

# LECTURE 3 Deformation theory and coherent completeness

- 3.1 Cotangent complexes
- 3.2 Deformation theory
- 3.3 Formal neighborhoods (pt of Step 1)
- 3.4 Complete and henselian pairs (Thm HP, Thm CP)
- 3.5 Applications of Thm HP : ~~Thm's~~ hnd. lemma and univ. of gms
- 3.6 Examples of complete stacks
- 3.7 Formal functions (Thm FF)
- 3.8 Coherent completeness w/ res. prop (pt of Thm CP)

### 3.1 Deformation theory: Cotangent complex

#### Cotangent complex

$$\mathcal{X} \xrightarrow{f} \mathcal{Y} \rightsquigarrow \mathbb{L}_{\mathcal{X}/\mathcal{Y}} \in \mathcal{D}_{qc}(\mathcal{X})$$

#### Properties

- $f$  repr. (or rel DM)  $\Rightarrow \mathbb{L}_{\mathcal{X}/\mathcal{Y}} \in \mathcal{D}^{(-\infty, 0]}$
- $f$  rel  $n$ -stack  $\Rightarrow \mathbb{L}_{\mathcal{X}/\mathcal{Y}} \in \mathcal{D}^{(-\infty, n]}$  (we only care about  $n=1$ )
- $f$  smooth  $\Rightarrow \mathbb{L}_{\mathcal{X}/\mathcal{Y}}$  perfect of Tor-amp  $[0, 1]$   $\leftarrow$  1-stack
- $f$  smooth gerbe  $\Rightarrow$   $\text{---} \hookrightarrow \text{---} [1, 1]$
- $f$  étale  $\Rightarrow \mathbb{L}_{\mathcal{X}/\mathcal{Y}} = 0$
- $f$  syntomic  $\Rightarrow$   $\text{---} \hookrightarrow \text{---} [-1, 1]$

Fund exact  $\Delta$

$$\mathcal{X} \xrightarrow{f} \mathcal{Y} \xrightarrow{g} \mathcal{Z} \Rightarrow f^* \mathbb{L}_{\mathcal{Y}/\mathcal{Z}} \rightarrow \mathbb{L}_{\mathcal{X}/\mathcal{Z}} \rightarrow \mathbb{L}_{\mathcal{X}/\mathcal{Y}} \xrightarrow{+1}$$

3.1  
 $\text{Exc}(b) G \text{ smooth}/h. \quad \mathbb{L}_{BG/h} = \mathcal{G}^{\vee}[-1]$

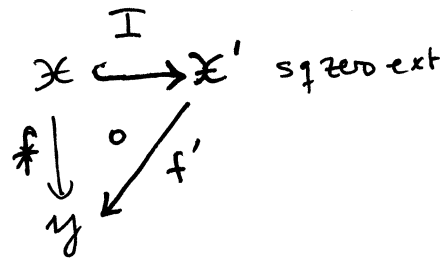
$\text{Exc}(b) \mathcal{X} = [U/G]$   
 $p^* \mathbb{L}_{\mathcal{X}/h} = \left[ \begin{array}{c} -\Omega_{U/h} \rightarrow \mathcal{G}^{\vee} \\ 0 \qquad \qquad \qquad 1 \end{array} \right]$

$U \text{ smooth}/h, G \text{ smooth}/h$

$p: U \rightarrow \mathcal{X}$

### 3.2 Deformation theory (of stacks)

Problem 1  
[olsob, Thm 1.1]

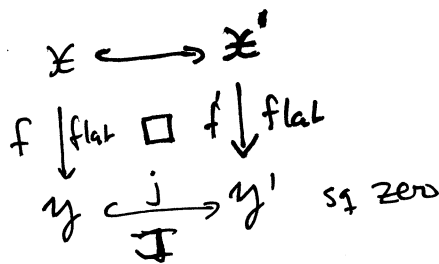


(f representable)  
but not nec

$$\text{Ext}'_y(\mathcal{X}, I) = \text{Ext}'(L_{\mathcal{X}/y}, I)$$

" "  
Set of iso-classes  
of extensions

Problem 2  
[olsob, Thm 1.4]



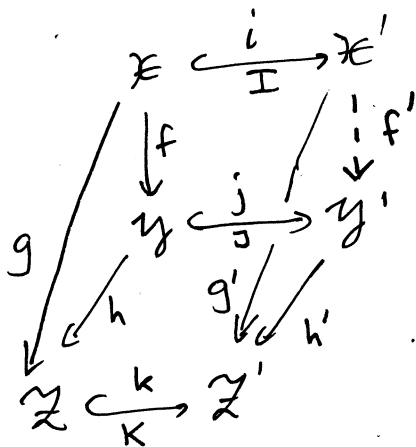
(f representable)

(i)  $\exists o(f, j) \in \text{Ext}^2(L_{\mathcal{X}/y}, f'^* J)$   
obstruction to  $\exists \mathcal{X}'$ .

(ii) if  $o(f, j) = 0$ , then  
set of iso-classes of  $\mathcal{X}'$  is a  
torsor under  
 $\text{Ext}'(L_{\mathcal{X}/y}, f'^* J)$

(iii)  $\text{Aut}(\mathcal{X}'/\mathcal{X}, y') = \text{Ext}^0(L_{\mathcal{X}/y}, f'^* J)$

Problem 3  
[olsob, Thm 1.5]



$\epsilon$  specified  $g^* K \rightarrow f^* j \xrightarrow{\epsilon} I$

(We'll either have  
(a)  $z = z', y = y', k = j = 0, \epsilon = 0$   
(b) cartesian squares,  $\epsilon$  iso.

(i)  $\exists o(f, i, j, \epsilon) \in \text{Ext}'(f^* L_{y/z}, I)$

(ii) if  $o = 0$ , set of lifts  $f'$  up to iso is torsor under  $\text{Ext}^0(f^* L_{y/z}, I)$

(iii)  $\text{Aut}(f'/f) = \text{Ext}'(f^* L_{y/z}, I)$

### 3.3 Proof of Step 1: formal neighborhoods

Setup: [AHR1]  $\begin{array}{ccc} \omega_0 & \xrightarrow{f_0} & \mathcal{X}_0 \\ \parallel & & \parallel \\ \text{BH} & & \text{BQ}_x \end{array}$  étale/smooth

[AHR2]  $\begin{array}{ccc} \omega_0 & \xrightarrow{f_0} & \mathcal{X}_0 \\ \parallel & & \parallel \\ \mathcal{H} & & \mathcal{G}_x \end{array}$  — " —  
 lin fund gerbe

[AHR3]  $\begin{array}{ccc} \omega_0 & \xrightarrow{f_0} & \mathcal{X}_0 \\ \text{lin. fund} & & \downarrow \text{closed substack} \\ & & \mathcal{X} \end{array}$  étale/smooth/(syntomic)

Def:  $\mathcal{X}^{[n]} = n^{\text{th}}$  inh nbhd of  $\mathcal{X}_0$ .  $\mathcal{X}_0 = V(\mathcal{I}) \hookrightarrow \mathcal{X}$   
 $\mathcal{X}^{[n]} = V(\mathcal{I}^{n+1}) \hookrightarrow \mathcal{X}$

Lemma:  $\exists$  alg stacks  $\omega_i$  and étal/sm/synt over  $\mathcal{X}^{[i]}$   
 $\begin{array}{ccccccc} \omega_0 & \hookrightarrow & \omega_1 & \hookrightarrow & \omega_2 & \hookrightarrow & \dots \\ f_0 \downarrow & \square & f_1 \downarrow & \square & f_2 \downarrow & \square & \\ \mathcal{X}_0^{[0]} & \hookrightarrow & \mathcal{X}_1^{[1]} & \hookrightarrow & \mathcal{X}_2^{[2]} & \hookrightarrow & \dots \end{array}$

pf:  $\mathcal{X}_0^{[i]} \hookrightarrow \mathcal{X}^{[i+1]}$  s zero extension by  $\mathcal{I}^{i+1}/\mathcal{I}^{i+2} =: \mathcal{J}^{i+1}$   $f_i^*$   
 If we have  $f_i$  then  $o(f_{i+1}) \in \text{Ext}_{\omega_i}^2(\mathcal{L}_{\omega_i/\mathcal{X}^{[i]}} \otimes \mathcal{J}^{i+1}, \mathcal{J}^{i+1})$   $f_i^*$   
 (Deformation problem 2)  $= \text{Ext}_{\omega_0}^2(\mathcal{L}_{\omega_0/\mathcal{X}^{[0]}} \otimes \mathcal{I}^{i+1}/\mathcal{I}^{i+2}, \mathcal{I}^{i+1}/\mathcal{I}^{i+2})$   $f_i^*$   
 $= H^2(\omega_0, \mathcal{L}_{\omega_0/\mathcal{X}^{[0]}} \otimes \mathcal{I}^{i+1}/\mathcal{I}^{i+2}) = 0$

b/c  $\omega_0$  coh. affine and  $\mathcal{L}_{\omega_0/\mathcal{X}^{[0]}}$  perfect of Tor-amplitude  $\emptyset$  if  $f_0$  étale  
 $[-1, 0]$  if  $f_0$  smooth  
 $[-1, 1]$  if  $f_0$  syntomic

Remark:  $\omega_i$  lin. fund b/c

(a)  $\omega_i$  coh dim zero  $\Rightarrow \omega_{i+1}$  coh dim zero (long exact seq.)

(b)  $\omega_i$  coh dim zero + quot stack  $\Rightarrow \omega_{i+1}$  quot stack  
 pf 1:  $\begin{array}{ccc} \omega_i & \hookrightarrow & \omega_{i+1} \\ \downarrow & & \downarrow \\ \text{BGL}_n & & \text{BGL}_n \end{array}$  (Def problem 3)  $o \in \text{Ext}^1(\text{BGL}_n, \mathcal{J}) = 0$   
 pf 2:  $\omega_i \hookrightarrow \omega_{i+1}$   $o \in \text{Ext}^2(\mathcal{E}_i, \mathcal{E}_i \otimes \mathcal{J})$   
 $\mathcal{E}_i$  v.l.  $\exists \mathcal{E}_{i+1}$  v.l.  $= 0$

### 3.4 Complete and henselian pairs

[AHR2, §3]

Def:  $(\mathcal{X}, \mathcal{X}_0)$  pair of alg. stacks,  $\mathcal{X}_0 \hookrightarrow \mathcal{X}$  closed immersion, is

- local if every closed non-empty subset of  $|\mathcal{X}|$  intersects  $|\mathcal{X}_0|$
- henselian if  $\forall \mathcal{X}' \rightarrow \mathcal{X}$  finite:

$$(\text{lopen}(\mathcal{X}') \rightarrow \text{lopen}(\mathcal{X}' \times_{\mathcal{X}} \mathcal{X}_0)) \text{ bijective}$$

- (coherently) complete if  $\mathcal{X}$  noetherian and

$$\text{Coh}(\mathcal{X}) \rightarrow \varinjlim_{n \geq 0} \text{Coh}(\mathcal{X}_n) \text{ equiv of categories}$$

where  $\mathcal{X}_n$   $n^{\text{th}}$  int. nbhd of  $\mathcal{X}_0$ .

Exc <sup>2.4</sup> (easy) complete  $\Rightarrow$  henselian  $\Rightarrow$  local.

Exc <sup>2.5</sup> (not deep)  $p: \mathcal{X}' \rightarrow \mathcal{X}$  proper.  $\mathcal{X}_0 := p^{-1}(\mathcal{X}_0)$

$(\mathcal{X}, \mathcal{X}_0)$  henselian  $\Rightarrow (\mathcal{X}', \mathcal{X}'_0)$  henselian

Thm (Grothendieck existence) "formal GAGA"

$(\mathcal{X}, \mathcal{X}_0)$  affine complete  $\Rightarrow (\mathcal{X}', \mathcal{X}'_0)$  complete

Thm (easy) If  $\mathcal{X}_0 \xrightarrow{\text{closed immersion}} \mathcal{X}$   
 $\downarrow \text{gms} \circ \pi \quad \downarrow \text{gms}$   
 $\mathcal{X}_0 \hookrightarrow \mathcal{X}$

$(X_0 = \pi(\mathcal{X}_0))$  then

$(\mathcal{X}, \mathcal{X}_0)$  henselian  $\Leftrightarrow (X, X_0)$  henselian

pf: Since  $\pi$  univ closed w/ conn fibers:

$$\begin{array}{ccc} \text{Clopen}(X) & \xrightarrow{\cong} & \text{Clopen}(\mathcal{X}) \\ \downarrow & & \downarrow \\ \text{Clopen}(X_0) & \xrightarrow{\cong} & \text{Clopen}(\mathcal{X}_0) \end{array}$$

If  $X' \rightarrow X$  finite, let  $\mathcal{X}'_0 \hookrightarrow \mathcal{X}'$  be b.c. If  $\mathcal{X}' \rightarrow \mathcal{X}$  finite,

$$\begin{array}{ccc} \text{gms} \downarrow & \circ & \downarrow \text{gms} \\ \mathcal{X}'_0 \hookrightarrow \mathcal{X}' & & \mathcal{X}_0 \hookrightarrow \mathcal{X} \end{array}$$

hens.

let  $X' \rightarrow X$  gms  $\Rightarrow$

$$\begin{array}{ccc} \text{hens} & & \\ \mathcal{X}'_0 \hookrightarrow \mathcal{X}' & & \\ \downarrow \text{gms} & & \downarrow \text{gms} \\ X'_0 \hookrightarrow X' & & \square \end{array}$$

Thm (diff)  $\mathcal{X}_0 \hookrightarrow \mathcal{X}$   
 $\text{gms} \downarrow \circ \downarrow \text{gms}$   
 $X_0 \hookrightarrow X$

Assume  $X$  affine.

$(\mathcal{X}, \mathcal{X}_0)$  complete  $\Rightarrow (X, X_0)$  complete

If  $\mathcal{X}$  lin. fund  
 e.g.  $\mathcal{X} = [\text{Spec } A/c]$   
 $c$  lin. red.

$(X, X_0)$  complete  $\Rightarrow$  "diff"  $(\mathcal{X}, \mathcal{X}_0)$  complete

[AHR2, 3.7, 5.1, 1.6]

[AHR1, 1.3]

(or more gen:  $\Delta_{\mathcal{X}}$  aff  
 $\mathcal{X}_0$  lin fund)

### 3.5 Applications of henselian theorem HP

Thm LF (Luna's fundamental lemma)  $\mathcal{X} \xrightarrow{f} \mathcal{Y}$  s.th.

[AHR2, Thm 3.13]

$$\begin{array}{ccc} \mathcal{X} & \xrightarrow{f} & \mathcal{Y} \\ \text{gms} \downarrow \pi_x & \circ & \downarrow \text{gms} \\ X & \xrightarrow{g} & Y \end{array}$$

- (1)  $f$  étale and repr in nbhd of  $x$
- (2)  $x$  closed,  $f(x)$  closed.
- (3)  $G_x \xrightarrow{\cong} G_{f(x)}$  iso

Then  $\exists U \subset X$  open s.th.

$$\begin{array}{ccc} x \in \pi_x^{-1}(u) \subset \mathcal{X} & \longrightarrow & \mathcal{Y} \\ \downarrow & \square & \downarrow \\ U \subset X & \longrightarrow & Y \end{array}$$

étale

Idea of pf (not diff) WLOG  $Y$  <sup>local strictly</sup> henselian

$\Rightarrow (Y, G_Y)$  henselian,  $G_x \cong G_y$ . Then use:

Prop:  $(\mathcal{X}, \mathcal{X}_0)$  henselian  $\Leftrightarrow \forall \mathcal{X}' \rightarrow \mathcal{X}$  repr étale  $\Gamma(\mathcal{X}'/\mathcal{X}) \xrightarrow{\cong} \Gamma(\mathcal{X}_0/\mathcal{X}_0)$

to obtain a <sup>s</sup> section of  $f$ . If  $f$  sep, then  $\text{im}(s) \subset \mathcal{X}$  closed and  $U = \pi_x^{-1}(\text{im}(s))$   
In general, one extra step.

Thm (universality of good moduli spaces)

[AHR2, Thm 3.11]

$$\begin{array}{ccc} \mathcal{X} & \longrightarrow & Y \text{ alg space} \\ \pi \downarrow \text{gms} & \dashrightarrow & \\ X & \dashrightarrow & Y \end{array}$$

pf: WLOG  $X$  affine,  $Y \neq \emptyset$ . Pick  $p: \text{Spec } A = Y' \xrightarrow{\text{ét}} Y$ .  
Pull-back to  $\mathcal{X}' \xrightarrow{\text{ét}} \mathcal{X}$ . Then étale-locally on  $X$  obtain

$$\begin{array}{ccc} \mathcal{X}' & \longrightarrow & Y' \\ \uparrow \downarrow \circ \downarrow & & \\ \mathcal{X} & \longrightarrow & Y \end{array}$$

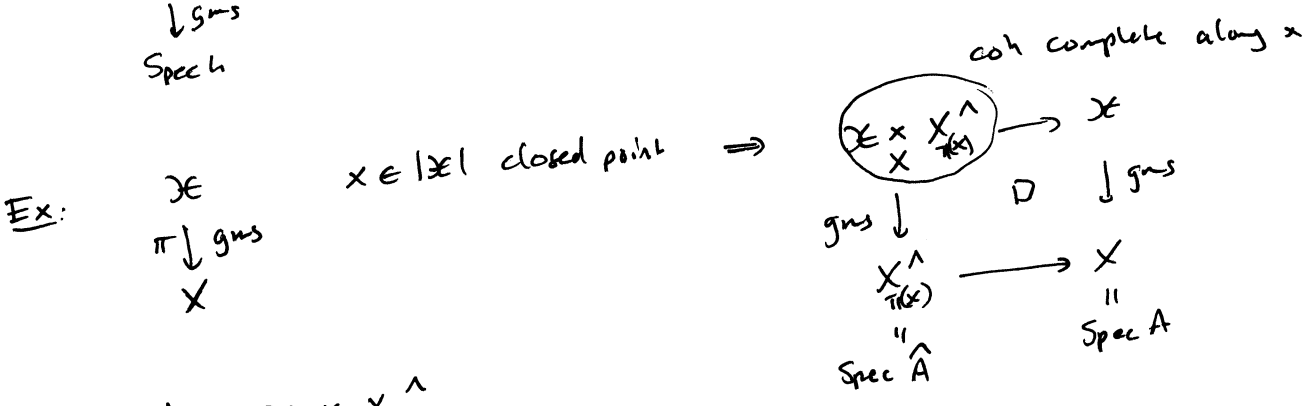
Since  $Y'$  affine  $\Rightarrow \mathcal{X} \rightarrow \text{Spec } \Gamma(\mathcal{X}, \mathcal{O}_{\mathcal{X}}) \xrightarrow{\exists!} Y' \rightarrow Y$ .

X

### 3.6 Examples of coh. complete stacks (assuming Thm CP)

Ex:  $[A'/G_m] \Rightarrow [A'/G_m]$  coh. complete along  $\circ$   
 $\downarrow gms$   
 $*$

Ex:  $\mathcal{X} \Rightarrow \mathcal{X}$  coh complete along unique closed point.  
 $\downarrow gms$   
 $Spec h$



$$\mathcal{X}_x^\wedge := \mathcal{X} \times_x \mathcal{X}_{\pi(x)}^\wedge$$

"completion at  $x$ "

$$Coh(\mathcal{X}_x^\wedge) = \varprojlim_{n \geq 0} Coh(\mathcal{X}_x^{[n]})$$

### 3.7 Formal functions

Thm FF:  $(X, X_0)$  noetherian (coh affine) w/ gms  $(\text{Spec } A, \text{Spec } A/I)$

[AHR1, Thm 1.3]

[AHR2, Cor 4.2]

complete pair (i.e.  $A$   $I$ -adic complete:  $A = \varprojlim A/I^n$ ). Then

$$\Gamma(X, F) \xrightarrow{\cong} \varprojlim_n \Gamma(X, F/I^n F)$$

$\forall F \in \text{Coh}(X)$

pf: Since  $\pi: X \rightarrow \text{Spec } A$  exact:  $\text{RHS} = \varprojlim_n \Gamma(X, F)/\Gamma(X, I^n F)$

$F_n := \Gamma(X, I^n F)$ ,  $I_n := \Gamma(X, I^n)$  are coherent. (GMS (s))

$I^\bullet = \bigoplus_{n \geq 0} I^n$  f.g.  $\mathcal{O}_X$ -alg,  $I^\bullet F = \bigoplus_{n \geq 0} I^n F$  f.g.  $I^\bullet$ -module

$$\begin{array}{ccc} \text{Spec}_X I^\bullet & \xrightarrow{\text{f.t.}} & X \\ \downarrow \text{gms} & \text{f.t.} \downarrow \pi & \\ \text{Spec } \bigoplus I_n & \longrightarrow & \text{Spec } A \end{array} \quad \begin{array}{l} \text{(GMS (f))} \\ + (h) \end{array}$$

$\Rightarrow \bigoplus I_n$  finite type  $A$ -alg (GMS (i))

and  $\bigoplus F_n$  f.g.  $\bigoplus I_n$ -mod (GMS (s))

$\bigoplus I_n$  not gen'd in deg 1, but  $\exists N: I_{Nk} = (I_N)^k \forall k$ .  
(sub div) e.s. len of gen deg's

$\Rightarrow$  wlog  $I_n = I^n$ .

$\bigoplus F_n$  not gen'd in deg 1, but  $\exists N \gg 0: F_{n+1} = I F_n \forall n \geq N$ .  
e.s. max of gen deg's

ie  $(F_n)$   $I$ -stable filtration of  $F_0$

Atiyah-Macdonald  $\Rightarrow$

$$\varprojlim_{n \geq 0} F_0/I^n F_0 = \varprojlim_{n \geq 0} F_0/I^n F_0 = F_0$$

$\uparrow$   
 $\because F_0$  coherent  $A$ -module and  $A$   $I$ -adic complete.

□



### 3.8 Coherent completeness w/ resolution property

Thm CP  $(\mathcal{X}, \mathcal{X}_0)$  lin fund w/ gms  $(X, X_0)$  complete.

[AHR1, 1.3]

[AHR2, 5.1]

Then  $(\mathcal{X}, \mathcal{X}_0)$  complete, i.e.

"  
Spec A

$$\text{Coh}(\mathcal{X}) \xrightarrow{\sim} \varprojlim_{n \geq 0} \text{Coh}(\mathcal{X}^{[n]})$$

pf: Fully faithfulness: given  $F, G \in \text{Coh}(\mathcal{X})$ ,  $\mathcal{Q} = \text{Hom}(F, G)$  amounts to

$$\Gamma(\mathcal{X}, \mathcal{Q}) \xrightarrow{\cong} \varprojlim_n \Gamma(\mathcal{X}, \mathcal{Q}/\mathcal{I}^n \mathcal{Q})$$

so follows from Thm FF.

Ess surj: Let  $\{F_n\} \in \varprojlim \text{Coh}(\mathcal{X}^{[n]})$ . Since  $\mathcal{X}$  has res prop

$\exists \mathcal{E} \xrightarrow{\phi_0} F_0$ . Can lift to  $\mathcal{E} \xrightarrow{\phi_n} F_n \forall n$  b/c  
v.b.

$$\begin{array}{ccccccc} \rightarrow & \mathcal{E}^\vee \otimes F_n & \rightarrow & \mathcal{E}^\vee \otimes F_{n-1} & \rightarrow & \dots & \rightarrow \mathcal{E}^\vee \otimes F_0 \\ \Gamma \text{ exact} \Rightarrow & \Gamma(\mathcal{X}, \mathcal{E}^\vee \otimes F_n) & \rightarrow & \Gamma(\mathcal{X}, \mathcal{E}^\vee \otimes F_{n-1}) & \rightarrow & \dots & \rightarrow \Gamma(\mathcal{X}, \mathcal{E}^\vee \otimes F_0) \\ & \parallel & & \parallel & & & \parallel \\ & \text{Hom}(\mathcal{E}, F_n) & \rightarrow & \text{Hom}(\mathcal{E}, F_{n-1}) & \rightarrow & \dots & \rightarrow \text{Hom}(\mathcal{E}, F_0) \end{array}$$

$(\mathcal{X}, \mathcal{X}_0)$  local  $\xRightarrow{\text{Nakayama}} \mathcal{E} \xrightarrow{\phi_n} F_n$  surj.

Repeat arg on  $\ker \{\phi_n\}$  gives  $\mathcal{E}'$  and  $\mathcal{E}'_n \xrightarrow{\psi_n} \mathcal{E}_n$  s.t.  $F_n = \text{coker}(\psi_n)$

~~Fully faithfulness~~ Fully faithfulness gives  $\mathcal{E}' \xrightarrow{\psi} \mathcal{E}$  and  $F := \text{coker}(\psi)$   
maps to  $\{F_n\}$ .

□