

# Upper Bound on the Number of Product-Terms in a Sum-of-Products Expansion of Multiple-Valued Functions

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## Abstract

This paper considers the complexity of sum-of-products expansions of multiple-valued functions over a chain-based Post algebra. An upper bound on the number of product-terms in these expansions is derived. Such a bound provides a measure for estimating the maximal size of a Programmable Logic Array needed to implement a function of a fixed number of variables. It can also be used to evaluate the performance of heuristic logic minimizers, by being contrasted to their solutions. To derive this bound, a class of functions which are “worst-case” for the expansion is studied.

**Keywords:** multiple-valued function, sum-of-products expansion, upper bound.

## 1 Introduction

In this paper we consider the complexity of sum-of-products expansions of multiple-valued functions over a chain-based Post algebra  $\mathcal{P} := \langle M; +, \cdot, J; 0, m-1 \rangle$ , where  $M := \{0, 1, \dots, m-1\}$  is a set whose elements form a totally ordered chain, “+” and “.” are the binary operations *maximum* (MAX) and *minimum* (MIN) respectively, and  $J := \{J_0, J_1, \dots, J_{m-1}\}$  is a set of *literal* operators, such that

$$J_i x := \begin{cases} m-1 & \text{if } x = i \\ 0 & \text{otherwise} \end{cases} \quad (1)$$

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where  $x$  is a multiple-valued variable and  $i \in M$  is a constant. For convenience, we write  $J_i x$  as  $\overset{i}{x}$ . Under the operations “+” and “.” the chain forms a distributive lattice with the least element 0 and the greatest element  $m - 1$ . This algebra is known to be functionally complete with constants [1], meaning that every multiple-valued function on  $M$  can be defined as a composition of its basic operations and constants.

Any  $m$ -valued  $n$ -variable function has a *canonical sum-of-products expansion* over  $\mathcal{P}$  of the form

$$f(x_1, \dots, x_n) = \sum_{i=0}^{m^n-1} c_i \overset{i_1}{x_1} \overset{i_2}{x_2} \dots \overset{i_n}{x_n} \quad (2)$$

where  $c_i \in M$  are constants, and  $(i_1 i_2 \dots i_n)$  is the  $m$ -ary representation of  $i$  with  $i_1$  being the least significant digit.

While the canonical sum-of-products expansion of a function is unique, usually more than one non-canonical sum-of-products expansion of a function exists. For example, the 2-variable, 3-valued function shown in Figure 1 can be expressed as  $f(x_1, x_2) = 1 \overset{1}{x_1} \overset{0}{x_2} + 1 \overset{1}{x_1} \overset{2}{x_2} + 2 \overset{1}{x_2}$ , or as  $f(x_1, x_2) = 1 \overset{1}{x_1} + 2 \overset{1}{x_2}$ .

$x_2 \backslash x_1$	0	1	2
0	0	1	0
1	2	2	2
2	0	1	0

Figure 1: An example function.

As a measure of complexity of the expansion we use the total number of product-terms. This measure is common for sum-of-products expansions, because usually they are implemented by Programmable Logic Arrays (PLAs) [5]-[8], and the area of a PLA is proportional to the number of product-terms in the sum-of-products expansion [9]. Using this complexity measure, we define a *minimal* sum-of-products expansion as an expansion with the smallest number of product-terms. For example, for the function in Figure 1, the expansion  $f(x_1, x_2) = 1 \overset{1}{x_1} + 2 \overset{1}{x_2}$  is the minimal one.

To characterize the “worst-case” among all functions of  $n$  variables, we derive an upper bound on the number of product-terms in minimal sum-of-products expansions over  $\mathcal{P}$ , which is a novel result. Some work has been done on deriving the upper bounds on the number of product-terms for other types of sum-of-products expansions. The expansion of multiple-valued functions over minimum, truncated sum and window literal has been considered in [17] and [18]. The *window literal* is an extension of the literal, defined by:

$${}_ix^j := \begin{cases} m-1 & \text{if } i \leq x \leq j \\ 0 & \text{otherwise} \end{cases}$$

where  $i, j \in M$  and  $i \leq j$ . The operation *truncated sum* is given by  $\text{TSUM}(x_1, x_2) := \text{MIN}(x_1 + x_2, m-1)$ , where “+” is the regular arithmetic addition. In [17], the upper bound on the number of product-terms in a minimal expansion of this type has been derived for the cases of 1-variable  $m$ -valued functions (for any  $m > 1$ ) and of 2-variable  $m$ -valued functions (for  $1 < m < 8$ ). This was extended in [18] to handle the case when output phase optimization is allowed, for 1-variable  $m$ -valued functions (for any  $m > 1$ ) and for 2-variable 3-, 4- and 5-valued functions. In [19] an upper bound on the average number of product-terms in a minimal expansion of multiple-valued functions over minimum, maximum and window literal has been derived. In [20] the case of multiple-valued input two-valued output function has been considered. An interesting work, relating the complexity of an expansion over a given set of operations to the properties of the operations is [21].

Our result is important in several respects. First, it provides a measure for estimating the maximal size of a PLA needed to implement a function of a fixed number of variables. Second, the upper bound can be contrasted to the solutions computed by heuristic logic minimizers [10]-[16] in order to evaluate their performance. This is of special significance for multiple-valued functions for which the exact methods for minimization are few and inefficient.

To derive an upper bound, we identify a special class of  $m$ -valued  $n$ -variable functions and prove that, for the case  $n \leq m-1$ , they are “worst-case” for the sum-of-products expansion over  $\mathcal{P}$ . Therefore, the number of product-terms in minimal

sum-of-products expansions of these functions gives the exact upper bound for  $n \leq m - 1$ . Using this result, we derive an approximate upper bound for  $n > m - 1$ . Finding the exact upper bound for  $n > m - 1$  seems to be a very hard combinatorial problem which remains open.

The paper is organized as follows. Section 2 gives the notation and definitions used in the sequel. In Section 3, a special type of multiple-valued functions is defined and studied. In Section 4, the number of product-terms in a minimal expansion of these functions is computed. In Section 5, these functions are used to derive an upper bound on the number of product-terms at the minimal sum-of-products expansions over  $\mathcal{P}$ . In the final section, some conclusions are drawn and a direction for further research is proposed.

## 2 Notation and definitions

We use the standard definitions and notation in the area of multiple-valued logic ([2]). The most important notions are briefly summarized in this section.

Let  $M := \{0, 1, \dots, m - 1\}$  be a finite set of values. An  $m$ -valued  $n$ -variable function  $f(x_1, \dots, x_n)$  is a mapping  $f : M^n \rightarrow M$ .  $M^n$  is the *domain* of  $f$  and  $M$  is the *codomain*. A point in the domain of the function is called a *minterm*.

A *product-term* is MIN of one or more literals  $\overset{i}{x}_j$ ,  $i \in M, j \in \{1, \dots, n\}$ . A *sum-of-products expansion* of  $f$  is MAX of product-terms. We denote by  $E^c(f)$  the canonical sum-of-products expansion (2) of  $f$ , and by  $E^{min}(f)$  the minimal sum-of-products expansion of  $f$ . We use  $N(E^c(f))$  and  $N(E^{min}(f))$  to denote the number of product-terms in  $E^c(f)$  and  $E^{min}(f)$ , respectively.

Let  $\Omega_M(n)$  be the set of all  $n$ -variable functions on  $M$ . The exact upper bound on the number of product-terms in  $E^{min}(f)$  for  $f \in \Omega_M(n)$  is defined by [21]:

$$UB(n) := \max_{f \in \Omega_M(n)} N(E^{min}(f)). \quad (3)$$

Let  $p_1$  and  $p_2$  be two product-terms of at most  $n$  variables and  $c_1, c_2 \in M$  be constants such that  $c_1 < c_2$ . Since  $c_1 + c_2 = c_2$  if  $c_1 < c_2$  for any  $c_1, c_2 \in M$ ,

therefore

$$c_1 \cdot p_1 + c_2 \cdot p_2 = c_1 \cdot (p_1 + p_2) + c_2 \cdot p_2. \quad (4)$$

The following rule is a special case of (4). It will often be used further in the proofs. Let  $(a_1, \dots, a_{j-1}, a_{j-1}, \dots, a_n) \in M^{n-1}$  be some fixed  $(n-1)$ -tuple in  $M^{n-1}$ ,  $c_i \in M$  be constants such that  $c_0 \leq c_i$ , for all  $i \in \{1, 2, \dots, m-1\}$  and  $x_j$  be some variable,  $j \in \{1, 2, \dots, n\}$ . Then:

$$\begin{aligned} \sum_{i \in M} c_i \ x_1^{a_1} \dots x_{j-1}^{a_{j-1}} x_j^i x_{j+1}^{a_{j+1}} \dots x_n^{a_n} &= c_0 \ x_1^{a_1} \dots x_{j-1}^{a_{j-1}} x_{j+1}^{a_{j+1}} \dots x_n^{a_n} + \\ &+ \sum_{\substack{\forall k \in M \\ \text{such that} \\ c_k > c_0}} c_k \ x_1^{a_1} \dots x_{j-1}^{a_{j-1}} x_j^k x_{j+1}^{a_{j+1}} \dots x_n^{a_n} \end{aligned} \quad (5)$$

All product-terms with  $c_i = c_0$ ,  $i \in \{1, 2, \dots, m-1\}$  get absorbed in the product-term  $c_0 \ x_1^{a_1} \dots x_{j-1}^{a_{j-1}} x_{j+1}^{a_{j+1}} \dots x_n^{a_n}$ .

If  $c_i = c_k, \forall i, k \in M$ , then the rule (5) merges  $m$  product-terms of  $n$  variables into a single product-term of  $n-1$  variables. We say that the simplification is carried out *with respect to* the variable  $x_j$ . E.g. if  $m = 3$ , then we can perform the following simplification with respect to  $x_1$ :  $1 \ x_1^0 x_2^1 + 1 \ x_1^1 x_2^1 + 1 \ x_1^2 x_2^1 = 1 \ x_2^1$ .

### 3 Generalized parity functions

It is well-known that the exact upper bound on the number of product-terms in a minimal sum-of-products expansion of an  $n$ -variable Boolean function in Boolean algebra over  $\{0, 1\}$  is  $2^{n-1}$ . For any  $n$ , there are two functions which have exactly  $2^{n-1}$  product-terms in their minimal sum-of-products expansions, called *even-parity* and *odd-parity*. The even-parity (odd-parity) function has value 1 when an even (odd) number of its variables have value 1.

While it is easy to see that parity functions are the “worst-case” for the sum-of-products expansions of Boolean functions, it is much harder to recognize which  $m$ -valued functions are the “worst-case” for the sum-of-products expansions of multiple-valued functions over chain-based Post algebra.

In this section we identify  $n$ -variable  $m$ -valued functions which, as will be proved later, are “worst-case” for the sum-of-products expansion for  $n \leq m - 1$ . The definition below shows the construction scheme. The number of product-terms in their minimal expansions will give us the exact upper bound for  $n \leq m - 1$ . This bound will be used as base for deriving an approximate upper bound for  $n > m - 1$ . Finding a general construction scheme for the “worst-case” function for  $n > m - 1$  seems to be a very hard combinatorial problem which remains open.

We use the notation  $h_n$  instead of the conventional  $h(x_1, \dots, x_n)$  to denote an  $n$ -variable function  $h$ . Such an abbreviation simplifies the proofs and doesn't cause any confusion, because in our case it is the number of variables that matters, and not the variables themselves. A function  $h_n^r$  is defined inductively through the subfunctions  $h_{n-1}^r$  of  $n - 1$  variables.

**Definition 1** For a fixed  $j \in M$ , the function  $h_n^r$  is defined inductively by:

1.  $h_0^r := r$
2.  $h_n^r := h_{n-1}^{r \ominus 1} \overset{j}{x}_n + \sum_{i \in M - \{j\}} h_{n-1}^r \overset{i}{x}_n$

where  $r \in M$  and “ $\ominus$ ” denotes subtraction modulo  $m$ .

As an example, consider the case of  $m = 3$  and  $n = 2$ , and let  $r = m - 1$ . Then, the defining tables for the functions  $h_2^j$  for  $j \in \{0, 1, 2\}$  are shown in Figure 2.

	0	1	2		0	1	2		0	1	2
0	0	1	1	0	2	1	2	0	2	2	1
1	1	2	2	1	1	0	1	1	2	2	1
2	1	2	2	2	2	1	2	2	1	1	0
$j = 0$				$j = 1$				$j = 2$			

Figure 2: The functions  $h_2^j$ , for a fixed  $j \in \{0, 1, 2\}$  and  $m = 3$ .

For  $m = 2$ ,  $h_n^1$  corresponds to the odd-parity function when  $j = 0$ , and to the even-parity function when  $j = 1$ .

Now we prove a useful property of  $h_n^r$  functions which will be used further in the proofs.

**Property 1** Let  $n \geq 0$  and  $r \in M$ . Then

$$h_n^{r\ominus 1} = h_n^r \ominus \mathbf{1}$$

where “ $\ominus$ ” denotes subtraction modulo  $m$ , extended to functions as usual.

**Proof:** By induction on  $n$ .

1) Obvious for  $n = 0$ .

2) Hypothesis: Assume the result holds for  $n$ . For a fixed  $j \in M$  we have:

$$\begin{aligned}
h_{n+1}^{r\ominus 1} &= \sum_{i \in M - \{j\}} h_n^{r\ominus 1} \overset{i}{x}_{n+1} + h_n^{r\ominus 1 \ominus 1} \overset{j}{x}_{n+1} \\
&\quad \{\text{Definition 1}\} \\
&= \sum_{i \in M - \{j\}} (h_n^r \ominus \mathbf{1}) \overset{i}{x}_{n+1} + (h_n^{r\ominus 1} \ominus \mathbf{1}) \overset{j}{x}_{n+1} \\
&\quad \{\text{by ind. hypothesis}\} \\
&= \sum_{i \in M - \{j\}} (h_n^r \overset{i}{x}_{n+1} \ominus \mathbf{1} \overset{i}{x}_{n+1}) + (h_n^{r\ominus 1} \overset{j}{x}_{n+1} \ominus \mathbf{1} \overset{j}{x}_{n+1}) \\
&\quad \{\text{distributivity of “.” over “}\ominus\text{”}\} \\
&= ( \sum_{i \in M - \{j\}} h_n^r \overset{i}{x}_{n+1} + h_n^{r\ominus 1} \overset{j}{x}_{n+1} ) \ominus \mathbf{1} \cdot ( \sum_{i \in M - \{j\}} \overset{i}{x}_{n+1} + \overset{j}{x}_{n+1} ) \\
&\quad \{\text{distributivity of “}\ominus\text{” over “}+\text{”}\} \\
&= ( \sum_{i \in M - \{j\}} h_n^r \overset{i}{x}_{n+1} + h_n^{r\ominus 1} \overset{j}{x}_{n+1} ) \ominus \mathbf{1} \\
&\quad \{\text{by (1), sum of literals over } M \text{ is } \mathbf{1}\} \\
&= h_{n+1}^r \ominus \mathbf{1} \\
&\quad \{\text{Definition 1}\}
\end{aligned}$$

□

As an example, consider the defining tables for the functions  $h_2^j$  for  $j \in \{0, 1, 2\}$ , shown in Figure 2. The  $i$ th row in the defining table,  $i \in \{0, 1, 2\}$ , corresponds to the subfunction  $h_1^i$ . The value of  $j$  determines which row is decremented by 1 (modulo  $m$ ) as compared to the other rows. E.g., for  $j = 0$ , the coefficients of the 0th row of the defining table are decremented by 1. Next, we prove a fundamental theorem

showing that for the case of  $r = m - 1$  a minimal sum-of-products expansion of  $h_n^r$  has the same number of product-terms as its canonical sum-of-products expansion.

**Theorem 1**

$$N(E^{min}(h_n^{m-1})) = N(E^c(h_n^{m-1})).$$

**Proof:** By induction on  $n$ .

1) Obvious for  $n = 0$ .

2) Hypothesis: Assume the result holds for  $n$ . No simplification, reducing the number of product-terms, can be carried out with respect to the variables  $x_1, \dots, x_n$ , or otherwise  $N(E^c(h_n^{m-1})) > N(E^{min}(h_n^{m-1}))$ , which contradicts the hypothesis.

Consider the structure of the function  $h_{n+1}^r$ . By Property 1, it consists of  $m - 1$  identical subfunctions  $h_n^r$  and one subfunction  $h_n^{r\ominus 1}$ , which is different from  $h_n^r$ . Therefore, for all  $n$ -tuples  $(a_1, \dots, a_n) \in M^n$ , we have  $h_{n+1}^r(a_1, a_2, \dots, a_n, i) = c$  for  $i \in M - \{j\}$  and  $h_{n+1}^r(a_1, a_2, \dots, a_n, j) = c \ominus 1$  for some  $j \in M$  and for some  $c \in M$ . The only simplification which can be applied to the canonical sum-of-product-term expansion of  $h_{n+1}^r$  with respect to the variable  $x_{n+1}$  is, by (5):

$$\begin{aligned} (c \ominus 1) \cdot \overset{a_1}{x_1} \dots \overset{a_n}{x_n} \overset{j}{x_{n+1}} + \sum_{i \in M - \{j\}} c \cdot \overset{a_1}{x_1} \dots \overset{a_n}{x_n} \overset{i}{x_{n+1}} = \\ = (c \ominus 1) \cdot \overset{a_1}{x_1} \dots \overset{a_n}{x_n} + \sum_{i \in M - \{j\}} c \cdot \overset{a_1}{x_1} \dots \overset{a_n}{x_n} \overset{i}{x_{n+1}} \end{aligned}$$

which eliminates the variable  $x_{n+1}$  from the first product-term, but does not reduce the number of product-terms in the expansion. No further simplification can be carried out with respect to  $x_{n+1}$ , so  $N(E^{min}(h_{n+1}^{m-1})) = N(E^c(h_{n+1}^{m-1}))$ .

□

## 4 The number of product-terms in the functions $h_n^r$

In this section, we derive the number of product-terms in a minimal sum-of-products expansion of a function  $h_n^r$ . In order to simplify the derivations below, we first introduce the following notation.

**Definition 2** In an  $m$ -valued system,  $P_n^r$  is defined inductively by:

1.  $P_0^r := \begin{cases} 1 & \text{if } r \neq 0 \\ 0 & \text{otherwise} \end{cases}$
2.  $P_n^r := (m-1) \times P_{n-1}^r + P_{n-1}^{r \ominus 1}$

where  $r \in M$ ,  $n > 0$ , “ $\ominus$ ” denotes subtraction modulo  $m$ , and “ $-$ ”, “ $+$ ” and “ $\times$ ” denote the regular arithmetic operations of subtraction, addition and multiplication, correspondently.

Notice that, for notational convenience, during this and next section, we use “ $+$ ” to denote arithmetic addition. In the previous sections we used “ $+$ ” for MAX.

Let  $r \in M$  and  $n \geq 0$ . The following property shows that  $P_n^r$  gives the number of product-terms in the canonical sum-of-products expansion of  $h_n^r$ .

**Property 2**

$$N(E^c(h_n^r)) = P_n^r$$

**Proof:** Follows directly from Definitions 1 and 2.

□

As an example, consider the case  $n = 3$  and  $m = 3$ . We can compute the number of product-terms in the canonical sum-of-products expansion of  $h_3^2$  as follows:

- 1)  $n = 0$  :  $P_0^2 = 1, P_0^1 = 1, P_0^0 = 0$ .
- 2)  $n = 1$  :  $P_1^2 = 2P_0^2 + P_0^1 = 3, P_1^1 = 2P_0^1 + P_0^0 = 2, P_1^0 = 2P_0^0 + P_0^2 = 1$ .
- 3)  $n = 2$  :  $P_2^2 = 2P_1^2 + P_1^1 = 8, P_2^1 = 2P_1^1 + P_1^0 = 5, P_2^0 = 2P_1^0 + P_1^2 = 5$ .
- 4)  $n = 3$  :  $P_3^2 = 2P_2^2 + P_2^1 = 21$ .

Although it is possible to compute  $P_n^r$  directly from its definition, a more convenient way exists. Next, we prove a property showing that  $P_n^r$  can be obtained using the binomial coefficients  $C_n^k$  (or  $\binom{n}{k}$ ). Recall, that these coefficients are defined for non-negative integers  $n$  and  $k$  as follows [22, p. 101]:

$$C_n^k := \begin{cases} \frac{n!}{k!(n-k)!} & \text{for } 0 \leq k \leq n \\ 0 & \text{for } 0 \leq n < k. \end{cases} \quad (6)$$

**Property 3**

$$P_n^r = \sum_{i=0}^n C_n^i \times (m-1)^{n-i} \times P_0^{r \ominus i}.$$

where  $r \in M$ ,  $n \geq 0$  and “ $\times$ ” denotes arithmetic multiplication.

**Proof:** By induction on  $n$ . We omit “ $\times$ ” where obvious.

1) Let  $n = 1$ . Then

$$\begin{aligned} P_1^r &= (m-1)P_0^r + P_0^{r \ominus 1} && \{\text{Definition 2}\} \\ &= C_1^0 (m-1) P_0^r + C_1^1 P_0^{r \ominus 1} && \{C_1^0 = C_1^1 = 1 \text{ by (6)}\} \\ &= \sum_{i=0}^1 C_1^i (m-1)^{n-i} P_0^{r \ominus i} && \{\text{reordering}\} \end{aligned}$$

2) Hypothesis: Assume the result holds for  $n$ . Then we have

$$\begin{aligned} P_{n+1}^r &= (m-1) P_n^r + P_n^{r \ominus 1} \\ &\quad \{\text{Definition 2}\} \\ &= (m-1) \sum_{i=0}^n C_n^i (m-1)^{n-i} P_n^{r \ominus i} + \sum_{i=0}^n C_n^i (m-1)^{n-i} P_n^{r \ominus i \ominus 1} \\ &\quad \{\text{by ind. hypothesis}\} \\ &= (m-1) C_n^0 (m-1)^n P_n^r + (m-1) \sum_{i=1}^n C_n^i (m-1)^{n-i} P_n^{r \ominus i} + \\ &\quad + \sum_{i=0}^{n-1} C_n^i (m-1)^{n-i} P_n^{r \ominus (i+1)} + C_n^n (m-1)^0 P_n^{r \ominus (n+1)} \\ &\quad \{\text{reordering, } r \ominus i \ominus 1 = r \ominus (i+1)\} \\ &= (m-1)^{n+1} P_n^r + \sum_{i=1}^n C_n^i (m-1)^{n-i+1} P_n^{r \ominus i} + \\ &\quad + \sum_{j=1}^n C_n^{j-1} (m-1)^{n-j+1} P_n^{r \ominus j} + P_n^{r \ominus (n+1)} \\ &\quad \{C_n^0 = C_n^n = 1, \text{ substituting } j = i+1 \text{ in the third term}\} \end{aligned}$$

$$\begin{aligned}
&= (m-1)^{n+1} P_n^r + \sum_{i=1}^n (C_n^i + C_n^{i-1}) (m-1)^{n-i+1} P_n^{r\ominus i} + P_n^{r\ominus(n+1)} \\
&\quad \{C_n^0 = C_n^n = 1\} \\
&= (m-1)^{n+1} P_n^r + \sum_{i=1}^n C_{n+1}^i (m-1)^{n-i+1} P_n^{r\ominus i} + P_n^{r\ominus(n+1)} \\
&\quad \{(6)\} \\
&= C_{n+1}^0 (m-1)^{n+1-0} P_n^{r\ominus 0} + \sum_{i=1}^n C_{n+1}^i (m-1)^{n-i+1} P_n^{r\ominus i} + \\
&\quad + C_{n+1}^{n+1} (m-1)^{n+1-(n+1)} P_n^{r\ominus(n+1)} \\
&\quad \{C_{n+1}^0 = C_{n+1}^{n+1} = 1\} \\
&= \sum_{i=0}^{n+1} C_{n+1}^i (m-1)^{n-i+1} P_n^{r\ominus i} \\
&\quad \{\text{reordering}\}
\end{aligned}$$

□

## 5 Upper bound on the number of product-terms in sum-of-products expansions over $\mathcal{P}$

In this section we use the functions  $h_n^{m-1}$  to derive an upper bound on the number of product-terms in sum-of-products expansions over  $\mathcal{P}$ . First, we show that, for the case  $n \leq m-1$ ,  $h_n^{m-1}$  are “worst-case” functions, giving the exact upper bound. Using this result, we then derive an approximate upper bound for the case  $n > m-1$ . Finding the exact upper bound for  $n > m-1$  seems to be a very hard combinatorial problem which remains open.

**Theorem 2** *For any  $f \in \Omega_M(n)$ , the upper bound on the number of product-terms in a minimal sum-of-products expansion of  $f$  over  $\mathcal{P}$  is:*

1.  $UB(n) = m^n$ , for  $n < m-1$ .
2.  $UB(n) = m^n - 1$ , for  $n = m-1$ .
3.  $UB(n) \leq (m^{m-1} - 1) \times m^{n-(m-1)}$ , for  $n > m-1$ .

where  $n \geq 0$ , and “ $-$ ” and “ $\times$ ” denote arithmetic subtraction and multiplication, correspondently.

**Proof:** 1) Let  $n < m - 1$ . Obviously, no  $n$ -variable  $m$ -valued function can have more than  $m^n$  product-terms. We show that there exists a function which has  $m^n$  product-terms in its minimal sum-of-products expansion and that  $h_n^{m-1}$  is such a function.

On one hand, from Theorem 1 and Property 2, we can conclude that  $N(E^{min}(h_n^{m-1})) = P_n^{m-1}$ . On the other hand:

$$\begin{aligned}
P_n^{m-1} &= \sum_{i=0}^n C_n^i \times (m-1)^{n-i} \times P_0^{(m-1)\ominus i} \quad \{\text{Property 3}\} \\
&= \sum_{i=0}^n C_n^i \times (m-1)^{n-i} \quad \{\text{Df. 2, } \forall 0 \leq i < m-1 : P_0^{(m-1)\ominus i} = 1\} \\
&= \sum_{i=0}^n C_n^i \times (m-1)^{n-i} \times 1^i \quad \{\forall i \geq 0 : 1^i = 1\} \\
&= ((m-1) + 1)^n \quad \{\text{binomial expansion [22, p. 101]}\} \\
&= m^n
\end{aligned}$$

So, for  $n < m - 1$ , there exist  $f \in \Omega_M(n)$  such that  $N(E^{min}(f)) = m^n$ .

2) Let  $n = m - 1$ . We first prove that  $N(E^{min}(h_n^{m-1})) = m^n - 1$  and then show that  $\forall f \in \Omega_M(n) : N(E^{min}(f)) \leq N(E^{min}(h_n^{m-1}))$ .

Similarly to the case 1,  $N(E^{min}(h_n^{m-1})) = P_n^{m-1}$ . By Definition 2, for all  $0 \leq i < m-2$  we have  $P_0^{(m-1)\ominus i} = 1$ , and for  $i = m-1$  we have  $P_0^{(m-1)\ominus(m-1)} = P_0^0 = 0$ . Thus, we can conclude that:

$$\begin{aligned}
P_n^{m-1} &= \left( \sum_{i=0}^n C_n^i \times (m-1)^{n-i} \right) - C_n^n \times (m-1)^{(m-1)-n} \\
&= m^n - 1 \quad \{C_n^n = 1 \text{ and } (m-1)^{(m-1)-n} = 1 \text{ for } n = m-1\}
\end{aligned}$$

So, for  $n = m - 1$ , there exists  $f \in \Omega_M(n)$  such that  $N(E^{min}(f)) = m^n - 1$ . We also know that  $N(E^{min}(h_n^{m-1})) = N(E^c(h_n^{m-1}))$ , therefore if there exists a function  $f \in \Omega_M(n)$  with more than  $m^n - 1$  product-terms in its minimal sum-of-products form, then  $N(E^c(f)) > N(E^c(h_n^{m-1}))$ , i.e.  $N(E^c(f)) = m^n$ .

Let  $f$  be any function in  $\Omega_M(n)$  with  $N(E^c(f)) = m^n$ . We will prove that  $N(E^c(f)) = m^n$  implies  $N(E^{min}(f)) < N(E^c(f))$ .

Since  $N(E^c(f)) = m^n$ ,  $f$  has only non-zero values in its codomain. Let  $c \in M - \{0\}$  be the smallest value in the codomain of  $f$ . Suppose  $f$  evaluates to  $c$  for the minterm  $(a_{11}, a_{12}, \dots, a_{1n}) \in M^n$ , i.e. that  $f(a_{11}, a_{12}, \dots, a_{1n}) = c$ . Then, for all other minterms, the value of  $f$  should be strictly larger than  $c$ , or otherwise we can subsequently apply the rule (5) and merge all minterms mapped by  $f$  to  $c$  into a single product-term (constant- $c$ ). This would reduce the number of product-terms in  $E^{min}(f)$ . So, in order to have  $N(E^{min}(f)) = N(E^c(f))$ , the following should hold:

$$f(a_{11}, a_{12}, \dots, a_{1n}) < f(b_1, b_2, \dots, b_n)$$

for all  $(b_1, b_2, \dots, b_n) \in M^n - \{(a_{11}, a_{12}, \dots, a_{1n})\}$ .

Next, consider any  $(n-1)$ -variable subfunction of  $f$  which doesn't have the value  $c$  in its codomain. If  $a_{21} \neq a_{11}, a_{21} \in M$ , then  $f(a_{21}, x_2, \dots, x_n)$  is one such subfunction. By making similar considerations as above, we can see that  $f(a_{21}, x_2, \dots, x_n)$  can evaluate to the minimal value only for a single  $(n-1)$ -tuple, say,  $(a_{22}, \dots, a_{2n}) \in M^{n-1}$ , or otherwise we can apply the rule (5) and reduce the number of product-terms in  $E^{min}(f)$ . So, to have  $N(E^{min}(f)) = N(E^c(f))$ , the following should hold:

$$f(a_{11}, a_{12}, \dots, a_{1n}) < f(a_{21}, a_{22}, \dots, a_{2n}) < f(a_{21}, b_2, \dots, b_n)$$

for all  $(b_2, \dots, b_n) \in M^{n-1} - \{(a_{22}, \dots, a_{2n})\}$ .

Continuing for  $(n-2)$ -variable subfunctions of  $f(a_{21}, x_2, \dots, x_n)$ , and successively further down to the subfunctions of 0-variables, we finally get a necessary condition for  $N(E^{min}(f)) = N(E^c(f))$  in the form:

$$\begin{aligned} f(a_{11}, a_{12}, \dots, a_{1n}) &< f(a_{21}, a_{22}, \dots, a_{2n}) < f(a_{21}, a_{32}, \dots, a_{3n}) < \dots \\ \dots &< f(a_{21}, a_{32}, \dots, a_{n(n-1)}, a_{nn}) < f(a_{21}, a_{32}, \dots, a_{n(n-1)}, a_{(n+1)n}) \end{aligned}$$

$a_{21} \neq a_{11}, a_{32} \neq a_{22}, \dots, a_{n(n-1)} \neq a_{(n-1)(n-1)}, a_{(n+1)n} \neq a_{nn}$ . Obviously, we need to have at least  $n+1$  different non-zero values in the codomain of  $f$  to satisfy this

condition. On the other hand, since  $n = m - 1$ , we have only  $n$  non-zero values in  $M$ . Thus the necessary condition will be violated and some product-terms will merge. Therefore  $N(E^c(f)) = m^n$  implies  $N(E^{min}(f)) < N(E^c(f))$ .

3) Let  $n > m - 1$ . By [2], any  $n$  variable function can be decomposed as:

$$f(x_1, \dots, x_n) = \sum_{i=0}^{m^{n-(m-1)}-1} \overset{i_1}{x_1} \dots \overset{i_{n-(m-1)}}{x_{n-(m-1)}} f(i_1, \dots, i_{n-(m-1)}, x_{n-(m-1)+1}, \dots, x_n)$$

where  $(i_1 \dots i_{n-(m-1)})$  is the  $m$ -ary representation of  $i$  and  $f(i_1, \dots, i_{n-(m-1)}, x_{n-(m-1)+1}, \dots, x_n)$  are subfunctions of  $f(x_1, \dots, x_n)$  obtained by fixing the first  $n-(m-1)$  variables to the values  $i_1, i_2, \dots, i_{n-(m-1)}$ . Obviously, there are  $m^{n-(m-1)}$  such subfunctions for any choice of the  $n - (m - 1)$  variables. By case 2, each of these subfunctions has at most  $m^{m-1} - 1$  product-terms in its minimal form. Thus,  $N(E^{min}(f)) \leq (m^{m-1} - 1) \times m^{n-(m-1)}$ .

□

For  $m = 2$ , the upper bound given by Theorem 2 reduces to the familiar  $UB(n) = 2^{n-1}$  for  $n > 1$  and  $UB(n) = 1$  for  $n \leq 1$ .

For the case  $n > m - 1$ , the functions  $h_n^{m-1}$  are not the “worst-case” any longer. E.g., for  $m = 3$  and  $n = 3$ ,  $N(E^{min}(h_3^2)) = 21$ , however, the functions with more product-terms in their minimal sum-of-products expansion exist. For example, the function shown in Figure 3 has 24 product-terms in its minimal sum-of-products expansion. Notice, that  $(m^{m-1} - 1) \times m^{n-(m-1)} = 24$ , for  $m = 3$  and  $n = 3$ , and therefore the upper bound given by the case 3 of Theorem 2 can be exact for some  $n$  and  $m$ .

## 6 Conclusion

In this paper we derive an upper bound on the number of product-terms in sum-of-products expansions of multiple-valued functions over a chain-based Post algebra. To obtain this result we identify multiple-valued functions which are the “worst-case” for the expansion for the case  $n \leq m - 1$  and compute the number of product-

	0			1			2		
	0	1	2	0	1	2	0	1	2
0	0	2	2	2	2	1	2	1	2
1	2	2	1	2	0	2	1	2	2
2	2	1	2	1	2	2	2	2	0

Figure 3: A function with  $N(E^{min}(f)) = 24$ .

terms in their minimal expansions. It gives the exact upper bound for  $n \leq m - 1$ . This bound is used to obtain an approximate upper bound for  $n > m - 1$ . Finding the exact upper bound for  $n > m - 1$  remains a challenging problem for further research.

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