

Algebraic Stacks #3

Feb 7, 2024

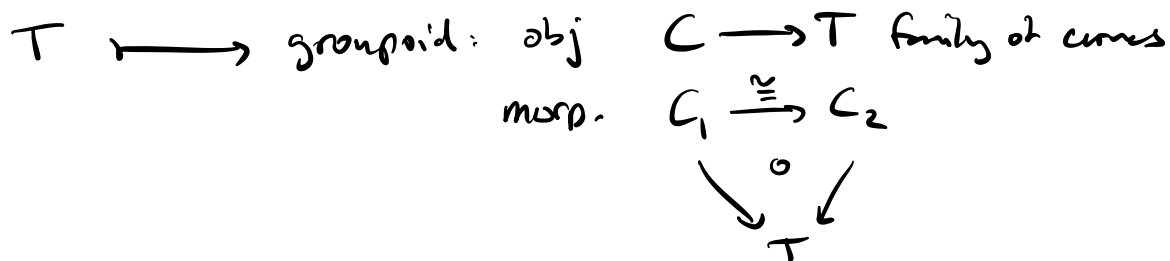
§ Fibered categories: motivation

Saw earlier that considering

$$M_g: \text{Sch}^{\text{op}} \rightarrow \text{Set}$$

did not work (iso-trivial families). Thus want

$$M_g: \text{Sch}^{\text{op}} \rightarrow \text{Grpd}$$



But somewhat awkward: given $T' \xrightarrow{g} T$, pullback

$$g^*: M_g(T) \rightarrow M_g(T')$$

only defined up to isomorphism: $C \times_{T'} T' \rightarrow C$
 so involves a choice

\rightsquigarrow if $T'' \xrightarrow{h} T' \xrightarrow{g} T$ composition, then functors $h^* g^*$
 and $(goh)^*$ are only naturally isomorphic.

$\rightsquigarrow M_g: \text{Sch}^{\text{op}} \rightarrow \text{Grpd}$ only pseudo-functor.

Classical solution: use fibered categories (Grothendieck 1959)

Thm: $F: \text{Sch}^{\text{op}} \rightarrow \text{Cat}$ pseudo functor

\Downarrow

$\int F: \mathcal{C} \xrightarrow{\Pi} \text{Sch}^{\text{op}}$ fibered category

\parallel equivalence of 2-categories
difficult to make precise. (\exists maps in both directions and isomorphisms obj-wise etc)

Construction of $\int F$ from F ("Grothendieck construction")

ob $\mathcal{C} = \{ (T, x) : T \in \text{Sch}, x \in F(T) \}$

mor $\mathcal{C} : \text{Mor}((T_1, x_1), (T_2, x_2)) = \{ (g, \varphi) : T_1 \xrightarrow{g} T_2, g^*(x_2) \xrightarrow{\varphi} x_1 \}$

$\mathcal{C} \xrightarrow{\Pi} \text{Sch}^{\text{op}}, \quad \begin{array}{l} (T, x) \mapsto T \\ (g, \varphi) \mapsto g \end{array}$

Ex: M_g . ob $\mathcal{C} = \{ C \xrightarrow{f} T \text{ proper flat family of smooth curves } \}$
of genus g

$\text{Mor}(f_1, f_2) = \left\{ g: T_1 \rightarrow T_2 : \begin{array}{c} C_1 \xrightarrow[\varphi]{\cong} g^* C_2 \\ \downarrow \quad \downarrow \\ T_1 \end{array} \right\}$

can $\left\{ \begin{array}{ccc} C_1 & \xrightarrow{\varphi} & C_2 \\ \downarrow & \square & \downarrow \\ T_1 & \xrightarrow{g} & T_2 \end{array} \right\}$ cartesian diagram

So can define \mathcal{C} directly without any choices of $g^* C_2$ etc.
But, need to ensure that $g^* C_2$ exists.

§ Fibered categories: definition

Def: A **fibered category** is a functor $\pi: \mathcal{C} \rightarrow \mathcal{D}$ such that $\forall (T' \xrightarrow{g} T) \in \mathcal{D} \quad \forall x \in \mathcal{C}(T)$
 $\exists (x' \xrightarrow{\varphi} x) \in \mathcal{C}$ above g that is cartesian \leftarrow see below
 \uparrow not necessarily unique!

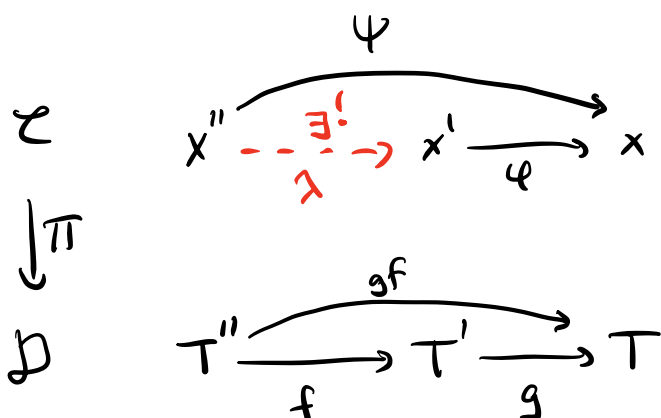
Notation: Fiber $\mathcal{C}(T) =$ category above T

obj: $x \in \mathcal{C}, \pi(x) = T$

morp: $\varphi: x_1 \rightarrow x_2$ s.t. $\pi(\varphi) = id_T$.

Rmk: $\mathcal{C} \rightarrow \mathcal{D}$ Grothendieck construction to $F: \mathcal{D}^{op} \rightarrow \text{Cat}$ gives $\mathcal{C}(T) = F(T)$.

Def: $x' \xrightarrow{\varphi} x \in \mathcal{C}$ is **cartesian** if

$$\begin{array}{ccc} & & \downarrow \pi \\ T' & \xrightarrow{g} & T \end{array} \in \mathcal{D}$$


$\forall f: T'' \rightarrow T'$
 $\forall \varphi: x'' \rightarrow x$ above gf
 $\exists! \lambda: x'' \rightarrow x'$ above f
s.t. $\varphi \circ \lambda = \varphi$

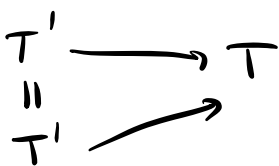
$x' \rightarrow x$ is analogue in fibered category of pull-back $g^*(x)$ in functor $\mathcal{D}^{op} \rightarrow \text{Cat}$.

x' is called a pull-back of x along g .

If $x'' \rightarrow x$, $x' \rightarrow x$ are pull-backs of x along $g: T' \rightarrow T$
 then $\exists! x'' \rightarrow x'$, $x' \rightarrow x''$ above $\text{id}_{T'}$ $\Rightarrow x' \cong x'' \in \mathcal{C}(T')$.

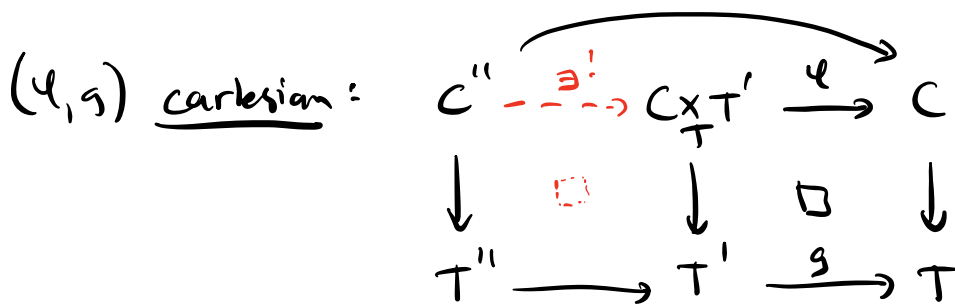
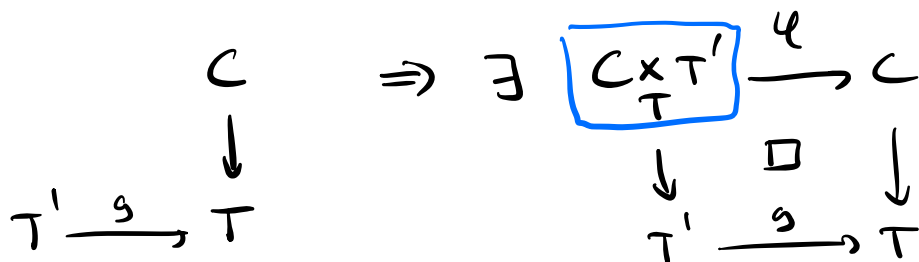


Slogan: pull-backs unique up to unique isomorphism.



not unique, only unique up to unique iso

Ex: M_g .



By def, big square $C'' \rightarrow C$ is cartesian.

Since right square is cartesian, $\exists! C'' \rightarrow C x_T T'$.
 This also makes left square cartesian.

Morphisms of fibered categories

Fibered categories \mathcal{D} is a 2-category:

$\text{MOR}(\mathcal{C}_1 \xrightarrow{\pi_1} \mathcal{D}, \mathcal{C}_2 \xrightarrow{\pi_2} \mathcal{D})$ is a 1-category

obj: $f: \mathcal{C}_1 \rightarrow \mathcal{C}_2$ functor, strictly commuting: $\pi_2 \circ f = \pi_1$
 $\pi_1 \searrow \swarrow \pi_2$ s.t. $F(\text{cartesian})$ is cartesian.
 \mathcal{D}

morp: $f_1 \xrightarrow{\tau} f_2$ **base preserving** natural tran of functors

$$\begin{array}{ccc}
 x_1 \xrightarrow{\varphi} x_2 & & f_1(x_1) \xrightarrow{f_1(\varphi)} f_1(x_2) \\
 \downarrow \pi_1 & & \tau(x_1) \downarrow \quad \downarrow \tau(x_2) \\
 T_1 \xrightarrow{g} T_2 & \xrightarrow{\pi_2} & f_2(x_1) \xrightarrow{f_2(\varphi)} f_2(x_2)
 \end{array}
 \quad
 \begin{array}{ccc}
 T_1 \xrightarrow{g} T_2 & & \\
 \text{id} \downarrow & & \downarrow \text{id} \\
 T_1 \xrightarrow{g} T_2 & &
 \end{array}$$

(i.e., $\forall x \in \mathcal{C}_1(T)$, $\tau(x): f_1(x) \rightarrow f_2(x)$ morphism in $\mathcal{C}_2(T)$)

Ex: $\mathcal{M}_{g,n} \xrightarrow{f} \mathcal{M}_g$

$\swarrow \quad \searrow$
 Sch

$\mathcal{M}_g \xrightarrow{\text{fully faithful}} \mathcal{M}_g$

$\swarrow \quad \searrow$
 Sch

$$\left\{ \begin{array}{c} C \\ \uparrow \scriptstyle s_1 \quad \downarrow \scriptstyle s_2 \\ T \end{array} \right\} \rightarrow \left\{ \begin{array}{c} C \\ \downarrow \\ T \end{array} \right\}$$

$$\left\{ \begin{array}{c} C \\ \downarrow \\ T \end{array} \text{ smooth} \right\} \rightarrow \left\{ \begin{array}{c} C \\ \downarrow \\ T \end{array} \text{ v. ss. nodal} \right\}$$

Def: $\mathcal{C}_1 \xrightarrow{f} \mathcal{C}_2$ equiv if $\exists \mathcal{C}_2 \xrightarrow{g} \mathcal{C}_1$ $g \circ f \cong \text{id}_{\mathcal{C}_1}$
 $\downarrow \circ \swarrow$ $\downarrow \circ \swarrow$ $f \circ g \cong \text{id}_{\mathcal{C}_2}$
 \mathcal{D} \mathcal{D} ↑
base-preserving

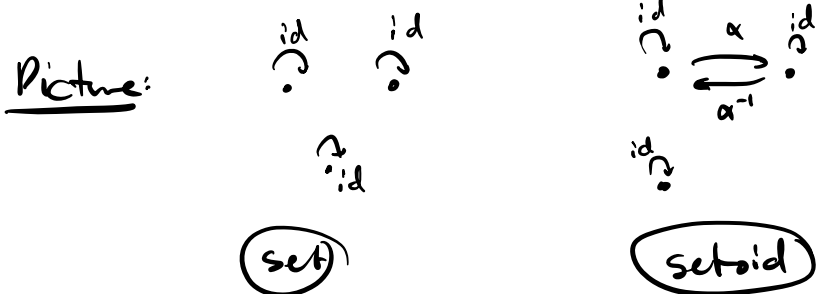
Lem 3.1.8
+ Prop 3.1.10 $\mathcal{C}_1 \xrightarrow{f} \mathcal{C}_2$ fully faithful $\Leftrightarrow f(T)$ f.h. $\forall T \in \mathcal{D}$.
 $\downarrow \circ \swarrow$ equiv \Leftrightarrow equiv
 \mathcal{D}

Analogue: $\mathcal{F} \rightarrow \mathcal{G}$ morphism of presheaves
inj/bij $\Leftrightarrow \mathcal{F}(u) \rightarrow \mathcal{G}(u)$ inj/bij $\forall u$.

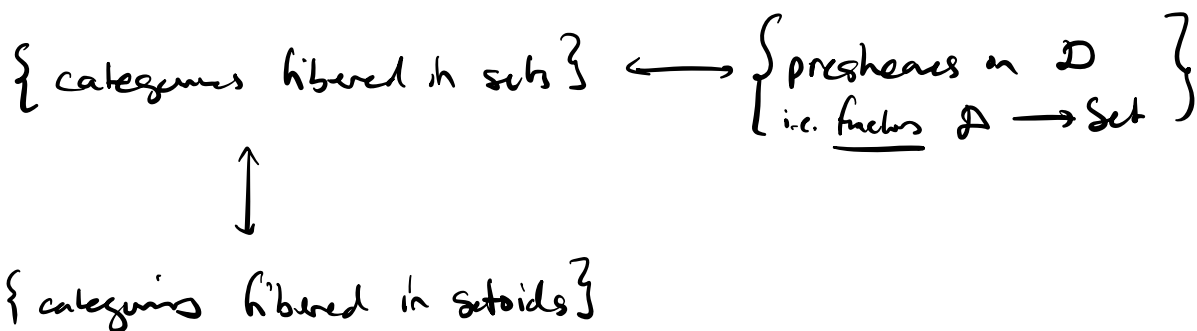
§ Categories fibered in sets

Def. $\mathcal{C} \xrightarrow{\Pi} \mathcal{D}$ **fibered in sets** if $\mathcal{C}(T)$ "is" a set $\forall T \in \mathcal{D}$
 i.e. a category w/ only identity morphisms.

$\mathcal{C} \xrightarrow{\Pi} \mathcal{D}$ **fibered in setoids** if $\mathcal{C}(T)$ is equiv. to a set $\forall T \in \mathcal{D}$
 i.e. a category where all morphisms invertible and only auto is id.



Prop 3.2.8: Equivalences:



pf: From setoids to sets: pick "skeleton" $\mathcal{C}' \subset \mathcal{C}$
 i.e. pick one $x \in \mathcal{C}'(T)$ for each equiv class. Then $\mathcal{C}' \rightarrow \mathcal{C}$ equiv.
 $\begin{matrix} \mathcal{C}' & \rightarrow & \mathcal{C} \\ \downarrow & & \downarrow \\ \mathcal{D} & & \mathcal{D} \end{matrix}$

From CFS to presheaves: for $x \in \mathcal{C}(T)$, $g: T' \rightarrow T$
 Unique cartesian map $x' \rightarrow x$ above g . Let $g^*(x) = x'$.
 This defines a functor $\mathcal{D}^{op} \rightarrow \text{Set}$. □

§ 2 - Yoneda lemma

Recall: $\mathcal{D} \rightarrow \text{Pshv}(\mathcal{D}, \text{Set})$ presheaf of sets.
 $T \mapsto h_T = \text{Mor}(-, T)$

$$\text{Pshv}(\mathcal{D}, \text{Set}) \cong \text{CFS}(\mathcal{D})$$

$$h_T \mapsto \mathcal{D}/T = \{ X \rightarrow T \}$$

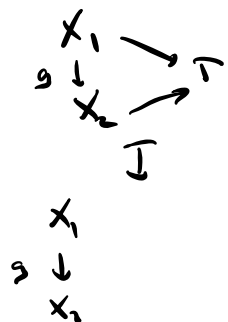
$$\downarrow \pi$$

$$\mathcal{D}$$

$$X \rightarrow T$$

$$\downarrow \pi$$

$$X$$



Prop 3.2.2 (2-Yoneda lemma)

$$\text{MOR}(\mathcal{D}/T, \mathcal{C}) \rightarrow \mathcal{C}(T)$$

$$f \mapsto f(\text{id}_T)$$

is an equivalence of categories.

Cor: $\mathcal{D} \rightarrow \text{CFS}$ is fully faithful

$$T \mapsto \mathcal{D}/T$$

$$\downarrow$$

$$\mathcal{D}$$

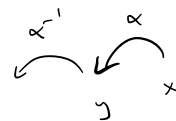
Ex: Apply Prop to $\mathcal{C} = \mathcal{D}/S \Rightarrow \text{Mor}(\mathcal{D}/T, \mathcal{D}/S) = \mathcal{D}/S(T) = \{T \rightarrow S\}$

§ Groupoids

Def 1: A **groupoid** is a category (small) s.t. all morphisms are invertible.

Def 2: A **groupoid** is

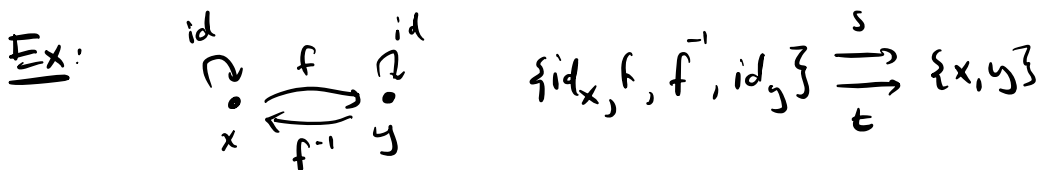
- a set of objects X ,
 - a set of relations/arrows R ,
 - maps $s: R \rightarrow X$ (source)
 - $t: R \rightarrow X$ (target)
 - $e: X \rightarrow R$ (units)
 - $\iota: R \rightarrow R$ (inverse)
 - $c: R \times R \rightarrow R$ (composition)
- s, X, t



such that all the natural axioms holds:

- $s \circ e = t \circ e = id_X$
- $s \circ \iota = t, t \circ \iota = s$
- $s \circ c = s \circ \pi_2, t \circ c = t \circ \pi_1$
- $c \circ (id_R \times e) = c \circ (e \times id_R) = id_R$ unit axiom
- $c \circ (\iota, id_R) = e \circ s, c \circ (id_R, \iota) = e \circ t$ inverse axiom
- ...

Pictured as $R \begin{matrix} \xrightarrow{s} \\ \xleftarrow{t} \end{matrix} X$ although full data is $R \times R \begin{matrix} \xrightarrow{c} \\ \xleftarrow{c} \end{matrix} R \begin{matrix} \xrightarrow{s} \\ \xleftarrow{t} \end{matrix} X$



def 1

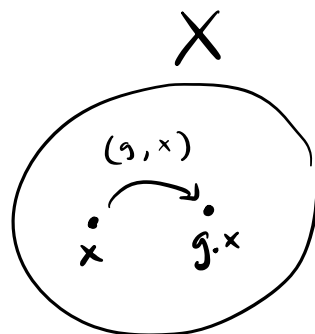
def 2

Ex (action groupoid) G group acting on set X (on the left).

$$\sigma : G \times X \longrightarrow X$$

$$g, x \longmapsto g \cdot x$$

Action groupoid $G \times X \underset{t=\sigma}{\overset{s=\pi_2}{\rightrightarrows}} X$. Picture



TFAE:

- (1) The action is free (i.e., $\text{stab}(x) = \{e\} \forall x \in X$)
- (2) The action groupoid $G \times X \rightrightarrows X$ is a setoid.
- (3) $(s, t) : G \times X \longrightarrow X \times X$ is injective.
 $(g, x) \longmapsto (x, g \cdot x)$

Then the groupoid is equivalent to the set X/G .

Ex (equivalence relation) \sim equivalence relation on X

$$R = \{(x, y) : x \sim y\} \subset X \times X$$

$R \underset{\pi_2}{\overset{\pi_1}{\rightrightarrows}} X$ is naturally a groupoid. This groupoid is equivalent to the set X/\sim .

Rmk: $(s, t) : R \longrightarrow X \times X$ is injective.

Ex: Given $X \xrightarrow{f} Y$ we get equivalence relation $x_1 \sim x_2$ if $f(x_1) = f(x_2)$. Equivalently described as $R = X \times_Y X \subset X \times X$.

Rmk: Let $\mathcal{Z} = (R \rightrightarrows X)$ groupoid. TFAE

(1) $\text{stab}(x) = s^{-1}(x) \cap t^{-1}(x) = \{id_x\} \quad \forall x \in X.$

(2) \mathcal{Z} is a setoid.

(3) $(s, t): R \rightarrow X \times X$ is injective

$$r \longmapsto (s(r), t(r))$$

(4) $R \rightrightarrows X$ arises from an equivalence relation on X .

§ Categories fibered in groupoids

Def: $\mathcal{C} \xrightarrow{\pi} \mathcal{D}$ **category fibered in groupoids (CFG)**
if fibered category s.t. $\mathcal{C}(X)$ groupoid $\forall X \in \mathcal{D}$.

Prop: \mathcal{C} CFG \Leftrightarrow all arrows are cartesian.

pf: Let $x \xrightarrow{\varphi} y \in \mathcal{C}$ above $\pi(x) \xrightarrow{g} \pi(y) \in \mathcal{D}$

Since fibered category, \exists cartesian arrow $x' \rightarrow y$ above g and

$$\begin{array}{ccc} \begin{array}{c} x' \\ \exists \downarrow \alpha \\ x \end{array} & \begin{array}{c} \xrightarrow{\text{cart}} \\ \xrightarrow{\varphi} \end{array} & y \end{array} \quad \text{above} \quad \begin{array}{ccc} \pi(x) & \xrightarrow{\quad} & \pi(y) \\ \text{id} \parallel & & \\ \pi(x) & \searrow & \end{array}$$

If \mathcal{C} CFG then α invertible $\Rightarrow \varphi$ also cartesian.

Conversely, if all arrows cartesian, let α arrow in $\mathcal{C}(X)$, i.e.

$$x' \xrightarrow{\alpha} x \in \mathcal{C} \quad \text{above} \quad \underbrace{\pi(x') = \pi(x)}_{= X} \in \mathcal{D}$$

Then α cartesian implies α invertible. □

§ Fiber products of groupoids

Groupoids constitute a 2-category (like Cat).
 (Actually a $(2,1)$ -category which simplifies things.)

Recall fiber product of sets:

$$\begin{array}{ccc} X \times_S Y & \xrightarrow{\pi_2} & Y \\ \pi_1 \downarrow & & \downarrow g \\ X & \xrightarrow{f} & S \end{array}$$

As set $X \times_S Y = \{ (x, y) \mid f(x) = g(y) \}$

Univ property: \forall

$$\begin{array}{ccc} T & \xrightarrow{v} & Y \\ u \searrow & \circ & \downarrow g \\ X & \xrightarrow{f} & S \end{array}$$

can uniquely be filled:

$$\begin{array}{ccc} T & \xrightarrow{v} & Y \\ u \searrow & \circ & \downarrow g \\ X \times_S Y & \xrightarrow{\quad} & Y \\ \downarrow & \circ & \downarrow g \\ X & \xrightarrow{f} & S \end{array}$$

! $\exists!$ α

Notation: $\alpha = (u, v)$

Equality of objects in groupoids bad:

Ex: $\mathcal{C} = \begin{array}{c} \text{id} \\ \circlearrowleft \\ \text{a} \\ \text{a} \end{array}$ $\mathcal{D} = \begin{array}{c} \circlearrowleft \\ \text{x} \\ \text{a} \end{array} \cong \begin{array}{c} \circlearrowright \\ \text{y} \\ \text{a} \end{array}$ equivalent groupoids.

$\mathcal{C} \xrightarrow{F} \mathcal{D}$ and $\mathcal{C} \xrightarrow{G} \mathcal{D}$ are naturally isomorphic $F \cong G$
 $\text{a} \longmapsto \text{x}$ $\text{a} \longmapsto \text{y}$

$\mathcal{C} \xrightarrow{\cong} \mathcal{C} \xrightarrow{F} \mathcal{D}$ Fiber product $\mathcal{C} \times_{\mathcal{D}} \mathcal{C}$ should be equivalent to $\mathcal{C} \times_{\mathcal{C}} \mathcal{C} \cong \mathcal{C}$ but $F(\text{a}) \neq G(\text{a})$.

Def: Given $\mathcal{C}_1 \xrightarrow{f} \mathcal{D}$ and $\mathcal{C}_2 \xrightarrow{g} \mathcal{D}$ morphism of groupoids (= functors of categories), the **2-fiber product** $\mathcal{C}_1 \times_{\mathcal{D}} \mathcal{C}_2$ is the groupoid with

objects: $\{(x, \varphi, y) : x \in \mathcal{C}_1, y \in \mathcal{C}_2, f(x) \xrightarrow{\varphi} g(y)\}$

arrows: $\text{Map}((x, \varphi, y), (x', \varphi', y'))$
 $= \{(\alpha, \beta) : \varphi' \circ f(\alpha) = g(\beta) \circ \varphi\}$

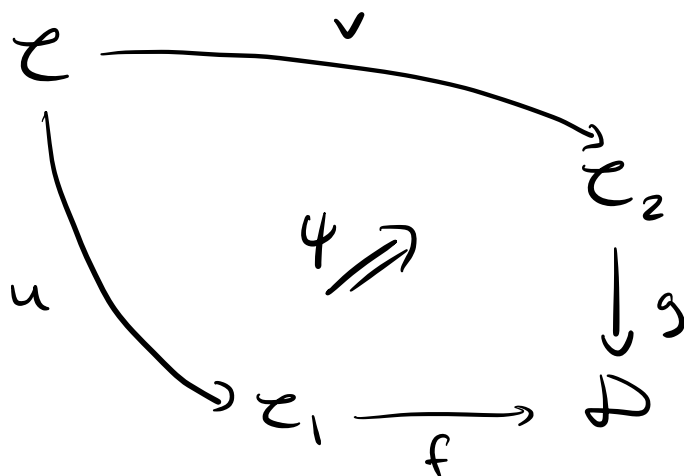
$$\begin{array}{ccc} x \xrightarrow{\alpha} x' & f(x) \xrightarrow{f(\alpha)} f(x') & \\ & \varphi \downarrow \quad \circ \quad \downarrow \varphi' & \\ y \xrightarrow{\beta} y' & g(y) \xrightarrow{g(\beta)} g(y') & \end{array}$$

↑ automatically invertible since arrow in groupoid

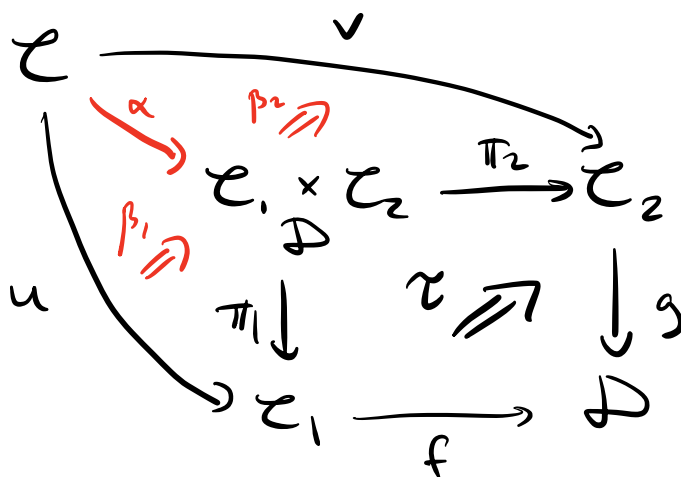
Gives $\mathcal{C}_1 \times_{\mathcal{D}} \mathcal{C}_2 \xrightarrow{\pi_2} \mathcal{C}_2$ where $\tau : f \circ \pi_1 \implies g \circ \pi_2$ is the natural isomorphism defined by $\tau(x, \varphi, y) = \varphi$.

$$\begin{array}{ccc} \mathcal{C}_1 \times_{\mathcal{D}} \mathcal{C}_2 & \xrightarrow{\pi_2} & \mathcal{C}_2 \\ \pi_1 \downarrow & \tau \nearrow & \downarrow g \\ \mathcal{C}_1 & \xrightarrow{f} & \mathcal{D} \end{array}$$

Universal property: given 2-commutative diagram:

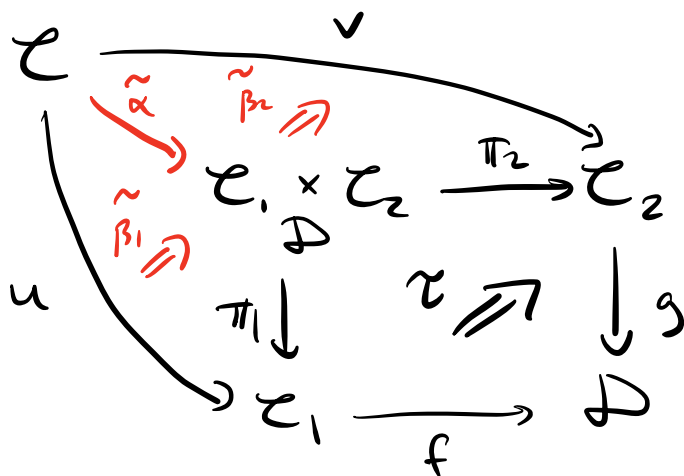


there exists



such that β_1, β_2, γ glues to ψ .

Moreover, the data $(\alpha, \beta_1, \beta_2)$ is unique up to **unique 2-isomorphism**, i.e., given



Then $\exists \gamma: \alpha \Rightarrow \tilde{\alpha}$ s.t. $\tilde{\beta}_2 \circ \gamma = \beta_2$, $\gamma \circ \beta_1 = \tilde{\beta}_1$

