

Degeneracy loci, virtual cycles and Nested Hilb. schemes

Goal: - given  $\beta: E_0 \rightarrow E_1$  map of v.b., to construct a POT on the deepest degeneracy locus (where  $\text{rk } \beta$  is min)

- applications: - POT on the Nested Hilb. schemes
- Okounkov vanishing

Recall the stand constr of POT on a zero locus of a v.b.

§ Zero loci

$E_r$  vect. bdlle of  $\text{rk } r$   
 $\downarrow \beta \in \Gamma(A, E)$   
 $Z = Z(\beta) \subset A$  smooth  $n = \dim A$   
 $I \subset \mathcal{O}_A$  ideal of  $Z$

$\leadsto$  POT on  $Z$ :  $E^\bullet = [E^*]_Z \rightarrow [\Omega^1_A]_Z$  iso on  $h^0$   
 $\downarrow \downarrow \parallel$  surj on  $h^{-1}$   
 $\mathbb{L}_Z = [I/I^2] \rightarrow [\Omega^1_A]_Z$

$\leadsto [Z]^{vir} \in A_{\dim A - r}^{n-r}(Z)$   $\leadsto i_X [Z]^{vir} = c_r(E) \cap [A]$

§ Degeneracy loci

A smooth, <sup>cpdx</sup> quasiproj variety

$\beta: E_0 \rightarrow E_1$  map of v.b. of  $\text{rks } r_0, r_1$  resp.

$Z_k \subset A$  degeneracy locus where  $\text{rk } \beta \leq k$

$\{ \wedge^{k+1} \beta = 0 \}$

$r := \min \text{rk of } \beta$  ( $Z_{r-1} = \emptyset$ )

Put  $Z := Z_r$

Fact 1  $f: T \rightarrow A$  map of schemes. TFAE

- (1)  $f$  factors through  $Z_r$
  - (2)  $\ker(f^*\beta: f^*E_0 \rightarrow f^*E_1)$  is a  $\text{rk } r_0 - r$  subbundle of  $f^*E_0$
  - (3)  $\ker(f^*\beta)$  has a locally free subsheaf of  $\text{rk } r_0 - r$ .
- (3)  $\Rightarrow$  (1): (3)  $\Rightarrow \text{rk}(f^*\beta)$  on the generic pt is  $\leq r \Rightarrow$  equal to  $r \Rightarrow \text{coker}(f^*\beta)$  is a  $\text{rk } (r_1 - r)$  sheaf  
 (lower semicont of  $\text{rk} \Rightarrow \text{rk } f^*\beta|_t \leq r \Rightarrow \text{rk } f^*\beta|_t = r \forall t \in T$  closed  $\Rightarrow \text{coker } f^*\beta$  loc. free  $\Rightarrow \ker f^*\beta$  is  $\text{rk } (r_0 - r)$ -subbundle  
 (coker  $f^*\beta|_t = \text{coker}(f^*\beta|_t) \Rightarrow \dim(\text{coker } f^*\beta|_t) = r_1 - r \forall t \in T$  closed  $\Rightarrow \text{coker } f^*\beta$  loc. free  $\Rightarrow \ker f^*\beta$  is  $\text{rk } (r_0 - r)$ -subbundle  
 so  $f^*E_0 / \ker f^*\beta$  loc free of  $\text{rk } r \Rightarrow \wedge^{r+1}(f^*E_0 / \ker f^*\beta) = 0$  As  $f^*\wedge^{r+1}\beta$  factors through  $\wedge^{r_0}(f^*E_0 / \ker f^*\beta)$ , it's zero.  
 $\Rightarrow f$  factors through  $Z(\wedge^{r+1}\beta) = Z_r$   $\square$

COR As  $\text{rk } \beta|_Z = r$ ,  $\ker(\beta|_Z) = h^0(E_0|_Z) =: h^0$  v.b. of  $\text{rk } r_0 - r$   
 $\text{coker } \beta|_Z = h^1(E_0|_Z) =: h^1$  v.b. of  $\text{rk } r_1 - r$

$0 \rightarrow h^0 \rightarrow E_0|_Z \xrightarrow{\beta|_Z} E_1|_Z \rightarrow h^1 \rightarrow 0$

Thm 1 The degeneracy locus  $Z = Z_r \xrightarrow{c} A$  inherits a POT

$$[(h^1)^* \otimes h^0 \rightarrow \Omega_{A|Z}] \rightarrow \mathbb{L}_Z \rightsquigarrow [Z]^{vir} \in A_{n-k}(Z)$$

$n = \dim A$   
 $k = (r_0 - r)(r_1 - r) = rk((h^1)^* \otimes h^0)$

Fact 2 (Thom-Porteous formula)

$$c_* [Z]^{vir} = \Delta_{r_1-r}^{r_0-r}(c(E_1 - E_0)) \in A_{n-k}(A), \text{ where } \Delta_b^a(c) := \det(C_{b+j-i})_{1 \leq i, j \leq a}$$

Pf of Thm 1 idea: view  $Z$  as a zero locus.

Consider  $Gr = Gr(r_0 - r, E_0) \xrightarrow{q} A$  param.  $(r_0 - r)$  dim subsp in fibers of  $E_0 \rightarrow A$   
 $[V \hookrightarrow E_{1a}] \hookrightarrow a$

$Gr$  represents the functor  $Gr(r_0 - r, E_0)(Z) = \{ \text{vect. bdlle on } Z \text{ of rk } r_0 - r \}$

$U \hookrightarrow q^*E_0$  universal v.b. on  $Gr$ : fiber over  $[V \hookrightarrow E_{1a}] \in Gr$  is  $V$

Consider the composition  $U \hookrightarrow q^*E_0 \rightarrow q^*E_1 \rightsquigarrow \bar{q} \in \Gamma(U^* \otimes q^*E_1)$

Claim  $Z(\bar{q}) \subset Gr$  is iso to  $Z \subset A$  under the restr.  $\bar{q} := q|_{Z(\bar{q})} : Z(\bar{q}) \rightarrow A$

Pf. • on closed pts

$$[V \hookrightarrow E_{0|x}] \in Z(\bar{q}) \Leftrightarrow \begin{cases} V = \ker(\bar{q}|_x) \\ \dim V = r_0 - r \end{cases} \Leftrightarrow \text{rk } \bar{q}|_x = r \Leftrightarrow x \in Z$$

• scheme theor: maps

have:  $\ker \bar{q}^* \bar{q} \supset U|_{Z(\bar{q})}$  ← loc. free sheaf of rk  $r_0 - r$

⇒ by Fact 1,  $\bar{q}$  factors through  $Z \subset A$ , so  $\bar{q} : Z(\bar{q}) \rightarrow Z$

inverse map:  $h^0 = \ker(\bar{q}|_Z)$  is loc. free of rk  $r_0 - r$ .

Consider  $Z \rightarrow Gr$  its classif. map  
 $\rightarrow Z(\bar{q})$

POT  $Z \cong Z(\bar{q}) \Rightarrow Z$  inherits POT of the zero locus.

$$\begin{array}{ccc} (1) & U|_{Z(\bar{q})} \otimes (h^1)^* & \xrightarrow{(*)} q^* \Omega_{A|Z(\bar{q})} \\ & \downarrow & \downarrow \\ (2) & (U \otimes (q^*E_1)^*)|_{Z(\bar{q})} & \xrightarrow{(*)} \Omega_{Gr|Z(\bar{q})} \\ & \downarrow & \downarrow \\ & U|_{Z(\bar{q})} \otimes (q^*E_0|_{Z(\bar{q})}/U)|_{Z(\bar{q})} & \xrightarrow{(*)} \Omega_{Gr/A}|_{Z(\bar{q})} \end{array}$$

Note:  $\bar{q}^* h^0 = U|_{Z(\bar{q})}$   
 $q^* \ker \bar{q} = U$

\* is due to  $T_{Gr/A} \cong \text{Hom}(U, q^*E_0/U)$

Assume (\*) is commutative. Then have map (\*\*) on kernels.

By snake lemma, the two complexes (1) and (2) are quasi-isom.

Note that  $q|_{Z(\bar{q})}^* (1) = [h^0 \otimes (h^1)^* \rightarrow \Omega_{A|Z}]$ . As  $q|_{Z(\bar{q})} : Z(\bar{q}) \xrightarrow{\cong} Z$ , it is a POT on  $Z$

have: commut. diagr

$$\begin{array}{ccc} Z(\beta) & \xrightarrow{j} & Gr \\ \parallel & & \downarrow q \\ Z & \xrightarrow{i} & A \end{array}$$

$$j_* [Z(\beta)]^{vir} = C_{r_2(r_0-r)} (U^* \otimes q^* E_1) \in A_{\substack{\dim Gr - (r_0-r)r_1 \\ n+(r_0-r)r = n-(r_2-r)(r_0-r)}}(Gr)$$

$$i_* [Z]^{vir} = \Delta_{r_2-r}^{r_0-r} (C(E_1 - E_0))$$

By Thom-Porteous formula

$$\Delta_{\beta}^{\alpha}(c) := \det (C_{\beta+j-i})_{1 \leq i, j \leq \alpha}$$

Cor If  $r_0-r=1$ , then  $i_* [Z]^{vir} = C_{r_2-r_0+1} (E_1 - E_0) \cap [Z]$

Thm 2 (Higher Thom-Porteous formula) for  $r_0-r=1$  case

$$i_* (c_1(\mathcal{L}h^0)^i) \cap [Z]^{vir} = C_{r_2-r_0+i+1} (E_1 - E_0) \cap [Z] \quad \forall i \geq 0$$

Pf.  $r_0-r=1 \Rightarrow Gr(r_0-r, A) = P(E_0), U = \mathcal{O}_{P(E_0)}(-1)$

$$i_* (c_1(\mathcal{L}h^0)^i) \cap [Z]^{vir} = q_* j_* (c_1(\mathcal{O}(1))^{i+1} \cap [Z(\beta)]^{vir}) = q_* (c_1(\mathcal{O}(1))^{i+1} \cup C_{r_2}(\mathcal{O}(1)) \otimes q^* E_1) \cap [Z]$$

$$= q_* (c_1(\mathcal{O}(1))^{i+1} \cup \sum_{k=1}^{r_2} C_k(q^* E_1) \cup c_1(\mathcal{O}(1))^{r_2-k}) \cap [Z(\beta)] =$$

$$= \sum_{k=1}^{r_2} q_* ((c_1(\mathcal{O}(1))^{r_2+i-k} \cup q^* C_k(E_1)) \cap [Z(\beta)]) = \sum_{k=1}^{r_2} q_* (c_1(\mathcal{O}(1))^{r_2+i-k} \cap (q^* (C_k(E_1) \cap [Z]))) =$$

$$= \sum_{k=1}^{r_2} S_{r_2-r_0+i-k+1}(E_0) \cap (C_k(E_1) \cap [Z]) = C_{r_2-r_0+i+1}(E_1 - E_0) \cap [Z]$$

§ Nested Hilbert schemes on surfaces

Let  $S$  be a smooth cplx proj surface w/  $h^{0,1}(S) = h^{0,2}(S) = 0$

$$S^{(n_1, n_2)} = \{ \begin{array}{l} I_1 \subseteq I_2 \\ Z_1 \supseteq Z_2 \end{array} \mid \text{len}(\mathcal{O}_S/I_i) = n_i \}$$

Nested Hilb. scheme

$n_1 \geq n_2 \in \mathbb{Z}_{\geq 0}$  represents functor:  $B \mapsto \{ \mathcal{I}_1 \subseteq \mathcal{I}_2 \subset \mathcal{O}_{S \times B} \text{ flat over } B \text{ st. } \mathcal{I}_i/S_{i \times B} \text{ has colength } n_i \}$

Let  $\mathcal{I}_1, \mathcal{I}_2$  be ideal sheaves of the univers. subsch  $Z_1, Z_2$  of  $S^{(n_1)}, S^{(n_2)}$ , respect

$$\begin{array}{ccc} S & \xrightarrow{\nu} & S^{(n_1)} \times S^{(n_2)} \times S \\ \pi \downarrow & & \downarrow \pi \\ (\mathcal{I}_1, \mathcal{I}_2) & \xrightarrow{i} & S^{(n_1)} \times S^{(n_2)} \end{array}$$

Consider the cplx  $R\pi_* R\text{Hom}(\mathcal{I}_1, \mathcal{I}_2) = 1$

Next; apply Thm 1 to it and view  $S^{(n_1, n_2)}$  as its deepest deg. locus.

Claim  $R\pi_* R\text{Hom}(\mathcal{I}_1, \mathcal{I}_2)$  is q.iso to a 2-term cplx of v.b.

- It is perfect, as  $R\text{Hom}(\mathcal{I}_1, \mathcal{I}_2)$  is perfect and  $\pi$  is flat
- Restricted to each fiber has cohom. in deg. 0, 1

Indeed:  $i^* R\pi_* R\text{Hom}(\mathcal{I}_1, \mathcal{I}_2) \stackrel{\text{flat b.c.}}{=} R_{\text{pr}_*} \nu^* R\text{Hom}(\mathcal{I}_1, \mathcal{I}_2) = R_{\text{pr}_*} R\text{Hom}(\mathcal{I}_1, \mathcal{I}_2) = R\text{Hom}(\mathcal{I}_1, \mathcal{I}_2)$

check it can have cohom. only in deg. 0 and 1, i.e.  $\text{Ext}^i(\mathcal{I}_1, \mathcal{I}_2) = 0 \quad \forall i \notin \{0, 1\}$

It suff to check vanish. for  $i=2$

$$\text{Ext}^2(\mathcal{I}_1, \mathcal{I}_2) \stackrel{SD}{=} \text{Hom}(\mathcal{I}_2, \mathcal{I}_1 \otimes \omega_S) \hookrightarrow \text{Hom}(\mathcal{I}_2, \mathcal{O}_S \otimes \omega_S) \quad (\text{from } 0 \rightarrow \mathcal{I}_1 \otimes \omega_S \rightarrow \mathcal{O}_S \otimes \omega_S \rightarrow \mathcal{O}_{Z_1} \otimes \omega_S)$$

$$0 \rightarrow \mathcal{I}_2 \rightarrow \mathcal{O}_S \rightarrow \mathcal{O}_{Z_2} \rightarrow 0 \quad \text{Apply } \text{Hom}(-, \mathcal{O}_S \otimes \omega_S)$$

$$0 \rightarrow \text{Hom}(\mathcal{O}_{Z_2}, \mathcal{O}_S \otimes \omega_S) \rightarrow \text{Hom}(\mathcal{O}_S, \mathcal{O}_S \otimes \omega_S) \rightarrow \text{Hom}(\mathcal{I}_2, \mathcal{O}_S \otimes \omega_S) \rightarrow \text{Ext}^1(\mathcal{O}_{Z_2}, \mathcal{O}_S \otimes \omega_S)$$

$$\text{H}^0(S, \omega_S) = 2$$

$$\text{Ext}^1(\mathcal{O}_S, \mathcal{O}_{Z_2}) = \text{H}^1(\mathcal{O}_{Z_2}) = 0$$

$$\Rightarrow \text{Hom}(\mathcal{I}_2, \mathcal{O}_S \otimes \omega_S) = 0 = \text{Hom}(\mathcal{I}_2, \mathcal{I}_1 \otimes \omega_S)$$

Note that  $\text{Hom}(I_1, I_2) = \begin{cases} \mathbb{C} & \text{if } I_1 \subset I_2 \\ 0 & \text{otherwise} \end{cases}$

Indeed, 1)  $\text{Hom}(I_1, I_2) \hookrightarrow \text{Hom}(I_1, \mathcal{O}_S) \leftarrow \dim = 1$

$$(I_1 \rightarrow I_2) \longmapsto \underbrace{(I_1 \rightarrow I_2 \xrightarrow{inj} \mathcal{O}_S)}_{inj}$$

$\Rightarrow \dim \text{Hom}(I_1, I_2) \leq 1$

• if  $\exists \varphi: I_1 \rightarrow I_2$  nonzero, then  $\varphi$  is injective

$\Rightarrow \text{Hom}(I_1, I_2) = h^0(R\pi_* R\text{Hom}(I_1, I_2))$  "jumps" over  $S^{[n_1, n_2]}$

Denote  $p: S \times S^{[n_1, n_2]} \rightarrow S^{[n_1, n_2]}$

Prop 1 Let  $S$  be smooth proj surf s.t.  $h^{1,0}(S) = 0 = h^{0,2}(S)$ . Then the nested milb. scheme  $S^{[n_1, n_2]}$  carries a POT

$$(h^1(Rp_* R\text{Hom}(I_1, I_2))^* \rightarrow \Omega_{S^{[n_1, n_2]} \times S^{[n_2]}}|_{S^{[n_1, n_2]}}) \rightarrow \mathbb{L}_{S^{[n_1, n_2]}}$$

and virt cycle  $[S^{[n_1, n_2]}]^{vir} \in A_{n_1+n_2}(S^{[n_1, n_2]})$  s.t. its pushf. to  $S^{[n_1]} \times S^{[n_2]}$  is

$$c_{n_1+n_2}(Rp_* R\text{Hom}(I_1, I_2)[1]) \in A_{n_1+n_2}(S^{[n_1]} \times S^{[n_2]})$$

Pf. Apply Thm 1 to the 2-term cplx of v.b. giso to  $R\pi_* R\text{Hom}(I_1, I_2)$ .

Then its degeneracy locus is  $Z = S^{[n_1, n_2]}$ .

It carries a POT  $(h^0 \otimes h^1 \rightarrow \Omega_{S^{[n_1, n_2]} \times S^{[n_2]}}|_{S^{[n_1, n_2]}}) \rightarrow \mathbb{L}_{S^{[n_1, n_2]}}$

$$\begin{array}{ccc} S & \longrightarrow & S^{[n_1, n_2]} \times S \\ \downarrow & & \downarrow p \\ (I_1 \subset I_2) & \longrightarrow & S^{[n_1, n_2]} \end{array}$$

Need to check:  $h^0 = h^0(Rp_* R\text{Hom}(I_1, I_2)) = p_* \text{Hom}(I_1, I_2)$  is triv.

$$\text{Have inj } \mathcal{O}_{S \times S^{[n_1, n_2]} \times S^{[n_2]}} \hookrightarrow \text{Hom}(I_1, I_2) \hookrightarrow p_* \mathcal{O} \hookrightarrow p_* \text{Hom}(I_1, I_2)$$

IS  $\mathcal{O}$  morph of v.b. of rk 1  $\Rightarrow$  they are iso (on fibers:  $H^0(\mathcal{O}_S) \rightarrow \text{Hom}(I_1, I_2)$  so  $\mathcal{O} \simeq p_* \text{Hom}(I_1, I_2)$ )

By Thom Porteous formula, pushf. of  $[S^{[n_1, n_2]}]^{vir}$  to  $S^{[n_1]} \times S^{[n_2]}$  is  $c_{n_1+n_2} \rightarrow \text{rk}(Rp_* R\text{Hom}(I_1, I_2)) + 1 = (n_1 - n_2) + 1$

$$\begin{aligned} \text{rk}(Rp_* R\text{Hom}(I_1, I_2)) &= \chi(E \otimes I_1 + \text{Hom}(I_1, I_2)) = \chi(I_1, I_2) \stackrel{\text{HRR}}{=} \int \text{ch}(I_1) \text{ch}(I_2) \text{td}(T_S) \\ &= \int \begin{matrix} (1, 0, -n_1) \\ (1, 0, -n_2) \end{matrix} (1, 0, -n_2) (1 + \text{td}_2) \\ &= -n_1 - n_2 + 1 \end{aligned}$$

$$0 \rightarrow I_1 \rightarrow \mathcal{O}_S \rightarrow \mathcal{O}_{Z_1} \rightarrow 0 \Rightarrow \text{ch}(I_1) = (1, 0, -n_1)$$

$$1 = \chi(\mathcal{O}_S) = \int \text{td}(T_S) = \text{deg td}_2(T_S)$$

$$\Rightarrow n_1 - n_2 + 1 = n_1 + n_2 - 1 + 1 = n_1 + n_2$$

$\Rightarrow$  pushf of  $[S^{[n_1, n_2]}]^{vir}$  to  $S^{[n_1]} \times S^{[n_2]}$  is  $c_{n_1+n_2}(Rp_* R\text{Hom}(I_1, I_2)[1])$

§ Carleson-Okaoukov vanishing

Prop 2 S smooth projective surface, assume  $h^{10}(S) = h^{20}(S) = 0$

Then  $C_{n_1+n_2+i}(R\pi_* R\mathcal{H}om(\mathcal{I}_1, \mathcal{I}_2)[1]) = 0, i > 0$

$S^{[n_1, n_2]}$   
 $\downarrow i$   
 on  $S^{[n_1]} \times S^{[n_2]}$

Pf Follows from Higher-Dimensional Poincaré formula & prev. discussion as:

$$i_* \left\{ c_1(h^0)^i \cap [S^{[n_1, n_2]}] \right\} = C_{n_1+n_2+i}(R\pi_* R\mathcal{H}om(\mathcal{I}_1, \mathcal{I}_2)[1])$$

$\parallel$  for  $i > 0$   
 $0$  as  $h^0 = 0$

□

Rk Prop 2 holds also without assumptions  $h^{10}(S) = h^{20}(S) = 0$   
 (Prop 1)

§ Without assumptions on  $h^{10}(S), h^{20}(S)$  : idea

If  $h^{10}(S) > 0 \rightsquigarrow [S^{[n_1, n_2]}]^{vir} = 0$  (OB admits a cosection)

$h^{20}(S) > 0 \rightsquigarrow$  the perf complex  $R\pi_* R\mathcal{H}om(\mathcal{I}_1, \mathcal{I}_2)$  becomes 3-term ( $0 \neq h^2 = \mathbb{Q}^2(\dots)$ )

$\rightsquigarrow$  want to modify  $R\pi_* R\mathcal{H}om(\mathcal{I}_1, \mathcal{I}_2)$  with  $H^1(\mathcal{O}_S)$  and  $H^2(\mathcal{O}_S)$  terms.

Consider the composition

$$H^2(\mathcal{O}_S) \otimes \mathcal{O}_{S^{[n_1]} \times S^{[n_2]}} \cong R^2\pi_* \mathcal{O} \cong R^2\pi_* \mathcal{I}_2 = h^2(R\pi_* R\mathcal{H}om(\mathcal{O}, \mathcal{I}_2))$$

$$\downarrow$$

$$h^2(R\pi_* R\mathcal{H}om(\mathcal{I}_1, \mathcal{I}_2))$$

$$\downarrow$$

$$h^3(R\pi_* R\mathcal{H}om(\mathcal{O}/\mathcal{I}_1, \mathcal{I}_2)) \leftarrow \begin{matrix} \text{fibers } H^3(S, \mathcal{H}om(\mathcal{O}_{2,1}, \mathcal{I}_2)) \\ 0 \text{ as } \dim S = 2 \end{matrix}$$

$\parallel$  as  $\pi$  has relat dim 2

$$H^2(\mathcal{O}_S) \otimes \mathcal{O}[-2] \xrightarrow{\quad} h^2(R\pi_* R\mathcal{H}om(\mathcal{I}_1, \mathcal{I}_2))[-2]$$

$$\downarrow \varphi$$

$$R\pi_* R\mathcal{H}om(\mathcal{I}_1, \mathcal{I}_2) \xrightarrow{\quad} h^2(R\pi_* R\mathcal{H}om(\mathcal{I}_1, \mathcal{I}_2))[-2]$$

If the lift (dotted arrow) exists, then consider cone  $\varphi$ . It doesn't have  $h^2$  iso to 2-term cplx of  $\mathcal{O}_S$ .  
 $\rightsquigarrow$  can replace  $R\pi_* R\mathcal{H}om(\mathcal{I}_1, \mathcal{I}_2)$  by this cone.

One can prove: they have the same  $h^0$  "jumping locus"  $S^{[n_1, n_2]}$   
 have same Chern classes

Assuming some lift for  $H^1(\mathcal{O}_S) \otimes \mathcal{O}[-1]$  can be found as well, applying Thm 1 to cone  $\varphi$ , would get

Thm S any proj surf. Then  $S^{[n_1, n_2]}$  carries a POT and virt cycle  $[S^{[n_1, n_2]}]^{vir} \in A_{n_1+n_2}(S^{[n_1, n_2]})$   
 s.t.  $L_X [S^{[n_1, n_2]}]^{vir} = C_{n_1+n_2}(R\pi_* R\mathcal{H}om(\mathcal{I}_1, \mathcal{I}_2)[1])$

But the lifting  $\varphi$  doesn't exist in general one needs to pull back to a bigger space  
 $A \rightarrow S^{[n_1]} \times S^{[n_2]}$  where such a splitting exist