

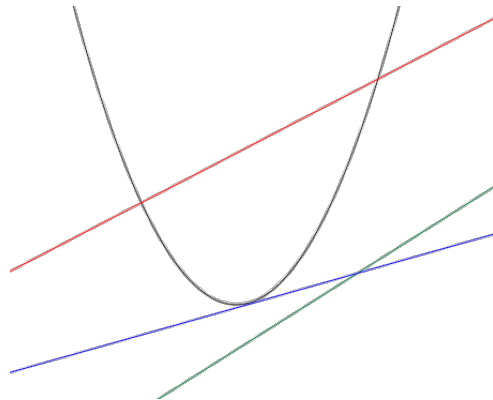


KTH Teknikvetenskap

## Topics in Applied Algebraic Geometry

### Lecture 3: Introduction to The Chow group of a variety

We will introduce the basics of intersection theory and the Chow group of an algebraic variety. We start by stating the basic notations and facts.



# 1 Notation

We refer to [Ha, chap I] for more details.  $X \subseteq \mathbb{C}^n$  denotes an algebraic variety (reduced and irreducible scheme), i.e. the variety defined by a prime ideal  $I(X) \subset \mathbb{C}[x_1, \dots, x_n]$ . The quotient ring  $A(X) = \mathbb{C}[x_1, \dots, x_n]/I(X)$  is the *coordinate ring*. A projective variety  $Y \subseteq \mathbb{P}^n$  is defined by a homogeneous prime ideal of the graded ring  $S = \mathbb{C}[x_0, \dots, x_n]$  and its coordinate ring is  $S(Y) = \mathbb{C}[x_0, \dots, x_n]/I(Y)$ . Recall that a projective variety  $Y$  is covered by affine patches  $U = \cup Y_i$  for  $i = 0, \dots, n$ , and  $A(Y_i) \cong S(Y)_{(x_i)}$ .

A function  $f : Y \rightarrow \mathbb{C}$  is *regular* at a point  $p \in Y$  if there exist an open  $U$  containing  $p$  and two polynomials  $g, h \in S$  of the same degree such that  $f = g/h$  on  $U$ . A function is regular on  $Y$  if it is regular at every point. We will denote by  $\mathcal{O}(Y)$  the ring of regular functions on  $Y$ .

Let  $p \in Y$ . A pair  $(U, f)$  where  $f$  is a regular function at  $p$  and  $U$  is the corresponding open set is called a *germ* of  $f$  near  $p$ . Two germs  $(U, f) = (V, g)$  are equal if  $f = g$  on  $U \cap V$  (eq. relation). The local ring  $\mathcal{O}_p$  is the the ring of equivalence classes of germs, its maximal ideal  $m_p$  is the ideal of germs vanishing at  $p$ . More generally, given  $(U, f), (V, g)$  where  $f$  (resp  $g$ ) is regular on  $U$  (resp  $V$ ) one defines the equivalence relation  $(U, f) = (V, g)$  if  $f = g$  on  $U \cap V$  and the ring of eq. classes  $K(Y)$  is called the *function field* of  $Y$  and its elements are *rational functions*.

Recall the following facts [Ha, Thm 3.2, Thm 3.4]

- If  $X \subseteq \mathbb{C}^n$  then  $A(X) = \mathcal{O}(X)$ ,  $\mathcal{O}_p = A(X)_{(m_p)}$  and  $K(X) = Q(A(X))$ .
- $Y \subseteq \mathbb{P}^n$  then  $\mathcal{O}(Y) = \mathbb{C}$ ,  $\mathcal{O}_p = S(X)_{(m_p)}$  and  $K(X) = S(X)_{((0))}$

**Example 1.1.** •  $K(\mathbb{P}^n) = \{f/g, f, g \in \mathbb{C}[x_0, \dots, x_n]$  homogeneous of the same degree  $\}$ .

- ....

Recall that a variety  $X \subseteq \mathbb{C}^n$  defined by  $I(X) = (f_1, \dots, f_k)$  is *smooth* (non singular) at  $p \in X$  if the Jacobian matrix  $[\frac{\partial f_i}{\partial x_j}(p)]$  has maximal rank. Equivalently if the local ring  $\mathcal{O}_p$  is regular, i.e.  $\dim(m_p/m_p^2) = \dim(X)$ .

Let  $Y \subseteq \mathbb{P}^n$ , a *subvariety*  $Z \subseteq Y$  is a variety defined by a prime ideal of the ring  $S(Y)$ . The *local ring* of  $Z$  on  $Y$  is the ring of equivalence classes of  $(U, f)$  where  $f$  is reg  $U$  and  $U \cap Z \neq \emptyset$  and it is denoted by  $\mathcal{O}_{Z,Y}$ . If  $Z$  is a point  $p$  it coincides with  $\mathcal{O}_p$ . Note also that if  $X$  is affine then the defining ideal  $I_{Z,Y} = \{f \in \mathcal{O}_{Z,X} \text{ s.t. } f \in I(X)\}$ .

Given an affine algebraic variety  $X \subseteq \mathbb{C}^n$  its *dimension* is defined as

- The maximal length och chains of subvarieties:

$$\emptyset = V_0 \subset V_1 \subset \dots \subset V_k = X$$

- Equivalently  $\dim(X) = \dim(A(X))$ .

The *codimension* of a subvariety  $Z \subseteq Y$  is:

- The maximal length och chains of subvarieties:

$$Z = V_0 \subset V_1 \subset \dots \subset V_k = X$$

- Equivalently  $\text{codim}_X(Z) = \dim_{\mathbb{C}}(\mathcal{O}_{Z,Y})$ .

## 2 Weil divisors

Let  $Z \subset Y$  be a subvariety of codimension 1 then locally  $\dim_{\mathbb{C}}(\mathcal{O}_{Z,Y_i})$  is one and thus  $I_{Z,Y_i} = (f_i)$ , where  $f_i \in Q(\mathcal{O}_{Z,Y_i})$ . Moreover  $Q(\mathcal{O}_{Z,Y_i}) = K(Y_i)$ .

Let us recall some important properties of local one dimensional rings. Let  $A$  be a 1-dimensional Noetherian local ring ( $\dim(m/m^2) = 1$ ) and  $0 \neq a \in A$ . Then the ring  $A/aA$  has a finite length (maximal length of a decreasing chain of ideals).

**Definition 2.1.** We define the order of  $a$  as  $ord(a) = ord(A/aA) = length(A/aA)$ . The *order function* is

$$ord : Q(A)^* \rightarrow \mathbb{Z}, ord(a/b) = ord(a) - ord(b).$$

Given  $X \subseteq \mathbb{C}^n$  and  $Z \subset X$  of codimension one we have a well defined order function:

$$\begin{aligned} ord_Z : Q(\mathcal{O}_{Z,X})^* &\rightarrow \mathbb{Z}, ord(f/g) = ord(f) - ord(g) \\ &= length(\mathcal{O}_{Z,X}/f\mathcal{O}_{Z,X}) - length(\mathcal{O}_{Z,X}/g\mathcal{O}_{Z,X}). \end{aligned}$$

In fact of any rational function  $r \in K(X)$  we can define the cycle of  $r$  :

$$[r] = \sum_{\text{cod } 1 \text{ subv}} ord_Z(r)Z.$$

**Example 2.2.** Consider a plane curve  $C \in \mathbb{C}^2$  and two other curves defined by the polynomials  $F, G$ . the cycle of the rational:

$$[F/G] = \sum_{p \in C} ord_p(F/G)p = \sum_p (mult_p(C, F) - mult_p(C, G))p$$

where  $mult_p(C, F) = length(\mathcal{O}_p/F\mathcal{O}_p)$ .

## 3 Chow groups

Let  $X$  be an algebraic variety. We denote by  $Z_k(X)$  the *group of  $k$ -cycles*, i.e. the abelian group freely generated by the subvarieties of dimension  $k$ .

For any  $(k+1)$ -dimensional  $W \subset X$  and any  $r \in K(W)$  we can define the cycle

$$[r] = \sum_{V \in Z_k(X)} ord(r)V$$

A cycle  $\alpha \in Z_k(X)$  is said to be equivalent to 0,  $\alpha \sim 0$ , if there are finitely many  $(k+1)$ -dimensional subvarieties  $W_1, \dots, W_s$  and rational function  $r_{W_i}$  such that

$$\alpha = \sum_1^s [r_{W_i}]$$

The cycle equivalent to 0 for a subgroup denoted by  $B_k(X)$ . The  $k$ -th *Chow group* of  $X$  is defined as:

$$A_k(X) = Z_k(X)/B_k(X).$$

**Example 3.1.** 1. Any codimension one subvariety  $Y \subset \mathbb{C}^n$  is the zero of a polynomial. It follows that  $A_{n-1}(\mathbb{C}^n) = 0$ . Similarly for any point  $p \in \mathbb{C}^n$  and considering  $p \in L$  where  $L$  is a line through  $p$  one sees that  $p \sim 0$  so that  $A_0(\mathbb{C}^n) = 0$ . Clearly  $A_n(\mathbb{C}^n) = [\mathbb{C}^n] = \mathbb{Z}$ .  $[\mathbb{C}^n]$  must be a basis element and  $t \rightarrow t[\mathbb{C}^n]$  is an isomorphism. This is in fact the case for any variety.

2. Consider now  $\mathbb{P}^n$ . We have seen that  $A_n(\mathbb{P}^n) = \mathbb{Z}$ . Consider a codimension one subvariety  $V = V(g)$  for a homogeneous polynomial  $g$  of degree  $d$ . Then  $g/x_0^d$  is a rational function and  $[g/x_0^d] = V - dH_0$  where  $H_0 = (x_0)$  which implies that  $V \sim dH_0$ . We can then define a surjective function  $\mathbb{Z} \rightarrow A_{n-1}(\mathbb{P}^n)$  assigning  $m$  to  $mH_0$ . Note that if  $mH_0 \sim 0$  that there exist  $r_1, \dots, r_s \in K(\mathbb{P}^n)^*$  such that  $dH_0 = \sum [r_i] = \sum d_i H_0$ . It follows that  $\deg(r = r_1 \cdots r_s) = 0 = d$ . If we write  $r = f_1^{m_1} \cdots f_k^{m_k} / g_1^{h_1} \cdots g_l^{h_l}$  where the  $f_i, g_j$  have no common factors, then  $[r] = \sum_1^k m_i V(f_i) - \sum_1^l h_j V(g_j) = dH_0$ . It is then  $h_j = 0$  for all  $j$  and  $m_i = 0$  for all  $i$  but one,  $m_1$ . but since  $\sum_1^k m_i = \sum_1^l h_j$  it must be  $m_1 = 0$  and thus  $dH_0 = 0$ . The map is also injective which proves that  $A_{n-1}(\mathbb{P}^n) = \mathbb{Z} = \langle H \rangle$  where  $H$  is a coordinate hyperplane. Similarly as for (1) one shows that  $A_0(\mathbb{P}^n) = \mathbb{Z}$ .

**Proposition 3.2.** Let  $Y$  be a subvariety of  $X$ . Then for any  $k \geq 0$  there is an exact sequence:

$$A_k(Y) \rightarrow A_k(X) \rightarrow A_k(X \setminus Y) \rightarrow 0.$$

The morphisms are induced by inclusion and restriction.

*Bevis.* A  $k$ -dimensional subvariety of  $Y$  is defined by restricting a  $k$ -dimensional subvariety of  $X$  to  $Y$ . This proves that the first map is well defined, i.e.  $B_k(Y)$  is mapped to  $B_k(X)$ . The second map  $j : A_k(X) \rightarrow A_k(X \setminus Y)$  is defined by restriction. Assume that  $V$  is a  $k$  dimensional subvariety of  $X$  contained in the  $(k+1)$  subvariety  $W$  then for any  $r \in \mathcal{O}_{X,W}$

$$\text{ord}_W(r) = \text{ord}_{W \setminus Y}(r|_{W \setminus Y})$$

which implies that  $j([r]) = [r|_{W \setminus Y}]$  and thus  $j(B_k(X)) \subset B_k(X \setminus Y)$ . Surjection is implied by the fact that subvarieties in  $X \setminus Y$  can be extended to  $X$ . Assume now that  $j(\alpha) \sim 0$  which means that  $j(\alpha) = \sum_1^s [r_{W_i}]$ . again by extending (taking the closure) we can assume  $[r_{W_i}] = j[r_{W_i}^*]$ . The cycle  $\beta = \alpha - \sum_1^s [r_{W_i}^*]$  is equivalent to  $\alpha$  and  $j(\beta) = 0$  which means that all components are contained in  $Y$ . It follows that  $\alpha$  is the image of an element of  $A_k(Y)$ .  $\square$

**Example 3.3.** Let  $U$  be a (non empty) open set of  $\mathbb{C}^n$ . Then  $A_k(U) = 0$  for  $k < n$  and  $A_n(U) = \mathbb{Z}$ . One sees this by induction. We have remarked that this is true for  $n = 1$ . For  $n \geq 2$  consider the projection  $\mathbb{C}^n \rightarrow \mathbb{C}^{n-1}$  whose fiber is  $\mathbb{C}^1$ , i.e.  $\mathbb{C}^n$  can be viewed as an affine bundle of rank 1 over  $\mathbb{C}^{n-1}$ , which implies ([FU, Thm. 1.9]) that there is a surjective map  $\pi : A_{k-1}(\mathbb{C}^{n-1}) \rightarrow A_k(\mathbb{C}^n)$  for all  $1 \leq k \leq n$ . If  $k < n$  by induction the groups are 0. If  $k = n$  then both are  $\mathbb{Z}$ . To finish the prove we observe that the inclusion  $U \subset \mathbb{C}^n$  induces a surjection  $A_k(\mathbb{C}^n) \rightarrow A_k(U)$ .

**Example 3.4.**  $A_k(\mathbb{P}^n) = \mathbb{Z}$  for all  $0 \leq k \leq n$  and it is generated by any  $k$ -plane  $H_k = \mathbb{P}^k$ . We have observed this for  $k = n-1, n$ . Let  $k < n$  and use induction on  $n$ . Let  $H \subset \mathbb{P}^n$  be a hyperplane, then

$$A_k(H) \rightarrow A_k(\mathbb{P}^n) \rightarrow A_k(\mathbb{P}^n \setminus H) \rightarrow 0$$

By induction  $A_k(H) = \mathbb{Z}$  and  $A_k(\mathbb{P}^n \setminus H) = 0$  because  $\mathbb{P}^n \setminus H \cong \mathbb{C}^n$ , which implies that we have a surjective map  $\mathbb{Z} = \langle H_k \rangle \rightarrow A_k(\mathbb{P}^n)$  induced by the inclusion. Let  $d\mathbb{Z} = \langle dH_k \rangle$  be the kernel. This means that  $dH_k = \sum [r_i]$  where  $r_i$  are rational on  $(k+1)$ -dim  $V_i$  and thus  $H_k \subset V = \cup V_i$ .

# Litteraturförteckning

[FU] W. Fulton, Intersection Theory, Springer 1984

[FUintro] Introduction to Intersection Theory in Algebraic Geometry, AMS regional conference series in mathematics, no 54, 1984.

[Ha] R. Hartshorne, Algebraic Geometry, Springer 1977.