

Visualization of Concurrent Program Executions

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Abstract

Various program analysis techniques are efficient at discovering failures and properties. However, it is often difficult to evaluate results, such as program traces. This calls for abstraction and visualization tools. We propose an approach based on UML sequence diagrams, addressing shortcomings of such diagrams for concurrency. The resulting visualization is expressive and provides all the necessary information at a glance.

1. Introduction

Certain program analysis techniques work directly on the executable program. For instance, *run-time verification* monitors executions of (possibly concurrent) programs [6, 8, 19]. *Software model checking* also analyzes executions of concurrent systems, producing an error trace when a failure is found [2, 3, 22]. Tool capabilities have advanced, but their outputs still consist of overly concise reports, or very long program traces. Hence, understanding the nature of failures and properties remains difficult. Program traces are a widely used way to show how a program behaves up to a given point, but may grow very large. *Abstractions* can simplify program traces; indeed, a typical trace shown to the end user contains mostly method calls and thus constitutes a useful abstraction. For sequential programs, a program trace or even a stack trace (a subset of the entire program trace) contains enough information for a concise and useful summary.

However, large or concurrent traces are hard to read. In a concurrent program, context switches interrupt

threads. A program trace shows only a thread ID prior to each step and thus does not indicate context switches visually. Furthermore, it is not clear whether a context switch is necessary to reproduce a failure, or whether it just happened to be part of the schedule executed that lead to a failure. In other words, the happens-before relation between events [13] is not shown, even if it may be available from data gathered at run-time [6].

Program trace visualization addresses the problem of understanding dynamic program behavior. Two approaches exist: *still visualization*, where *all* events are visualized in one view, and *animations*. Still visualization includes UML sequence diagrams [18] and plots of event sequences, such as in [17] or a large number of similar tools. Animations use either a two-dimensional view of each state [3, 4], or a three-dimensional animation [16]. In animations, the order in which events occur is intuitively visible; however, an animation also imposes a total order on concurrent events where only a partial order may exist.

There seems to be a relationship between still visualization and automated gathering of requirements [5, 7, 23], where a requirements specification of a program is extracted from one or more program runs. As an example, a state machine extracted from several runs can be regarded as a still visualization of the program's behavior as well as a specification of its behavior during those runs. Extraction of such specifications from runs can serve as oracles for later runs, for example for use in regression testing, or simply as a means of program understanding. Other forms of less visual specifications can be extracted, such as for example temporal logic specifications [23]. Such specifications also have natural visualizations, for example as time lines [20].

This paper is organized as follows: Section 2 describes our visualization. Design choices are explained in Section 3, implementation issues in Section 4. Section 5 concludes and outlines challenges ahead.

2. Our visualization approach

In still visualization, even complex event chains can be visualized “at a glance”. We chose an approach based on UML sequence diagrams [18] because UML diagrams are fairly widely accepted in industry and supported by tools. UML sequence diagrams capture sequences of method calls, but cannot deal with concurrency. We have therefore extended UML sequence diagrams in several ways to include the missing features required to visualize concurrent events.

2.1. Limitations of UML sequence diagrams

Sequence diagrams are designed to show sequences of method calls. This task is closely related to displaying a program trace. UML sequence diagrams have been studied extensively and defined precisely [11, 14]. Our work expands on existing sequence diagrams and gives them a meaning in concurrent scenarios.

Our initial approach is based on previous extensions of UML sequence diagrams for clarifying the current execution context [14]. Previous work [14] has not addressed concurrency. In particular, UML sequence diagrams cannot illustrate the following:

- A Thread as data structure *and* executable task.
- “Invisible” task switches induced by the thread scheduler.
- Activations and suspensions of threads. In most modern programming languages that follow a POSIX thread model [9, 15, 21], a thread is inactive when created. Once a special method (such as `start`) is called, it becomes active, but can be suspended, through actions that wait on events (such as termination of another thread, or notification of a change of a shared conditional).
- Time-based suspension. A thread can “sleep” for a certain time, allowing other threads to run. The same effect can be induced by the thread scheduler through a context switch. Its occurrence is therefore somewhat arbitrary, and cannot be used for reliable synchronization of events. We have therefore chosen not to visualize this artifact.

- The *happens-before relation* [13]. This relation indicates that certain events must happen strictly before another event occurs. For instance, any events leading to the creation and activation of another thread must happen before actions of the child thread take place. This is obvious as the child thread did not exist during such previous actions. However, when a large number of such events occurs, understanding of the happens-before relation is often non-trivial, and should therefore be included in a visualization.¹
- Locking. Many programming languages use locks for mutual exclusion [9, 15, 21]. The presence of locking actions may delay a thread until a certain lock is available. This is partially reflected by the happens-before relation. For conciseness, we have not added another mechanism to visualize locking and lock sets.

The happens-before relation states, informally, that based on observed events, certain reorderings of events are possible. Given events would still occur with an equivalent global program state after each event, and the overall outcome of the program would not be changed. More formally, if events are reordered within the happens-before relation, an observer that evaluates global program states always sees the same sequence of global program states, even though invisible internal actions can be ordered in different ways [13]. This resulting property is called *sequential consistency*.

2.2. Our UML extensions

Our visualization addresses the concerns described above. It is based on the Java programming language, but readily applicable to other programming languages using the same thread model [9, 15, 21]. Our visualization distinguishes between the two roles of a Java thread as an executable task and a data structure [9]. The thread data structure holds information such as thread name and ID, and can be extended with other data. A thread as a *task* constitutes a light-weight process that shares the global heap with other threads. This article refers the following methods of the Java API to denote crucial operations on threads and locks:

- method `start` causes a thread to begin execution;

¹Until recently, with common run-time verification algorithms, the knowledge of this relation was often incomplete. A recent algorithm computes this relation precisely without much overhead [6].

- `join` suspends the current thread until the target thread has terminated;
- `wait` suspends the current thread until another thread issues `notify` or `notifyAll`.²

Threads as a data structure are visualized like other object instances in UML sequence diagrams. Our first extension is the visualization of role of a thread as an executable task by a hexagon. A dashed arrow pointing to the left symbolizes the thread scheduler running a thread (task). As in UML sequence diagrams, solid arrows depict a method call or return, and solid squares show a method being executed.

Figure 1 includes these basic elements. It shows the illustration of *context switches* between threads. At the beginning of the scenario, the *main* thread is scheduled. This thread creates a new instance of *Port*. During the call to the constructor, the scheduler switches to another thread, *Worker*. The interruption of the *main* thread is shown by a gap in the time line of the call from *Server* to *Port*. Thread *Worker* executes for a certain amount of time without making any method call, after which the *main* thread is scheduled again, and the method call to *Port* completes.

Dotted lines show event dependencies according to the happens-before relation [13]. If there is a dotted line from a point *p* to a hexagon *t*, then any events following an activation of thread *t* could have started right after *p*. Figure 2 shows the happens-before relation based on a slightly more complex example, where a worker thread is *started* by the *main* thread. At the beginning of the program, the *main* thread is scheduled, as depicted by a hexagon. A dashed arrow points to the beginning of the sequence of actions of that thread, symbolizing scheduling of actions of this thread. Creation of thread *Worker* involves initialization of the data structure and is no different from initializing a normal object. The thread is started by a library call, which interfaces with the operating system. Any actions of thread *Worker* can occur at any time after this point, symbolized by the dotted line. In other words, actions of thread *Worker* could be moved up to the top of the horseshoe-shaped dotted line.

The start of a thread is shown by a corresponding action in the thread scheduler, using an dashed arrow pointing from a hexagon to the left. Likewise, thread *suspension* is depicted by such a dashed arrow pointing to the right, from the lower part of the black box

²This simplified definition holds if one thread is waiting on a shared lock. For the complete definition that covers multiple waiting threads, refer to the language specification [9].

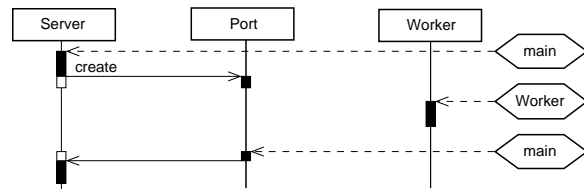


Figure 1. Thread switches.

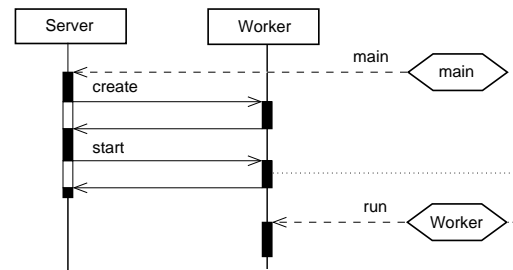


Figure 2. Thread creation and start.

denoting a method call, to the thread being suspended. In Figure 3, the *main* thread runs and calls `wait` on lock *Port*. The arrow originates from the end of the method call rather than its middle because the current thread still executes instructions up to its suspension.

Unlike thread suspension, thread *termination* is not shown. No further actions of that thread exist, so there is no compelling need to decorate thread termination. On the other hand, thread termination may influence the behavior of other threads waiting on that event, and thus contribute to the happens-before relation. Figure 4 shows an example involving `Thread.join`. As in subsequent figures, some initial thread activations have been omitted for brevity. Thread *main* starts a worker thread and waits upon its termination using `join`. This suspends *main* until *Worker* terminates. Any events in the *main* thread following that `join` call can only happen after *Thread Worker* has terminated, as illustrated by the dotted line.

Thread *notification* is similar to re-activation of a thread after suspension. In the previous example involving `join` and thread termination, one event leads to thread suspension (`join`), while another event (thread termination) allows the suspended thread to continue. The same pattern exists for `wait/notify`, the key difference being that continuation of the suspended thread is achieved by a special call (`notify`) rather than termination of another thread.

Figure 5 shows an example for `wait/notify`. As in Figure 4, suspension of the waiting thread is shown by

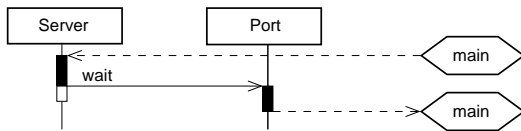


Figure 3. Thread suspension using wait.

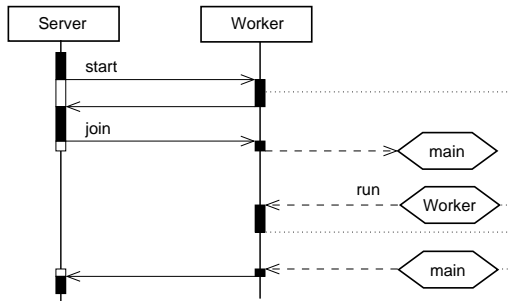


Figure 4. Thread suspension using join.

a dashed arrow pointing to the right. Here thread *main* waits on *Port*, which is used as a lock and semaphore according to standard Java semantics [9]. After suspension, thread *Worker* is scheduled, which notifies all threads waiting on *Port*. Notification leads to activation of one of the suspended threads (*main* in the example). Once notified, a thread is again ready to run, as shown by the happens-before relation. Activation takes place inside native method *notify*.

Notification can target a single thread, or *all* threads waiting on a lock, using *notifyAll* in Java. Whenever several threads wait for the same lock, notification will enable all of them to run. In this case, the happens-before relation concerns multiple threads. Furthermore, it is often the case that only a single thread will continue to execute, while all the other threads re-check a shared condition and then go back to being suspended by calling *wait* again.

Figure 6 depicts such a scenario. At the beginning of the situation shown, threads *Worker 1* and *Worker 2* are waiting on lock *Port*. Thread *main* calls *notifyAll* on that lock, whereupon *Worker 1* is scheduled first. That thread can complete an action on global data (e.g., consuming a shared resource, such as a connection from a client). After that, the scheduler runs *Worker 2*. In the example, the shared resource has been consumed by *Worker 1*, so *Worker 2* has to wait again until another thread makes the resource in question available again. Therefore, *Worker 2* subsequently waits again after re-checking its condition. This allows the scheduler to execute *Worker 1* again.

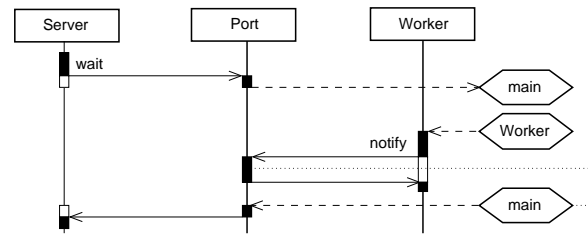


Figure 5. Thread notification.

3. Design decisions

Our extension of UML sequence diagrams maintains a close and concise mapping [10]. We address all commonly available concurrency artifacts [9, 15, 21], using four new symbols. First, we distinctly express the role of a thread as a task. Second, we make task activations and context switches visible. The hexagon as a task symbol is visually clear. Furthermore, it allows attachment of arrows denoting thread context switches, and lines representing the happens-before relation. Locks are not directly visualized, but can be shown by secondary notations, such as annotations.

Third, thread suspension is different from a normal context switch (where a thread can continue to run again later). We chose to represent this with a symbol that is the reverse of thread activation by a context switch. We believe that this is consistent.

Finally, the happens-before relation [13] explains possible event orderings. It is visualized by dotted lines. Events are not totally ordered [13]. Thus, more constraining visualizations, such as shaded regions, fail for more complex scenarios.

We chose to illustrate calls to *wait* and *notify* like any other method calls, by a solid black box. This does not only provide consistency, but also allows for a better illustration of the side effects of these methods.

The precise timing of thread activations cannot be determined, as it occurs inside library calls. Hence, the line visualizing the happens-before relation is placed in the middle of such method calls. Thread suspension via *join* is different, as the thread in question actually has to terminate before said call returns. Therefore, the line of the happens-before relation must be attached to the bottom of the box, representing completed method execution, which implies thread termination.

Method calls to *wait* do not affect the happens-before relation. This is because *wait* has no direct effect on other threads, so any events of other threads are not correlated to when the current thread is suspended.

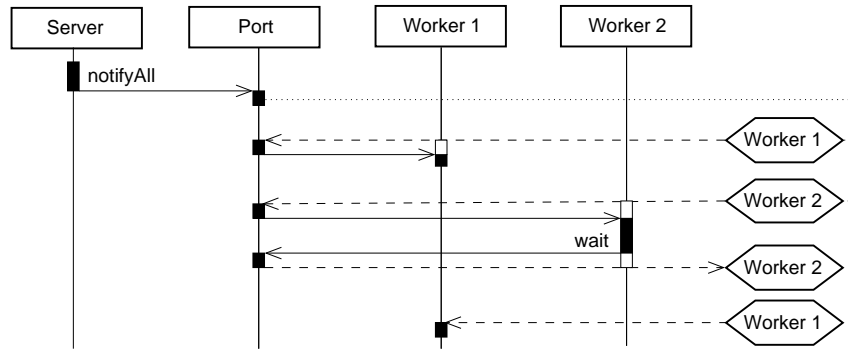


Figure 6. Thread notification.

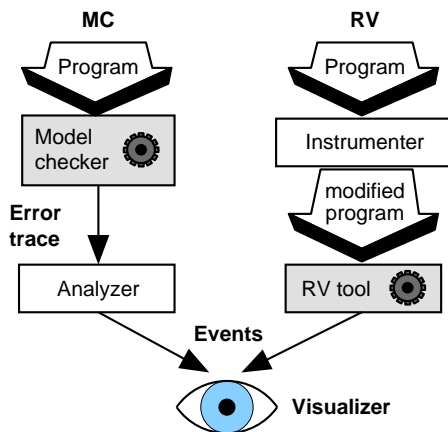


Figure 7. Event extraction / visualization.

We chose not to visualize locking and lock sets directly. Inclusion of lock sets may be done by annotations, but will decrease conciseness of the graph. Likewise, atomicity of actions, which depends on locking, is not shown. While correct lock usage corresponds to a “hard mental operation” [10], our visualization captures the key problems in concurrency on a slightly higher level of abstraction, improving scalability. Given proper abstraction, our visualization scales to large program traces, as shown in an initial case study. Due to space constraints, this case study is presented in an extended version of this paper [1].

4. Implementation architecture

Events can be contained in an error trace of a model checker, or be generated at run-time. Figure 7 shows how events are extracted in both cases. In model checking (MC), the resulting error trace is visualized.

In run-time verification (RV), event generation is embedded into the program being analyzed. This can be done with automated code instrumentation, e. g. using aspect-oriented programming [12]. The modified program will, in addition to its normal functionality, emit events to our visualizer. In RV, the visualizer can operate on-line, using live events, or off-line, after termination of the program.

Error traces from model checkers are only examined off-line. A parser can be built for a particular input format, either reading error traces from a model checker, or reading logged RV execution traces. The result of the parse can then be visualized with the same package, independently of the application domain.

5. Conclusions and future work

Understanding a concurrent program trace is difficult. Still visualization builds on trace abstraction and shows the essence of a trace. Concurrency extensions to UML sequence diagrams illustrate complex operations clearly. Visualization may serve to reverse engineer program behavior, or to analyze error traces, which may originate from a model checker or a run-time verification tool.

Future challenges include automated tool support, which will also allow us to explore the scalability of our visualization when used with different abstraction or exploration techniques. We will also consider visualization of timeouts and locks through means other than annotations.

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