a(L)$ be the arithmetic genus of a curve in $|L|$. Hence

$$\begin{aligned} \ell &= \ell(g, n) = \dim |L| - (p_a(L) - g) + \rho(g, 1, n) \\ &= \frac{1}{2}L \cdot (L - K) - \left(\frac{1}{2}L \cdot (K + L) + 1 - g \right) - (g + 2 - 2n) \\ &= c_1(S) \cdot L - 1 + 2n - 2. \end{aligned}$$

2. HILBERT SCHEMES

By a classical idea of Graber, the Hilbert scheme of n points $S^{[n]}$ can be used to approach the count $N_{g,n}(L)$. By definition a morphism $T \rightarrow S^{[n]}$ from a Noetherian scheme T corresponds to a closed subscheme $C \subset T \times S$ flat over T of degree n . Hence we find the natural bijection:

$$\left\{ \text{maps } f : \mathbb{P}^1 \rightarrow S^{[n]} \right\} \xrightarrow{\cong} \left\{ \begin{array}{l} \text{subcurves } C \subset \mathbb{P}^1 \times S \\ \text{flat over } \mathbb{P}^1 \text{ of degree } n \end{array} \right\}.$$

Moreover, as explained in [3, Sec. 1] the map f has class $\beta + kA$ under the natural isomorphism $H_2(S^{[n]}, \mathbb{Z}) \cong H_2(S, \mathbb{Z}) \oplus \mathbb{Z}A$ if and only if we have $[C] = \beta + n[\mathbb{P}^1] \in H_2(S \times \mathbb{P}^1, \mathbb{Z})$ and $\chi(\mathcal{O}_C) = k + n$. Similarly, the projection of C to S is incident to a point $P \in S$ if and only if $f(\mathbb{P}^1)$ is incident to the cycle $I(P) = \{\xi \in S^{[n]} | P \in \xi\}$.

Define the genus g Gromov-Witten invariant of the Hilbert scheme:

$$\langle \alpha; \gamma_1, \dots, \gamma_N \rangle_{g, \beta + kA}^{S^{[n]}} := \int_{[\overline{M}_{g, N}(S^{[n]}, \beta + kA)]^{\text{vir}}} \text{ev}_1^*(\gamma_1) \cdots \text{ev}_N^*(\gamma_N) \tau^*(\alpha)$$

where α is a tautological class on $\overline{M}_{g,N}$, which is the target of the forgetful morphism τ . A virtual count $H_{g,n}(\beta)$ of n -gonal genus g curves on S in class β passing through ℓ points is then defined by

$$\sum_{k \in \mathbb{Z}} \langle I(P)^\ell \rangle_{0, \beta + kA}^{S^{[n]}} p^k = \sum_g H_{g,n}(\beta) (p^{-1/2} + p^{1/2})^{2n+2g-2}.$$

The justification for this is that for an isolated genus g curves $C \subset S \times \mathbb{P}^1$, the corresponding map $f : \mathbb{P}^1 \rightarrow S^{[n]}$ meets the diagonal $\Delta_{S^{[n]}}$ in $2n + 2g - 2$ points, and by Graber each of these intersection points should contribute $p^{-1/2} + p^{1/2}$ to the left hand side. In particular Graber proves:

Theorem 1 ([1]). *For $S = \mathbb{P}^2$ the count $H_{g,2}(\beta)$ is enumerative, or in other words equal to $N_{g,2}(\beta)$. For an explicit recursion see [1].*

3. K3 SURFACES

The above discussion motivates the study of the Gromov-Witten theory of the Hilbert scheme of points of a K3 surface. We state a triality of conjectures which governs the structure of the theory. Let $S \rightarrow \mathbb{P}^1$ be an elliptic K3 surface with section B and fiber class F . We define potential of reduced Gromov-Witten invariants:

$$F_{g,m}(\alpha; \gamma_1, \dots, \gamma_N) = \sum_{d=-m}^{\infty} \sum_{r \in \mathbb{Z}} \langle \alpha; \gamma_1, \dots, \gamma_N \rangle_{g,m(B+F)+dF+kA}^{S^{[n]}} q^d (-p)^k.$$

By deformation invariance these series determine all Gromov-Witten invariants of hyper-Kähler varieties of $K3^{[n]}$ -type [4]. By convention, we assume $k = 0$ for $n = 1$. Recall the algebra QJac of quasi-Jacobi forms [3].

Conjecture A. *$F_{g,m}(\alpha; \gamma_1, \dots, \gamma_N)$ is a quasi-Jacobi form of index $n - 1$ and weight $n(2g - 2) + \sum_i \underline{\deg}(\gamma_i) - 10$ of the form*

$$F_{g,m}(\alpha; \gamma_1, \dots, \gamma_N) \in \frac{1}{\Delta(q)} \text{QJac}.$$

Here, if $\gamma \in H^*(S^{[n]})$ is written in terms of the action of Nakajima operators

$$\gamma = \prod_i \mathfrak{q}_{a_i}(\delta_i) 1, \quad 1 \in H^*(S^{[0]})$$

where δ_i are elements of a fixed basis $\{W := B + F, F, \mathfrak{p}, 1, e_3, \dots, e_{22}\}$ with $e_i \in H^2(S)$ orthogonal to W, F , then the modified degree function $\underline{\deg}$ is defined by

$$\underline{\deg}(\gamma) = \deg(\gamma) + w(\gamma) - f(\gamma)$$

where $w(\gamma)$ and $f(\gamma)$ are the number of classes δ_i equal to W and F respectively.

Conjecture B. *We have the multiple cover conjecture:*

$$F_{g,m}(\alpha; \gamma_1, \dots, \gamma_N) = m^{\sum_i \deg(\gamma_i) - \underline{\deg}(\gamma_i)} \cdot T_{m,\ell} F_{g,1}(\alpha; \gamma_1, \dots, \gamma_N)$$

where $\ell = n(2g - 2) + \sum_i \underline{\deg}(\gamma_i)$ and $T_{m,\ell}$ is the formal Hecke operator on Jacobi forms, see [4, 2.6].

Conjecture B implies that every $F_{g,m}$ is a quasi-Jacobi form (with poles at $q = 0$) of index $m(n - 1)$ for the congruence subgroup $\Gamma_0(n) \rtimes \mathbb{Z}^2$. The weight is as before.

Conjecture C. *We have the holomorphic anomaly equation:*

$$\begin{aligned} \frac{d}{dG_2} F_{g,m}(\alpha; \gamma_1, \dots, \gamma_N) &= F_{g-1,m}(\alpha; \gamma_1, \dots, \gamma_N, U) \\ &+ 2 \sum_{\substack{g=g_1+g_2 \\ \{1, \dots, N\} = A \sqcup B}} F_{g_1,m}(\alpha_1; \gamma_A, U_1) F_{g_2}^{vir}(\alpha_2; \gamma_B, U_2) \\ &- 2 \sum_{i=1}^N F_{g,m}(\alpha \cdot q^*(\psi_i); \gamma_1, \dots, \gamma_{i-1}, U\gamma_i, \gamma_{i+1}, \dots, \gamma_N) \\ &- \frac{1}{m} \sum_{a,b} (G^{-1})_{ab} T_{e_a} T_{e_b} F_{g,m}(\alpha; \gamma_1, \dots, \gamma_N) \end{aligned}$$

with the following notations:

- by convention the last term vanishes in case $m = 0$,
- the intersection matrix G of the e_a is defined by $G_{ab} = \langle e_a, e_b \rangle$,
- we let $\rho : \wedge^2 H^2(X) \cong \mathfrak{so}(H^2(X)) \rightarrow \text{End} H^*(X)$ be the Looijenga-Lunts-Verbitsky algebra action for $X = S^{[n]}$ with the conventions of [2],
- $U = \hat{f}_F = \rho(-f \wedge F)$,
- $T_\lambda F_{g,m}(\alpha; \gamma_1, \dots, \gamma_N) = \sum_{i=1}^N F_{g,m}(\alpha; \gamma_1, \dots, \gamma_{i-1}, \rho(\lambda \wedge F)\gamma_i, \gamma_{i+1}, \dots, \gamma_N)$,
- $q : \overline{M}_{g,N}(S^{[n]}, \beta) \rightarrow \overline{M}_{g,N}(\mathbb{P}^n, \pi_*\beta)$ is induced by the Lagrangian fibration $\pi : S^{[n]} \rightarrow \mathbb{P}^n$ associated to $S \rightarrow \mathbb{P}^1$,
- F_g^{vir} is the potential of ordinary (non-reduced) Gromov-Witten invariants.

The first two conjectures can be found in [3] and [4]. The last one generalizes the K3 surface case [5]. Example calculations will be discussed elsewhere.

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MATHEMATISCHES INSTITUT, UNIVERSITÄT BONN
 E-mail address: georgo@math.uni-bonn.de