On Disturbance Propagation in Vehicular Platoons with Different Communication Ranges

Chengshuai Wu^a, Meng Zhang^{* b}, Dimos V. Dimarogonas ^c

^aSchool of Automation Science and Engineering, Xi'an Jiaotong University, Xi'an 710049, China.

^bSchool of Cyber Science and Engineering, Xi'an Jiaotong University, Xi'an 710049, China.

^c School of Electrical Engineering and Computer Science, KTH Royal Institute of Technology and Digital Futures, Stockholm 10044, Sweden.

Abstract

In the control of vehicular platoons, the disturbances acting on one vehicle can propagate and affect other vehicles. If the disturbances do not amplify along the vehicular string, then it is called string stable. However, it is usually difficult to achieve string stability with a distributed control setting, especially when a constant spacing policy is considered. This note considers the string unstable cases and studies disturbance propagation in a nonlinear vehicular platoon consisting of n+1 vehicles where the (virtual) leading vehicle provides the reference for a constant spacing policy. Apart from the communications between consecutive vehicles, we also assume that each vehicle can receive information from r neighbors ahead, that is, the vehicular platoon has communication range r. Inspired by existing control protocols, a unified distributed nonlinear control law with a variable r is proposed to facilitate the analysis. For the maximal overshoot of the inter-vehicular spacing errors, we explicitly show that the effect of disturbances, including the external disturbances acting on each vehicle and the acceleration of the leading vehicle, is scaled by $O(\sqrt{\left\lceil \frac{n}{r} \right\rceil})$ for a fixed n. This implies that disturbance propagation can be reduced by increasing communication range. Numerical simulation is provided to illustrate the main results.

Key words: Platoon, contraction, matrix measure, input-to-state stability, string stability.

1 Introduction

Vehicular platooning is an intelligent transportation application which allows a string of vehicles to move in a closely spaced manner. This can decrease the aerodynamic drag and increase the traffic throughput [Varaiya, 1993]. Centralized control is impractical when the vehic-

* Corresponding author.

ular platoons are large in length considering the limited wireless communication range and the delay in transmission. Therefore, distributed control protocols are considered (see e.g., Zheng et al. [2015], Herman et al. [2017], Peters et al. [2014]). However, the distributed design leads to the so called disturbance propagation in vehicular platoons.

Many works consider a safety and performance criterion related to disturbance propagation called *string stability* (see the recent tutorial [Feng et al., 2019]). There are various definitions for string stability in existing works, but they all assert similar properties that the effect of disturbances does not amplify along the vehicular string and is independent of the platoon length. Depending on where the disturbances under concern act on, string stability w.r.t. the external input (e.g., acceleration) of the leading vehicle [Ploeg et al., 2013], and string stability w.r.t. the external disturbances on each vehicles [Besselink and Johansson, 2017, Monteil et al., 2019] can be defined. Depending on which kind of signal norms is utilized to characterize the effect of the disturbances, there are

^{*} The work of C. Wu and D. V. Dimarogonas was supported by Knut and Alice Wallenberg Foundation and the Swedish Research Council. This work was conducted when C. Wu was at the KTH Royal Institute of Technology.

The work of M. Zhang was supported in part by the National Natural Science Foundation of China under Grant 62033005 and Grant 62273270, in part by the Natural Science Foundation of Shaanxi Province under Grant 2023JC-XJ-17, and in part by the Natural Science Foundation of Sichuan Province under Grant 2022NSFSC0923, and in part by CAAI-Huawei MindSpore Open Fund under Grant CAAIXSJLJJ-2022-001A.

E-mail addresses: chengshuai.wu@gmail.com (C. Wu), mengzhang2009@xjtu.edu.cn (M. Zhang), dimos@kth.se (D. V. Dimarogonas)

mainly two notions, i.e., \mathcal{L}_2 string stability [Ploeg et al., 2013, Stüdli et al., 2018, Herman et al., 2016] and \mathcal{L}_{∞} string stability [Swaroop and Hedrick, 1996, Besselink and Johansson, 2017, Monteil et al., 2019]. Specifically, \mathcal{L}_2 string stability can be specified using H_{∞} norms of the transfer functions related to the dynamics of each vehicles. The characterization of \mathcal{L}_{∞} string stability usually relies on Lyapunov methods [Swaroop and Hedrick, 1996, Besselink and Johansson, 2017]. Recently, Monteil et al. [2019] showed that \mathcal{L}_{∞} string stability can be elegantly specified based on contraction theory [Aminzare and Sontag, 2014]. This method is extended in [Silva et al., 2021] where a disturbance rejection control design is proposed.

It is known that string stability of vehicular platoons with constant spacing policy can be achieved if the leading vehicle can broadcast its information to all the other vehicles (see e.g., Monteil et al. [2019], Besselink and Johansson [2017]). However, it is not practical when the platoon length is large and the broadcast delays should be considered [Peters et al., 2014]. Another solution to string stability is using time headway policies which rely on absolute velocity information. For example, Knorn and Middleton [2013] considered a vehicular platoon where each vehicle can communicate with two preceding neighbors and showed it is string stable provided a sufficiently large time headway. As a drawback of time headway policies, undesirable large steady-state intervehicular distances can occur [Herman et al., 2017].

Despite the nice properties ensured by string stability, there are many negation results suggest that it is usually difficult to achieve string stability especially when the constant spacing policy is considered. For example, Seiler et al. [2004], Barooah and Hespanha [2005] showed that vehicular platoons with double integrator dynamics using only relative spacing information and constant spacing policies are always \mathcal{L}_2 string unstable for any linear controller. Yadlapalli et al. [2006] showed that string stability cannot be guaranteed for non-cyclic vehicular platoons with constant spacing policies if the communication range is limited (see also [Yadlapalli et al., 2005]). Specifically, they proved that string stability can be ensured if at least one vehicle in the platoon has a specified large enough communication range related to the platoon length. Peters et al. [2016] showed that cyclic vehicular platoons with constant spacing policies using only the information of the predecessor are not even stable when the platoon length is large enough. Recently, Farnam and Sarlette [2019] provided an impossibility result for string stability which covers a broad range of control designs.

For the string unstable cases, analyzing the effect of disturbance propagation is of both theoretical and practical interest. For linear vehicular platoons, this can be analyzed by a transfer function matrix that relates the vector of all external disturbances to the vector of all spacing errors (see e.g., Seiler et al. [2004], Yadlapalli et al. [2006]). Lyapunov methods for studying disturbance propagation have also been reported (see e.g., Li et al. [2019], Herman et al. [2017]), and the results are essentially on the convergence rate of the platoon to a desired spacing configuration since disturbance propagation can be reduced by increasing the convergence rate.

Unlike the aforementioned works, the disturbance propagation problem studied in this paper is formulated by the relation between the disturbances and the maximal overshoot of the inter-vehicular spacing errors. Note that if this relation is independent of the platoon length, then a \mathcal{L}_{∞} string stability-like result is achieved. Similar to [Yadlapalli et al., 2006, Li et al., 2019], we study vehicular platoons with variable communication ranges. that is, each vehicle can receive information from certain amount of neighbors ahead. Compared to the aforementioned works which focus on linear control protocols, our main contribution is related to a contraction theory framework which allows to analyze nonlinear vehicular platoons and can provide explicit bound for the maximal overshoot of spacing errors subject to external disturbances acting on each vehicle and the acceleration of the leading vehicle. For a vehicular platoon consisting of n+1 vehicles with communication range r, we show that the effect of disturbances on the aforementioned bound is scaled by $O(\sqrt{\left\lceil \frac{n}{r} \right\rceil})$ for a fixed *n*. The analysis is conducted for a proposed unified nonlinear control protocol which allows considering a variable r. We believe that the proposed framework can also be applied to other platooning protocols, especially nonlinear ones, since it essentially only requires that the platooning systems satisfy certain contractive properties. To demonstrate this, a distributed heterogeneous control design is given in Section 5, with which the proposed framework shows that \mathcal{L}_{∞} string stability can be achieved.

The remainder of this paper is organized as follows. The next section reviews some basic notions and results in contraction theory. Section 3 presents the configuration and modeling of the studied vehicular platoons and a unified control protocol for different communication ranges. The main result is given in Section 4. Section 5 describes a byproduct of the main result, i.e., a \mathcal{L}_{∞} string stability result. Simulation analysis is provided in Section 6.

2 Preliminaries

Let $|\cdot| : \mathbb{R}^n \to \mathbb{R}_{\geq 0}$ denote a vector norm. The induced matrix norm $||\cdot|| : \mathbb{R}^{n \times p} \to \mathbb{R}_{\geq 0}$ is $||A|| := \max_{|x|=1} |Ax|$. The matrix measure $\mu : \mathbb{R}^{n \times n} \to \mathbb{R}$, associated with $|\cdot|$, is $\mu(A) := \lim_{\varepsilon \to 0^+} \frac{||L_n + \varepsilon A|| - 1}{\varepsilon}$. For $p \in \{1, 2, \infty\}$, let $\mu_p [||\cdot||_p]$ denote the matrix measure [matrix norm] associated with the *p*-norm $|\cdot|_p$. For any measurable vector function $\theta : \mathbb{R}_{\geq 0} \to \mathbb{R}^n$ and a vector norm $|\cdot|$, define $||\theta|| := \operatorname{ess.sup}_{t \geq 0} |\theta(t)|$, i.e., the signal \mathcal{L}_{∞} norm induced by the vector norm $|\cdot|$. For example, we use $||\theta||_{\infty}$ to denote the signal \mathcal{L}_{∞} norm induced by $||\cdot|_{\infty}$. Note that although $||\cdot||$ is used for both the signal

 \mathcal{L}_{∞} norm and the induced matrix norm, the ambiguity is avoided due to the different representations of the arguments, that is, we always use uppercase letters to represent matrices and lowercase letters to represent vector functions. For a signal $u(t) \in \mathbb{R}^n$ defined on [0, T) and for some $\tau \in [0, T)$, define the related truncation signals:

$$u_{\tau}(t) = \begin{cases} u(t), & t \in [0, \tau], \\ 0, & t \in (\tau, T). \end{cases}$$
$$u^{\tau}(t) = \begin{cases} 0, & t \in [0, \tau), \\ u(t), & t \in [\tau, T). \end{cases}$$

Then, for $0 < \tau_1 < \tau_2 < T$, a truncation on the time period $[\tau_1, \tau_2]$ of u(t) is denoted $u_{\tau_2}^{\tau_1}$.

Next we review some basic results on contractive systems [Aminzare and Sontag, 2014]. Consider the nonlinear time-varying system:

$$\dot{x}(t) = f(t, x(t)), \tag{1}$$

where $f : \mathbb{R}_{\geq 0} \times \Omega \to \mathbb{R}^n$ is C^1 and Ω is a convex subset of \mathbb{R}^n . For $t \geq t_0 \geq 0$ and $x_0 \in \Omega$, we assume that (1) admits a unique solution $x(t, t_0, x_0)$ for all $t \geq t_0$, and that $x(t, t_0, x_0) \in \Omega$ for all $t \geq t_0$. From here on we always take $t_0 = 0$ and write $x(t, x_0)$ for $x(t, 0, x_0)$.

The system (1) is called contractive if there exist a vector norm $|\cdot| : \mathbb{R}^n \to \mathbb{R}_{\geq 0}$ and $\eta > 0$ such that for any $a, b \in \Omega$,

$$|x(t,a) - x(t,b)| \le \exp(-\eta t)|a - b|$$
, for all $t \ge 0$. (2)

In other words, any two trajectories converge to each other at an exponential rate. Hence, all the trajectories eventually converge to a unique steady-state solution.

Let $J(t, x) := \frac{\partial}{\partial x} f(t, x)$ denote the Jacobian of the vector field f with respect to x. A sufficient condition [Russo et al., 2010] guaranteeing (2) is that the matrix measure $\mu(\cdot) : \mathbb{R}^{n \times n} \to \mathbb{R}$, associated with $|\cdot|$, satisfies

$$\mu(J(t,x)) \le -\eta, \text{ for all } t \ge 0, x \in \Omega.$$
(3)

The next result given by Desoer and Haneda [1972] shows that a contractive system with an additive input is input-to-state stable (ISS) [Sontag, 1989, Def. 2.1]. **Proposition 1.** Consider the system (1) with an input $u(t) \in \mathbb{R}^n$, i.e.,

$$\dot{x}(t) = f(t, x(t)) + u(t),$$
(4)

where $u : \mathbb{R}_{\geq 0} \to \mathbb{R}^n$ is piecewise continuous. Assume that condition (3) holds with $\Omega = \mathbb{R}^n$, and f(t, 0) = 0 for all $t \geq 0$. Then,

$$|x(t,x_0)| \le \exp(-\eta t)|x_0| + \int_0^t \exp(-\eta (t-s))|u(s)| \,\mathrm{d}s,$$
(5)

for all $t \geq 0$. That is, there exists a class \mathcal{KL} function $\beta : \mathbb{R}_{\geq 0} \times \mathbb{R}_{\geq 0} \to \mathbb{R}_{\geq 0}$ and a class \mathcal{K} function $\gamma : \mathbb{R}_{\geq 0} \to \mathbb{R}_{\geq 0}$ such that

$$|x(t, x_0)| \le \beta(|x_0|, t) + \gamma(||u_t||), \text{ for all } t \ge 0, \quad (6)$$

where $\beta(s,t) = \exp(-\eta t)s$ and $\gamma(s) = s/\eta$. **Remark 1.** By computing the integral in (5), we have

$$|x(t, x_0)| \le \exp(-\eta t)|x_0| + \frac{1 - \exp(-\eta t)}{\eta} ||u_t||,$$

for all $t \ge 0$. Note that this offers a better bound on $|x(t, x_0)|$ compared to (6), but the subsequent analysis will exploit the latter for simplicity.

3 Problem formulation

Consider the longitudinal formation control problem of a vehicular string consisting of (n + 1) vehicles. The position and velocity of the *i*th vehicle is denoted $p_i(t)$ and $v_i(t)$, i = 0, 1, ..., n, respectively. The state of the leading vehicle $(p_0(t), v_0(t))$ provides a reference for the platoon. The dynamics of the *i*th vehicle, i = 1, ..., n, is described as a second order linear system:

$$\dot{p}_i = v_i,
m_i \dot{v}_i = u_i + \theta_i,$$
(7)

where m_i is the mass of the *i*th vehicle, $\theta_i(t)$ denotes the external disturbance, and $u_i(t)$ is the control input of the *i*th vehicle. Note that the longitudinal dynamics of vehicles is typically modeled as a nonlinear system, and (7) can be obtained via feedback linearization (see e.g. Stankovic et al. [2000]).

The control goal is to maintain certain designed intervehicular distances for the platoon, i.e., the constant spacing policy. To formalize this, define a new state $x \in \mathbb{R}^n$ whose *i*th entry is

$$x_i := p_{i-1} - p_i, \quad i = 1, \dots, n,$$

i.e., the *i*th inter-vehicular distance. Let $e \in \mathbb{R}^n$ be a vector with positive entries, and its *i*th entry e_i , $i = 1, \ldots, n$, denotes the desired *i*th inter-vehicular distance.

As shown in [Ploeg et al., 2013, Besselink and Johansson, 2017, Monteil et al., 2019], both the external disturbances acting on each vehicle and the acceleration of the leading vehicle, i.e., θ_i 's and \dot{v}_0 , affect the inter-vehicular spacing errors $x_i - e_i, i = 1, \ldots, n$. This work seeks to analyze the effects of θ_i 's and \dot{v}_0 simultaneously. To facilitate this, we exploit a set of decentralized tracking controllers such that the velocity of the *i*th vehicle can track a desired value $v_{di}(t)$ given by

$$v_{di}(t) := d_i(x_i(t), x_{i+1}(t)) + v_0(t), \ i = 1, \dots, n-1, v_{dn}(t) := d_n(x_n(t)) + v_0(t).$$
(8)

The mappings d_i , i = 1, ..., n, are C^1 w.r.t the arguments and satisfy $d_i(e_i, e_{i+1}) = 0$, i = 1, ..., n-1, and $d_n(e_n) = 0$. That is, the desired velocity of the platoon is $v_0(t)$ when the desired configuration x = e is achieved. Throughout the note (except Section 5) we consider a set of d_i 's such that there exist positive constants η_1 and c,

$$\frac{\partial d_i}{\partial x_i} > 0, \ \frac{\partial d_i}{\partial x_{i+1}} < 0, \ \frac{\partial d_i}{\partial x_i} + \frac{\partial d_i}{\partial x_{i+1}} \ge \eta_1, \qquad (9)$$

$$\max\left\{ \left| \frac{\partial d_i}{\partial x_i} \right|, \left| \frac{\partial d_i}{\partial x_{i+1}} \right| \right\} \le c, \tag{10}$$

for all i = 1, ..., n, and all $x \in \mathbb{R}^n$. Note that $\frac{\partial d_n}{\partial x_{n+1}} = 0$. **Remark 2.** The mappings d_i can be viewed as a formation protocol [Mesbahi and Egerstedt, 2010] for the platoon with the first order dynamics $\dot{p}_i = v_i, i = 1, ..., n$. For example, we can design d_i 's as the standard linear formation protocol

$$d_i(x_i, x_{i+1}) = \ell_i^p(x_i - e_i) - \ell_i^f(x_{i+1} - e_{i+1}), \quad (11)$$

where $\ell_i^p, \ell_i^f > 0, i = 1, ..., n$. Note that Conditions (9)-(10) hold in this case with $\ell_i^p > \ell_i^f$ for all *i*.

We assume that consecutive vehicles can communicate information including relative positions and velocities. Additionally, each vehicle can receive information from rneighboring vehicles ahead. In other words, the platoon has communication range $r \in \{1, ..., n\}$. For practical applications, a large r can cause time-delays in communication. The current work considers a ideal case without delays to simplify the analysis. We note however that time-delays could be considered in the suggested framework and are indeed a topic of future work.

Let $d_i = 0$ and $v_i = v_0$ for all $i \leq 0$. We propose the following control protocol with $r \in \{1, \ldots, n\}$

$$\frac{1}{m_i}u_i = -k_i \left(v_i - \sum_{j=0}^{r-1} d_{i-j} - v_{i-r}\right) + \frac{\partial d_i}{\partial x_i}(v_{i-1} - v_i) + \frac{\partial d_i}{\partial x_{i+1}}(v_i - v_{i+1}), \ i = 1, \dots, n,$$
(12)

where $k_i > 0$, i = 1, ..., n, are the control gains. Note that the third term on the right side of (12) vanishes for i = n. We can see from (12) that Condition (9) is actually related to exploiting asymmetric weights on the feedback of $v_{i-1} - v_i$ and $v_i - v_{i+1}$, which is known to have the ability to enhance robustness [Monteil et al., 2019, Hao et al., 2012].

Remark 3. The control design (12) is inspired by some existing platooning protocols. For example, Eq. (12) with r = 1 has the same structure as the control protocol given in [Herman et al., 2017, Eq. (2)], that is, each local controller only exploits relative information w.r.t. the immediate predecessor and follower. Eq. (12) with r = n

is similar to the control design in [Monteil et al., 2019, Eq. (6)], with the distinction that the latter utilizes the feedback term on the relative position w.r.t. the leading vehicle, i.e, $p_0 - p_i - \sum_{j=1}^{i} e_j$. In (12) with r = n, this term is replaced by the weighted version $\sum_{j=0}^{n-1} d_{i-j}$ (i.e., $\sum_{j=1}^{i} d_j$). Note that both terms correspond to a direct coupling from the leading to the ith vehicle.

The next section details the main results, which provide an upper bound for the maximal overshoot of the intervehicular spacing errors, i.e., $\max_i\{||x_i - e_i||\}$.

4 Main results

We first show that the closed-loop system of (7) with the control design (12) can be reformulated as a singularly perturbed systems (see e.g. [Khalil, 2002, Ch. 11]).

Define a new state $z \in \mathbb{R}^n$ with its *i*th entry as

$$z_i := v_i - v_{di}$$

where v_{di} is given in (8). Let $k_{\min} := \min_i \{k_i\}$ and write the control gains k_i 's as $k_i = \bar{k}_i k_{\min}$, $i = 1, \ldots, n$. That is, \bar{k}_i can be viewed as a relative control gain w.r.t. k_{\min} , and satisfies $\bar{k}_i \ge 1$ for all $i \in \{1, \ldots, n\}$. Define a parameter $\epsilon := \frac{1}{k_{\min}}$ and let $z_i = 0$ for all $i \le 0$. Then the closed-loop system of (7) with the control laws (12) is

$$\dot{x}_{i} = d_{i-1} - d_{i} + z_{i-1} - z_{i},$$

$$\epsilon \dot{z}_{i} = -\bar{k}_{i} \left(z_{i} - z_{i-r} - \sum_{j=1}^{r} d_{i-j} \right) + \epsilon \left(\frac{\theta_{i}}{m_{i}} - \dot{v}_{0} \right),$$
(13)

with i = 1, ..., n. For simplicity, we define the overall disturbance signal $w \in \mathbb{R}^n$ with its *i*th entry as

$$w_i := \frac{\theta_i}{m_i} - \dot{v}_0, \ i = 1, \dots, n.$$

This definition is of practical interest since both θ_i and \dot{v}_0 affect the inter-vehicular spacing errors (see e.g., [Ploeg et al., 2013, Besselink and Johansson, 2017, Monteil et al., 2019]).

Note that the parameter ϵ can be made arbitrarily small by exploiting a high gain design. This justifies that the above system is indeed a singularly perturbed system whose stability usually depends on the existence of a small enough ϵ . By the standard procedure of singular perturbation, i.e., time scale decomposition, system (13) can be decomposed into the so-called *reduced system* and *boundary layer system*. Specifically, let $\epsilon = 0$, then the z-system achieves the steady state $h(x) \in \mathbb{R}^n$ instantly defined by $h_i - h_{i-r} - \sum_{j=1}^r d_{i-j} = 0, \ i = 1, \ldots, n$, where $h_i(x)$ denotes the *i*th entry of h(x). For $i \leq 0$, we set $h_i = 0$. A straightforward calculation yields

$$h_i = \sum_{j=1}^{i-1} d_j, \ i = 1, \dots, n.$$
(14)

For example, if n = 3, then $h_1 = 0$, $h_2 = d_1$, and $h_3 = d_1 + d_2$. Define the error state y := z - h(x), and a fast time scale $\tau := \frac{t}{\epsilon}$. Then the system (13) in the (x, y)-coordinate is

$$\dot{x} = f(x) + Gy, \tag{15}$$

$$\frac{\mathrm{d}y}{\mathrm{d}\tau} = Ay - \epsilon \frac{\partial h}{\partial x} (f(x) + Gy) + \epsilon w, \qquad (16)$$

where $f := \begin{bmatrix} -d_1 & -d_2 & \cdots & -d_n \end{bmatrix}^T$. Let G_{ij} and A_{ij} denote the *ij*th entry of the $n \times n$ matrices G and A, respectively. Then,

$$G_{ij} = \begin{cases} -1, & i = j, \\ 1, & i = j + 1, \\ 0, & \text{otherwise.} \end{cases}$$
(17)

$$A_{ij} = \begin{cases} -\bar{k}_i, & i = j, \\ \bar{k}_i, & i = j + r, \\ 0, & \text{otherwise.} \end{cases}$$
(18)

Before the main theorem, we first give the next two instrumental results studying the matrix measures of the Jacobians of the two systems (15) and (16), i.e., contractivity of (15) and (16). The next result shows that the systems (15) is contractive if y is treated as a parameter. **Lemma 1.** Define $J(x) = \frac{\partial}{\partial x}f(x)$. Condition (9) ensures that $\mu_{\infty}(J(x)) \leq -\eta_1$ for all $x \in \mathbb{R}^n$.

The proof is based on that $\mu_{\infty}(J) = \max_{i} \{J_{ii} + \sum_{j \neq i} |J_{ij}|\}.$

The next result gives a sufficient condition such that the system (16) is contractive when x is viewed as a parameter. Here, we denote $P \leq Q$ for $P, Q \in \mathbb{R}^{n \times n}$ if $P_{ij} \leq Q_{ij}$ for all $i, j \in \{1, \ldots, n\}$. For a symmetric matrix $P \in \mathbb{R}^{n \times n}$, we denote $P \succ [\succeq]0$ if P is positive [semi]definite.

Proposition 2. Define $\overline{J}(x) := A - \epsilon \frac{\partial}{\partial x} h(x) G$. Given $a \in \mathbb{R}^n$ and decompose it as

$$a = \begin{bmatrix} a^1 \\ \vdots \\ a^m \end{bmatrix}, \ a^i \in \mathbb{R}^r, \ i = 1, \dots, m-1, a^m \in \mathbb{R}^{n-(m-1)r},$$

where $m := \lceil \frac{n}{r} \rceil$. Let $D \in \mathbb{R}^{m \times m}$ be a positive definite diagonal matrix. Define a vector norm $|\cdot|_* : \mathbb{R}^n \to \mathbb{R}_{\geq 0}$

by

$$|a|_* := \left| \begin{bmatrix} |a^1|_{\infty} \\ \vdots \\ |a^m|_{\infty} \end{bmatrix} \right|_{D,2}, \tag{19}$$

where $|\cdot|_{D,2} : \mathbb{R}^m \to \mathbb{R}_{\geq 0}$ denotes a *D*-weighted 2-norm defined by $|b|_{D,2} := |Db|_2$ for $b \in \mathbb{R}^m$. Let μ_* denote the matrix measure induced by $|\cdot|_*$. Conditions (9) and (10) with some c > 0 ensure that if

$$-\bar{k}_i + 2\bar{\epsilon}c(r-1) < 0, \ i = 1, \dots, n, \ for \ some \ \bar{\epsilon} > 0, \ (20)$$

then there exist $\eta_2 > 0$ and a D such that

$$\mu_*(\bar{J}(x)) \le -\eta_2, \text{ for all } \epsilon \in (0,\bar{\epsilon}], \text{ and all } x \in \mathbb{R}^n.$$
(21)

Proof. Consider a $m \times m$ partition of $\overline{J}(x)$ where the *ijth* block is denoted $\overline{J}^{ij}(x) \in \mathbb{R}^{n_i \times n_j}$ with $n_i = r$ for $i \in \{1, \ldots, m-1\}$, and $n_m = n - r(m-1)$. Define $B(x) \in \mathbb{R}^{m \times m}$, with its *ijth* entry denoted $B_{ij}(x)$, by

$$B_{ij}(x) := \begin{cases} \mu_{\infty}(\bar{J}^{ij}(x)), & i = j, \\ ||\bar{J}^{ij}(x)||_{\infty}, & i \neq j. \end{cases}$$
(22)

Note that B(x) is Metzler. Let $\mu_{D,2}$ denote the matrix measure induced by $|\cdot|_{D,2}$. By virtue of $|\cdot|_{D,2}$ being monotonic, Ström [1975] proved that (see also [Russo et al., 2013, Thm. 2])

$$\mu_*(\bar{J}(x)) \le \mu_{D,2}(B(x)), \text{ for all } x \in \mathbb{R}^n.$$
(23)

Furthermore, Condition (10) ensures that B(x) is bounded for any $x \in \mathbb{R}^n$. That is, there exists a constant Metzler matrix $\overline{B} \in \mathbb{R}^{m \times m}$ such that $B(x) \leq \overline{B}$ for all $x \in \mathbb{R}^n$. By [Ofir et al., 2022a, Prop. 2] (see also a similar result [Jafarpour et al., 2022, Thm. 3.3]), we have

$$\mu_{D,2}(B(x)) \le \mu_{D,2}(\bar{B}), \text{ for all } x \in \mathbb{R}^n.$$
 (24)

A straightforward calculation shows that the matrix $F(x) := -\epsilon \frac{\partial}{\partial x} h(x) G$ is a lower triangular matrix satisfying

$$|F_{ij}(x)| \le 2\epsilon c, \text{ for all } i > j. \tag{25}$$

Recall (18), then the matrices $\overline{J}(x)$ and B(x) are also lower triangular. The diagonal entries of $\overline{J}(x)$ are

$$-\bar{k}_1, \ \epsilon \frac{\partial d_1}{\partial x_2} - \bar{k}_2, \dots, \ \epsilon \frac{\partial d_{n-1}}{\partial x_n} - \bar{k}_n.$$

Note that they are all negative for any $\epsilon > 0$ due to Condition (9). Therefore, Condition (20) ensures that there exists $c_1 > 0$ such that if $\epsilon \in (0, \bar{\epsilon}]$, then

$$\mu_{\infty}(\overline{J}^{ii}(x)) < -c_1$$
, for all $i \in \{1, \dots, m\}, x \in \mathbb{R}^n$.

Since B(x) is lower triangular, its eigenvalues are the diagonal entries, i.e., $\mu_{\infty}(\bar{J}^{ii}(x))$, $i = 1, \ldots, m$. Therefore, B(x) is Hurwitz for all x, and thus there exists a lower triangular and Hurwitz \bar{B} . It is well known that a Hurwitz and Metzler matrix is diagonally stable, i.e., there exists a diagonal matrix $D \succ 0$ and $\eta_2 > 0$ such that $D^2\bar{B} + \bar{B}^T D^2 \leq -2\eta_2 I_m$. This implies that $\mu_{D,2}(\bar{B}) = \mu_2(D\bar{B}D^{-1}) \leq -\eta_2$. Then (21) follows from (23) and (24). \Box

Note that the parameter η_2 , i.e., the contraction rate of the system (16), depends on the communication range r implicitly since the vector norm $|\cdot|_*$ in (19) is related to r. The next example suggests that a larger η_2 can be achieved by increasing r.

Example 1. Let $k_1 = \cdots = k_n$, i.e., $\bar{k}_1 = \cdots = \bar{k}_n = 1$. Consider the extreme case $\epsilon = 0$, then $\bar{J}(x) = A$ and the matrix $B(x) \in \mathbb{R}^{m \times m}$ in (22) is given by

$$B_{ij} := \begin{cases} -1, & i = j, \\ 1, & i = j + 1, \\ 0, & otherwise. \end{cases}$$

The symmetric part of B, i.e., $B_{\text{sym}} := (B + B^T)/2$, is an $m \times m$ tridiagonal matrix with -1 on the main diagonal, and 1/2 on the sub- and super-diagonals. Yueh [2005] showed that the eigenvalues of such a matrix are $\lambda_i = -1 + \cos\left(\frac{i\pi}{m+1}\right), i = 1, \dots, m$. Therefore,

$$\mu_2(B) = \lambda_{\max}(B_{\text{sym}}) = -1 + \cos\left(\frac{\pi}{m+1}\right) < 0.$$
 (26)

That is, the matrix D in this case can be selected as I_m . Eq. (26) implies that $\mu_2(B)$ is increasing w.r.t. m if $\epsilon = 0$. For a small enough $\epsilon > 0$, this property still holds since B(x) depends on ϵ continuously and $\mu_2(B(x))$ is continuous w.r.t. the entries of B(x). Recall that $m := \lceil \frac{n}{r} \rceil$. This shows that a larger communication range r can lead to a smaller $\mu_2(B)$, that is, a larger η_2 .

Remark 4. Note that Lemma 1 and Proposition 2 show that the system (15)-(16) can be viewed as an interconnection of two contracive systems, or two ISS systems by Proposition 1. It is well known that the small gain theorem can be applied in this case. However, it requires that the term $\frac{\partial h}{\partial x}f(x)$ in (16) is bounded for all $x \in \mathbb{R}^n$. For the incremental version of small gain theorem [Russo et al., 2013], it requires that $\frac{\partial}{\partial x}\left(\frac{\partial h}{\partial x}f(x)\right)$ is bounded for all $x \in \mathbb{R}^n$. Note that these two boundedness conditions are not required in this current work.

Based on the above results, the main theorem below shows that the platooning system (7) with the control protocol (12) is semi-globally stable. The argument is related to finding a small enough ϵ (i.e., a large enough k_{\min}). It is inspired by [Christofides and Teel, 1996, Thm. 1], which is a Lyapunov method based on implicit constructions. In our case, these implicit constructions can be made explicit by contraction theory. To facilitate the analysis, define

$$||G||_{\infty,*} := \max_{a \neq 0} \frac{|Ga|_{\infty}}{|a|_{*}}, \ a \in \mathbb{R}^{n}.$$

Theorem 3. Consider the (x, y)-system (15)-(16) where the mappings d_i satisfy Conditions (9)-(10), and the parameters \bar{k}_i satisfy Condition (20) for some $\bar{\epsilon} > 0$. For each pair of positive constants (δ, σ) , there exists $\epsilon^* \in (0, \bar{\epsilon}]$, such that if

$$\max\{|x(0) - e|_{\infty}, |y(0)|_{*}, ||w||_{*}\} \le \delta$$

and $\epsilon \in (0, \epsilon^*]$, then

$$|x(t) - e|_{\infty} \leq \exp(-\eta_1 t) |x(0) - e|_{\infty} + \frac{\epsilon ||G||_{\infty,*} ||w_t||_{*}}{\eta_1 \eta_2} + \sigma,$$
(27)

$$|y(t)|_* \le \exp\left(-\frac{\eta_2 t}{\epsilon}\right) |y(0)|_* + \frac{\epsilon ||w_t||_*}{\eta_2} + \sigma, \quad (28)$$

for all $t \geq 0$. The parameters η_1 and η_2 are specified in Condition (9) and Proposition 2, respectively. Proof. For the given (δ, σ) and $\bar{\epsilon} > 0$, let $\delta_x > 0$ be a constant such that

$$\delta_x > \delta + \frac{\bar{\epsilon}||G||_{\infty,*}\delta}{\eta_1\eta_2} + \sigma.$$
⁽²⁹⁾

Note that $\delta_x > \delta$. By $|x(0) - e|_{\infty} \leq \delta$ and the fact that x(t) is continuous, we can define [0, T) with T > 0 to be the maximal interval such that

$$|x(t) - e|_{\infty} < \delta_x, \text{ for all } t \in [0, T).$$
(30)

To show by contradiction that $T = \infty$ for ϵ sufficiently small, we first suppose T is finite. Pick $\epsilon \in (0, \bar{\epsilon}]$, using Propositions 1 and 2 on $t \in [0, T)$ yields

$$|y(t)|_* \le \exp\left(-\frac{\eta_2 t}{\epsilon}\right) |y(0)|_* + \frac{||u_t||_*}{\eta_2}, \text{ for all } t \in [0,T),$$

where $u(t) := -\epsilon \frac{\partial h}{\partial x} f(x) + \epsilon w$. Since x(t) is bounded on $t \in [0,T)$ and the mappings h and f are C^1 w.r.t. x, there exists a class \mathcal{K} function $\gamma : \mathbb{R}_{\geq 0} \to \mathbb{R}_{\geq 0}$ such that

$$\left.-\epsilon\frac{\partial h}{\partial x}f(x)\right|_*\leq\gamma(\epsilon), \text{ for all }t\in[0,T).$$

Hence, $|y(t)|_* \leq \exp\left(-\frac{\eta_2 t}{\epsilon}\right) |y(0)|_* + \frac{\gamma(\epsilon) + \epsilon ||w_t||_*}{\eta_2}$, for all $t \in [0, T)$. This implies that

$$\|y_t\|_* \le \delta + \frac{\epsilon \delta + \gamma(\epsilon)}{\eta_2}, \text{ for all } t \in [0, T).$$
(31)

That is, both x(t) and y(t) are bounded on $t \in [0, T)$.

Pick $\rho \in [0,T)$. Using Lemma 1 and Proposition 1, together with the fact f(e) = 0, yields

$$\begin{aligned} |x(t) - e|_{\infty} &\leq \exp(-\eta_{1}t)|x(0) - e|_{\infty} \\ &+ ||G||_{\infty,*} \int_{0}^{\rho} \exp(-\eta_{1}(\rho - s))|y(s)|_{*} \,\mathrm{d}s \\ &+ ||G||_{\infty,*} \int_{\rho}^{t} \exp(-\eta_{1}(t - s))|y(s)|_{*} \,\mathrm{d}s \\ &\leq \exp(-\eta_{1}t)|x(0) - e|_{\infty} + \rho||G||_{\infty,*}||y_{\rho}||_{*} \\ &+ \frac{||G||_{\infty,*}||y_{t}^{\rho}||_{*}}{\eta_{1}}, \text{ for all } t \in [0, T). \end{aligned}$$

$$(32)$$

Note that $||y_{\rho}||_{*} \leq ||y_{t}||_{*}$. Let ρ be small enough such that

$$\rho||G||_{\infty,*}\left(\delta + \frac{\bar{\epsilon}\delta + \gamma(\bar{\epsilon})}{\eta_2}\right) \le \frac{\sigma}{2}.$$
(31) and (32) lead to

Then, Eqs.
$$(31)$$
 and (32) lead to

$$\begin{aligned} |x(t) - e|_{\infty} &\leq \exp(-\eta_{1}t)|x(0) - e|_{\infty} + \frac{||G||_{\infty,*}||y_{t}^{\rho}||_{*}}{\eta_{1}} \\ &+ \frac{\sigma}{2} \\ &\leq \exp(-\eta_{1}t)|x(0) - e|_{\infty} + \frac{\sigma}{2} \\ &+ \frac{||G||_{\infty,*}}{\eta_{1}} \left(\exp\left(-\frac{\eta_{2}\rho}{\epsilon}\right)|y(0)|_{*} + \frac{\gamma(\epsilon) + \epsilon||w_{t}||_{*}}{\eta_{2}} \right), \end{aligned}$$

for all $t \in [0, T)$. Note that the terms $\exp\left(-\frac{\eta_2 \rho}{\epsilon}\right)$ and $\gamma(\epsilon)$ converge to zero as ϵ goes to zero. Therefore, there exists a small enough $\epsilon_1 > 0$, such that if $\epsilon \leq \min\{\epsilon_1, \bar{\epsilon}\}$, then

$$|x(t) - e|_{\infty} \le \exp(-\eta_1 t) |x(0) - e|_{\infty} + \frac{\epsilon ||G||_{\infty,*} ||w_t||_*}{\eta_1 \eta_2} + \sigma_2$$

for all $t \in [0, T)$. From the definition of δ_x , we have that $|x(t) - e|_{\infty} < \delta_x$ for all $t \in [0, T)$ and

$$\limsup_{t \to T} |x(t) - e|_{\infty} \le \delta_x - (1 - \exp(-\eta_1 T))\delta.$$

By the continuity of x(t) and the assumption that T is finite, there exists $\Delta T > 0$ such that $|x(t) - e|_{\infty} < \delta_x$ for all $t \in [0, T + \Delta T)$, and this contradicts that T is the maximal value such that (30) holds. Hence, we have $T = \infty$, and (27) holds for all $t \ge 0$. Finally, pick ϵ_2 such that $\gamma(\epsilon_2)/\eta_2 \le \sigma$ and let $\epsilon^* = \min\{\epsilon_1, \epsilon_2, \bar{\epsilon}\}$, then (27) and (28) hold for all $t \ge 0$. \Box

Inspired by Remark 1 and using a similar argument as the proof of Theorem 3, a counterpart of (27) can be obtained as

$$|x(t) - e|_{\infty} \le \exp(-\eta_1 t) |x(0) - e|_{\infty} + b(t)\epsilon ||G||_{\infty,*} ||w_t||_* + \sigma, \text{ for all } t \ge 0.$$

where $b(t) := \frac{(1-\exp(-\eta_1 t))(1-\exp(-\eta_2 t))}{\eta_1\eta_2}$. Note that this offers a more accurate bound on $|x(t) - e|_{\infty}$ compared to (27) especially for a short time period.

Remark 5. The above proof shows that the only hard requirement on ϵ is $\epsilon \leq \overline{\epsilon}$, where $\overline{\epsilon}$ is specified in Proposition 2. This requirement ensures that the y-system is contractive, that is, it is related to a stability condition. This equivalently provides a lower bound for the control gains k_i since $\epsilon := \frac{1}{k_{min}}$. Apart from this, a smaller ϵ leads to smaller inter-vehicular spacing errors. That is, the control performance can be improved but at the cost of larger control effort.

Similar to the formulation of \mathcal{L}_{∞} string stability (see Def. 1 in Section 5), we seek to derive a relation between $\max_i\{||x_i(t) - e_i||\}$ and $||w||_{\infty}$ in the next result. It directly follows from Theorem 3 and the definition of $|\cdot|_*$ in (19), and it shows that the effect of $||w||_{\infty}$ on $\max_i\{||x_i(t) - e_i||\}$ is scaled by $O(\sqrt{\lceil \frac{n}{r} \rceil})$ for a fixed n. That is, the effect of disturbance propagation can be reduced by increasing the communication range r.

Corollary 1. Consider the (x, y)-system (15)-(16) where the mappings d_i satisfy Conditions (9)-(10), and the parameters \bar{k}_i satisfy Condition (20) for some $\bar{\epsilon} > 0$. For any essentially bounded w(t) and any bounded set $\Omega \subset \mathbb{R}^{2n}$, there exists $\epsilon^* > 0$, such that for any $\epsilon \in (0, \epsilon^*]$ and any initial condition in Ω , we have

$$||x_i - e_i|| \le |x(0) - e|_{\infty} + \epsilon c \sqrt{\left\lceil \frac{n}{r} \right\rceil} ||w||_{\infty} + \sigma,$$

$$i = 1, \dots, n, \qquad (33)$$

for some $c, \sigma > 0$.

Proof. By Theorem 3, a small enough ϵ ensures that

$$||x_i - e_i|| \le |x(0) - e|_{\infty} + \frac{\epsilon ||G||_{\infty,*} ||w||_*}{\eta_1 \eta_2} + \sigma,$$

for all i = 1, ..., n. That is, the effect of the disturbance w(t) on every x_i is related to the norm $|\cdot|_*$ defined in (19). Let $q_i > 0, i = 1, ..., m$, be the *i*th diagonal entry of D, and define $\bar{q} := \max_i \{q_i\}$. Then for $a \in \mathbb{R}^n$ with the decomposition as in Proposition 2,

$$|a|_* = \left(\sum_{i=1}^m \left(q_i |a^i|_\infty\right)^2\right)^{\frac{1}{2}} \le \sqrt{\left\lceil \frac{n}{r} \right\rceil} \bar{q} |a|_\infty.$$

This implies that $||w||_* \leq \sqrt{\left\lceil \frac{n}{r} \right\rceil} \bar{q} ||w||_{\infty}$. Therefore, Eq. (33) holds with $c := \frac{\bar{q} ||G||_{\infty,*}}{\eta_1 \eta_2}$. \Box

As shown in Example 1 and Corollary 1, the parameter c in (33) also depends on n and r implicitly. The exact relation between c and n (or r) is not the focus of our current work. However, for a fixed n, we can always find a maximal value of c such that (33) holds for all $r \in \{1, \ldots, n\}$.

Note from (33) that a \mathcal{L}_{∞} string stability-like result is achieved if r = n. Inspired by this, the next section proposes a control design ensuring \mathcal{L}_{∞} string stability of the platooning system (7). Specifically, it is related to an analogue of Theorem 3 but with $\sigma = 0$.

5 A \mathcal{L}_{∞} string stability result

To show that the proposed framework has the potential to analyze other platooning protocols, this section demonstrates a \mathcal{L}_{∞} string stability result by using the following control law

$$\frac{1}{m_i}u_i = -k_i(v_i - d_i - v_0) + \frac{\partial d_i}{\partial x_i}(v_{i-1} - v_i) + \frac{\partial d_i}{\partial x_{i+1}}(v_i - v_{i+1}), \ i = 1, \dots, n.$$
(34)

Comparing (34) to (12) with r = n, the term $\sum_{j=1}^{n-1} d_{i-j}$ (i.e., $\sum_{j=1}^{i-1} d_j$) is dropped here. As a result, each local controller only depends on the information of the immediate predecessor and follower and the velocity of the leading vehicle $v_0(t)$. Compared to the control design given by Monteil et al. [2019], the relative position w.r.t. the leading vehicle, i.e., $p_0(t) - p_i(t)$, $i = 1, \ldots, n$, are not required.

The subsequent analysis show that the control law (34) ensures \mathcal{L}_{∞} string stability of the platoon, which is formally defined below.

Definition 1. Consider the platooning system (7) and define the lumped error state

$$\xi := \left[(x - e)^T \ v_1 - v_0 \ \cdots \ v_n - v_0 \right]^T.$$
(35)

The system (7) is called \mathcal{L}_{∞} string stable if there exist class \mathcal{K} functions α_i , i = 1, 2, such that for any initial condition $\xi(0) \in \mathbb{R}^{2n}$, and any essentially bounded $\dot{v}_0(t)$ and $\theta(t)$,

$$||x_i - e_i|| \le \alpha_1(|\xi(0)|_{\infty}) + \alpha_2(||w||_{\infty}), \qquad (36)$$

for all $i \in \{1, \ldots, n\}$, and all $n \in \mathbb{N}_+$.

Remark 6. If $\theta_i(t) \equiv 0$ for all *i*, then Definition 1 recovers the \mathcal{L}_{∞} string stability given in [Ploeg et al., 2013, Def. 1]. Note that Condition (36) is independent of the length of the platoon.

Similar to the derivation of (15)-(16), the closed-loop system of (7) with the control laws (34) is obtained as

$$\dot{x} = f_1(x) + Gz, \tag{37}$$

$$\frac{\mathrm{d}z}{\mathrm{d}\tau} = -\operatorname{diag}(\bar{k}_i)z + \epsilon w, \qquad (38)$$

where

$$f_1(x) := \begin{bmatrix} -d_1(x_1, x_2) \\ d_1(x_1, x_2) - d_2(x_2, x_3) \\ \vdots \\ d_{n-1}(x_{n-1}, x_n) - d_n(x_n) \end{bmatrix}$$

Theorem 4. Consider the platooning system (7) with the distributed controllers in (34), i.e., the system (37)-(38). Pick mappings d_i such that Condition (10) holds, and there exists $\eta > 0$ such that

$$\frac{\partial d_i}{\partial x_i} > 0, \ \frac{\partial d_i}{\partial x_{i+1}} < 0, \ i = 1, \dots, n$$

$$\frac{\partial d_1}{\partial x_1} + \frac{\partial d_1}{\partial x_2} \ge \eta,$$

$$\frac{\partial d_i}{\partial x_i} + \frac{\partial d_i}{\partial x_{i+1}} \le \frac{\partial d_{i+1}}{\partial x_{i+1}} + \frac{\partial d_{i+1}}{\partial x_{i+2}} - \eta, \ i = 1, \dots, n-1,$$
(39)

for all $x \in \mathbb{R}^n$ and all $i \in \{1, ..., n\}$, then the platooning system (7) is \mathcal{L}_{∞} string stable.

Proof. Define $J_1(x) := \frac{\partial}{\partial x} f_1(x)$. Condition (39) ensures that $\mu_{\infty}(J_1(x)) \leq -\eta$ for all $x \in \mathbb{R}^n$. Additionally, the Jacobian of the z-system (38) is $-\operatorname{diag}(\bar{k}_i)$, and $\mu_{\infty}(-\operatorname{diag}(\bar{k}_i)) = -1$.

Note that the z-system (38) is independent of x. That is, the (x, z)-system (37)-(38) is a series connection of two contractive systems. Recall that such a system is also contractive (see e.g., Ofir et al. [2022b]). Specifically, this implies that x(t) and z(t) are uniformly bounded if $w(t) \in \mathcal{L}_{\infty}$. Using Proposition 1 leads to

$$|x(t) - e|_{\infty} \le \exp(-\eta_1 t)|x(0)|_{\infty} + \frac{||G||_{\infty}}{\eta}||z_t||_{\infty},$$

$$|z(t)|_{\infty} \le \exp\left(-\frac{t}{\epsilon}\right)|z(0)|_{\infty} + \epsilon||w_t||_{\infty}, \text{ for all } t \ge 0.$$

This implies that $||z||_{\infty} \leq |z(0)|_{\infty} + \epsilon ||w||_{\infty}$. Hence,

$$||x - e||_{\infty} \le |x(0) - e|_{\infty} + \frac{||G||_{\infty}}{\eta} (|z(0)|_{\infty} + \epsilon ||w||_{\infty}).$$
(40)

Note that $||x_i - e_i|| \leq ||x - e||_{\infty}$ for all *i*. Recall that $z_i := v_i - d_i - v_0$, and $d_i(e) = 0$. By Condition (10), the term $|x(0) - e|_{\infty} + \frac{||G||_{\infty}|z(0)|_{\infty}}{\eta}$ can be viewed as a weighted vector norm for $\xi(0)$. That is, there exists a constant c' such that

$$|x(0) - e|_{\infty} + \frac{||G||_{\infty}|z(0)|_{\infty}}{\eta} \le c'|\xi(0)|_{\infty}.$$
 (41)

Combining (40) and (41) proves that Condition (36) in Definition 1 holds and this completes the proof. \Box

Remark 7. Note that Condition (39) is less easier to satisfy than Condition (9). This is due to that the former is related to a heterogeneous control design, that is, letting each vehicle have different control settings. For example, let d_i 's be the linear form in (11). Condition (39) translates to: $\ell_1^p > \ell_1^f$, $\ell_i^p - \ell_i^f < \ell_{i+1}^p - \ell_{i+1}^f$, and it is satisfied if we fix ℓ_i^p [ℓ_i^f] as the same constant for all i, and ℓ_i^f $[\ell_i^p]$ as a decreasing [increasing] sequence w.r.t. i. Intuitively speaking, this means that the vehicles at the tail [head] part should have a stronger "connection" with the predecessor [follower]. Note that this may be unpractical for large vehicular platoons since the control parameters can become extremely large/small. A similar design is reported by Khatir and Davidson [2004] who considered a unidirectional platooning protocol and showed that string stability is ensured if the control gains of local PID controllers increase w.r.t. i.

6 Numerical validation

The results in Section 4 are illustrated via simulations for a platooning system consisting of 11 vehicles using the control protocol (12) with

$$d_i(x_i, x_{i+1}) = \ell_i g_i(x_i, x_{i+1}) + b_i(x_i - e_i), \ i = 1, \dots, 10,$$

where
$$g_i(x_i, x_{i+1}) = \tanh(\ell_i^p(x_i - e_i) - \ell_i^f(x_{i+1} - e_{i+1}))$$

We consider three cases with communication range r = 1, 3, and 10. We use the same settings of control parameters for the three cases for a comparison study. The control gains $\ell_i, \ell_i^p, \ell_i^f$, and b_i are selected such that Conditions (9)-(10) are satisfied. Specifically, we pick $\ell_i = 0.5, \ell_i^p = 0.18, \ell_i^f = 0.18, b_i = 0.1$, for all $i = 1, \ldots, 10$. The control gains k_i 's in (12) are chosen based on Proposition 2, and we set $k_1 = \cdots = k_{10} = 5$.

The simulation results with r = 1, 3, and 10 are illustrated in Fig. 1, where we set $e_1 = \cdots = e_{10} = 10$ m. To show a convergence result, we pick the disturbances $\omega_i(t)$ as vanishing signals. The time-varying velocity of the leading vehicle is given as

$$v_0(t) = \begin{cases} 15, & t \in [0,5), \\ 5+2t, & t \in [5,15), \\ 35, & t \in [15,25), \\ 85-2t, & t \in [25,35), \\ 15, & t \in [35,45), \\ 82.5-1.5t, & t \in [45,55), \\ 0, & t \in [55,65), \\ -97.5+1.5t, & t \in [65,75), \\ 15, & t \in [75,\infty). \end{cases}$$

The external disturbances are

 $\frac{\theta_i}{m_i} = c_i \exp(-0.02t) \sin(t) (\text{or } \cos(t)), \ i = 1, \dots, 10,$



Fig. 1. Position deviations, i.e., $x_i(t) - e_i$, i = 1, ..., 10, of the platooning systems with communication range r = 1, 3, 10. (Blue line: $x_1(t) - e_1$, red line: $x_{10}(t) - e_{10}$.)

where $c_i \in [-3, 3]$. Fig. 1 shows that the bounds for $\max_i\{||x_i - e_i||\}$ are reduced by increasing the communication range r. It is also observed that the effect of \dot{v}_0 attenuates faster along the string with a larger r.

7 Conclusions

This paper presents a contraction theory framework for analyzing disturbance propagation in nonlinear vehicular platoons with different communication ranges. The disturbances under concern include both the external disturbances acting on each vehicle and the acceleration of the leading vehicle. For a proposed unified nonlinear control protocol considering variable communication range r in a vehicular platoon with fixed length n + 1, we show that the effect of disturbances on the maximal overshoot of spacing errors is scaled by $O(\sqrt{\lfloor \frac{n}{r} \rfloor})$. Considering that the platoon length can change during merging/splitting real world scenarios, our result may suggest that communicating the information of the platoon length is useful in practical applications, since this allows updating the communication range adaptively to satisfy certain constraints on spacing errors. We also propose a heterogeneous control law which only relies on communications between consecutive vehicles and broadcasting the velocity of the leading vehicle such that \mathcal{L}_{∞} string stability is achieved.

References

- Z. Aminzare and E. D. Sontag. Contraction methods for nonlinear systems: A brief introduction and some open problems. In *Proc. 53rd IEEE Conf. on Decision* and Control, pages 3835–3847, 2014.
- P. Barooah and J. P. Hespanha. Error amplification and disturbance propagation in vehicle strings with decen-

tralized linear control. In Proc. 44th IEEE Conf. on Decision and Control, pages 4964–4969. IEEE, 2005.

- B. Besselink and K. H. Johansson. String stability and a delay-based spacing policy for vehicle platoons subject to disturbances. *IEEE Trans. Automat. Control*, 62 (9):4376–4391, 2017.
- P. D. Christofides and A. R. Teel. Singular perturbations and input-to-state stability. *IEEE Trans. Automat. Control*, 41(11):1645–1650, 1996.
- C. Desoer and H. Haneda. The measure of a matrix as a tool to analyze computer algorithms for circuit analysis. *IEEE Trans. Circuit Theory*, 19(5):480–486, 1972.
- A. Farnam and A. Sarlette. Toward a comprehensive impossibility result for string stability. *IEEE Trans. Automat. Control*, 65(4):1652–1659, 2019.
- S. Feng, Y. Zhang, S. E. Li, Z. Cao, H. X. Liu, and L. Li. String stability for vehicular platoon control: Definitions and analysis methods. *Annu Rev Control*, 47:81–97, 2019.
- H. Hao, H. Yin, and Z. Kan. On the robustness of large 1-D network of double integrator agents. In Proc. 2012 American Control Conf., pages 6059–6064, 2012.
- I. Herman, D. Martinec, Z. Hurák, and M. Sebek. Scaling in bidirectional platoons with dynamic controllers and proportional asymmetry. *IEEE Trans. Automat. Control*, 62(4):2034–2040, 2016.
- I. Herman, S. Knorn, and A. Ahlén. Disturbance scaling in bidirectional vehicle platoons with different asymmetry in position and velocity coupling. *Automatica*, 82:13–20, 2017.
- S. Jafarpour, A. Davydov, and F. Bullo. Non-euclidean contraction theory for monotone and positive systems. *IEEE Transactions on Automatic Control*, 2022. doi: 10.1109/TAC.2022.3224094.
- H. K. Khalil. *Nonlinear Systems*. Prentice hall, Upper Saddle River, NJ, third edition, 2002.
- M. Khatir and E. Davidson. Bounded stability and eventual string stability of a large platoon of vehicles using non-identical controllers. In *Proc. 43rd IEEE Conf.* on Decision and Control, pages 1111–1116, 2004.
- S. Knorn and R. H. Middleton. String stability analysis of a vehicle platoon with communication range 2 using the two-dimensional induced operator norm. In *Proc.* 12th European Control Conf. (ECC'13), pages 3354– 3359, 2013.
- S. E. Li, X. Qin, Y. Zheng, J. Wang, K. Li, and H. Zhang. Distributed platoon control under topologies with complex eigenvalues: Stability analysis and controller synthesis. *IEEE Trans. Control Syst. Technol*, 27(1): 206–220, 2019.
- M. Mesbahi and M. Egerstedt. Graph theoretic methods in multiagent networks. In *Graph Theoretic Methods* in *Multiagent Networks*. Princeton University Press, 2010.
- J. Monteil, G. Russo, and R. Shorten. On \mathcal{L}_{∞} string stability of nonlinear bidirectional asymmetric heterogeneous platoon systems. *Automatica*, 105:198–205, 2019.

- R. Ofir, F. Bullo, and M. Margaliot. Minimum effort decentralized control design for contracting network systems. *IEEE Control Systems Letters*, 6:2731–2736, 2022a.
- R. Ofir, M. Margaliot, Y. Levron, and J.-J. Slotine. A sufficient condition for k-contraction of the series connection of two systems. *IEEE Trans. Automat. Control*, 67(9):4994–5001, 2022b.
- A. A. Peters, R. H. Middleton, and O. Mason. Leader tracking in homogeneous vehicle platoons with broadcast delays. *Automatica*, 50(1):64–74, 2014.
- A. A. Peters, R. H. Middleton, and O. Mason. Cyclic interconnection for formation control of 1-d vehicle strings. *European J. Control*, 27:36–44, 2016.
- J. Ploeg, N. Van De Wouw, and H. Nijmeijer. \mathcal{L}_p string stability of cascaded systems: Application to vehicle platooning. *IEEE Trans. Control Syst. Technol*, 22 (2):786–793, 2013.
- G. Russo, M. di Bernardo, and E. D. Sontag. Global entrainment of transcriptional systems to periodic inputs. *PLOS Computational Biology*, 6:e1000739, 2010.
- G. Russo, M. di Bernardo, and E. D. Sontag. A contraction approach to the hierarchical analysis and design of networked systems. *IEEE Trans. Automat. Control*, 58(5):1328–1331, 2013.
- P. Seiler, A. Pant, and K. Hedrick. Disturbance propagation in vehicle strings. *IEEE Trans. Automat. Control*, 49(10):1835–1842, 2004.
- G. F. Silva, A. Donaire, A. McFadyen, and J. J. Ford. String stable integral control design for vehicle platoons with disturbances. *Automatica*, 127:109542, 2021.
- E. D. Sontag. Smooth stabilization implies coprime factorization. *IEEE Trans. Automat. Control*, 34(4):435– 443, 1989.
- S. S. Stankovic, M. J. Stanojevic, and D. D. Siljak. Decentralized overlapping control of a platoon of vehicles. *IEEE Trans. Control Syst. Technol*, 8(5):816– 832, 2000.
- T. Ström. On logarithmic norms. SIAM J. Numerical Analysis, 12(5):741–753, 1975.
- S. Stüdli, M. M. Seron, and R. H. Middleton. Vehicular platoons in cyclic interconnections. *Automatica*, 94: 283–293, 2018.
- D. Swaroop and J. K. Hedrick. String stability of interconnected systems. *IEEE Trans. Automat. Control*, 41(3):349–357, 1996.
- P. Varaiya. Smart cars on smart roads: problems of control. *IEEE Trans. Automat. Control*, 38(2):195– 207, 1993.
- S. K. Yadlapalli, S. Darbha, and K. R. Rajagopal. Information flow and its relation to the stability of the motion of vehicles in a rigid formation. In *Proc. 2005 American Control Conf.*, pages 1853–1858, 2005.
- S. K. Yadlapalli, S. Darbha, and K. R. Rajagopal. Information flow and its relation to stability of the motion of vehicles in a rigid formation. *IEEE Trans. Automat. Control*, 51(8):1315–1319, 2006.
- W.-C. Yueh. Eigenvalues of several tridiagonal matrices.

Applied Mathematics E-Notes, 5:66–74, 2005.
Y. Zheng, S. E. Li, K. Li, and L.-Y. Wang. Stability margin improvement of vehicular platoon considering undirected topology and asymmetric control. *IEEE Trans. Control Syst. Technol*, 24(4):1253–1265, 2015.