

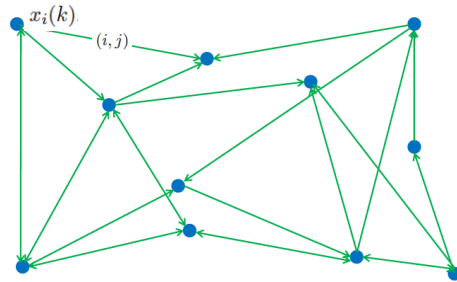
**Lecture 12:** Distributed and saturated event-based control

**Lecture 12 Outline**

- Distributed event-based control
- Anti-windup for event-based control
- Event-based PID control

## Distributed event-based control

- How to implement event-based control over a distributed system?
  - E.g., control of multi-robot systems
- Local control and communication, but global objective



**Approach:** Consider a prototype distributed control problem and study it under event-based communication and control

## Average consensus problem

### Multi-agent system model

- Group of  $N$  agents

$$\dot{x}_i(t) = u_i(t)$$

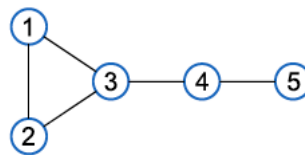
- Communication graph  $G$   
 $A$ : undirected, connected

**Adjacency matrix  $A$**  with  $a_{ij} = 1$  if agents  $i$  and  $j$  adjacent, otherwise  $a_{ij} = 0$

**Degree matrix  $D$**  is the diagonal matrix with elements equal to the cardinality of the neighbor sets  $N_i$

### Objective: Average consensus

$$x_i(t) \xrightarrow{t \rightarrow \infty} a = \frac{1}{N} \sum_{i=1}^N x_i(0)$$



### Consensus protocol

$$u_i(t) = - \sum_{j \in N_i} (x_i(t) - x_j(t))$$

### Closed-loop dynamics

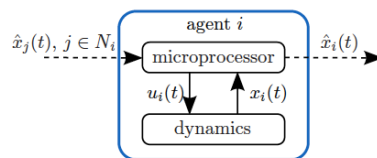
$$\dot{x}(t) = -Lx(t)$$

with Laplacian matrix  $L = D - A$

**Event-based implementation?**

## Event-based average consensus

Event-based scheduling of measurement broadcasts:



### Event-based broadcasting

$$\hat{x}_i(t) = x_i(t_k^i), t \in [t_k^i, t_{k+1}^i[$$

$$0 \leq t_0^i \leq t_1^i \leq t_2^i \leq \dots$$

- Consensus protocol

$$u_i(t) = - \sum_{j \in N_i} (\hat{x}_i(t) - \hat{x}_j(t))$$

- Measurement errors

$$e_i(t) = \hat{x}_i(t) - x_i(t)$$

- Closed-loop

$$\dot{x}(t) = -L\hat{x}(t) = -L(x(t) + e(t))$$

- Disagreement

$$\delta(t) = x(t) - a\mathbf{1}, \quad \mathbf{1}^T \delta(t) \equiv 0$$

## Trigger function for event-based control

Trigger mechanism: Define *trigger functions*  $f_i(\cdot)$  and trigger when

$$f_i \left( t, x_i(t), \hat{x}_i(t), \bigcup_{j \in N_i} \hat{x}_j(t) \right) > 0$$

Defines sequence of events:  $t_{k+1}^i = \inf\{t : t > t_k^i, f_i(t) > 0\}$

Find  $f_i$  such that

- $|x_i(t) - x_j(t)| \rightarrow 0, t \rightarrow \infty$
- no Zeno (no accumulation point in time)
- few inter-agent communications

## Event-based control with constant thresholds

$$\dot{x}(t) = u(t), \quad u(t) = -L\hat{x}(t) \quad (1)$$

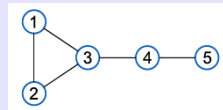
### Theorem (constant thresholds)

Consider system (1) with undirected connected graph  $G$ . Suppose that

$$f_i(e_i(t)) = |e_i(t)| - c_0,$$

with  $c_0 > 0$ . Then, for all  $x_0 \in \mathbb{R}^N$ , the system does not exhibit Zeno behavior and for  $t \rightarrow \infty$ ,

$$\|\delta(t)\| \leq \frac{\lambda_N(L)}{\lambda_2(L)} \sqrt{N} c_0.$$



Proof ideas:

- Analytical solution of disagreement dynamics yields

$$\|\delta(t)\| \leq e^{-\lambda_2(L)t} \|\delta(0)\| + \lambda_N(L) \int_0^t e^{-\lambda_2(L)(t-s)} \|e(s)\| ds$$

- Compute lower bound  $\tau$  on the inter-event intervals

## Event-based control with exponentially decreasing thresholds

$$\dot{x}(t) = u(t), \quad u(t) = -L\hat{x}(t) \quad (1)$$

### Theorem (exponentially decreasing thresholds)

Consider system (1) with undirected connected graph  $G$ . Suppose that

$$f_i(t, e_i(t)) = |e_i(t)| - c_1 e^{-\alpha t},$$

with  $c_1 > 0$  and  $0 < \alpha < \lambda_2(L)$ . Then, for all  $x_0 \in \mathbb{R}^N$ , the system does not exhibit Zeno behavior and as  $t \rightarrow \infty$ ,

$$\|\delta(t)\| \rightarrow 0.$$

#### Remarks

- Asymptotic convergence:  $|x_i(t) - x_j(t)| \rightarrow 0, t \rightarrow \infty$
- $\lambda_2(L)$  is the rate of convergence for continuous-time consensus, so threshold need to decrease slower

## Event-based control with exponentially decreasing thresholds and offset

$$\dot{x}(t) = u(t), \quad u(t) = -L\hat{x}(t) \quad (1)$$

### Theorem (exponentially decreasing thresholds with offset)

Consider system (1) with undirected connected graph  $G$ . Suppose that

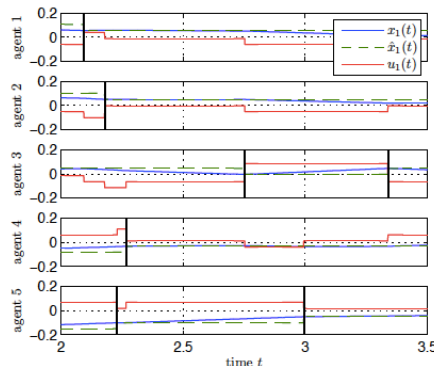
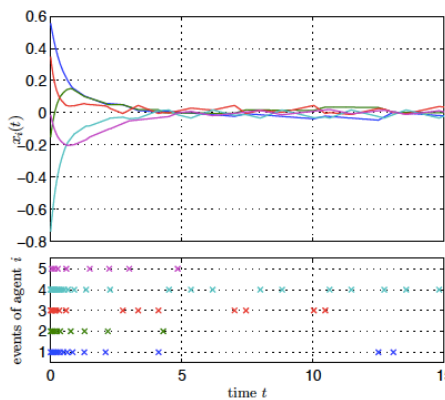
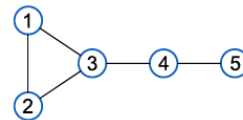
$$f_i(t, e_i(t)) = |e_i(t)| - (c_0 + c_1 e^{-\alpha t}),$$

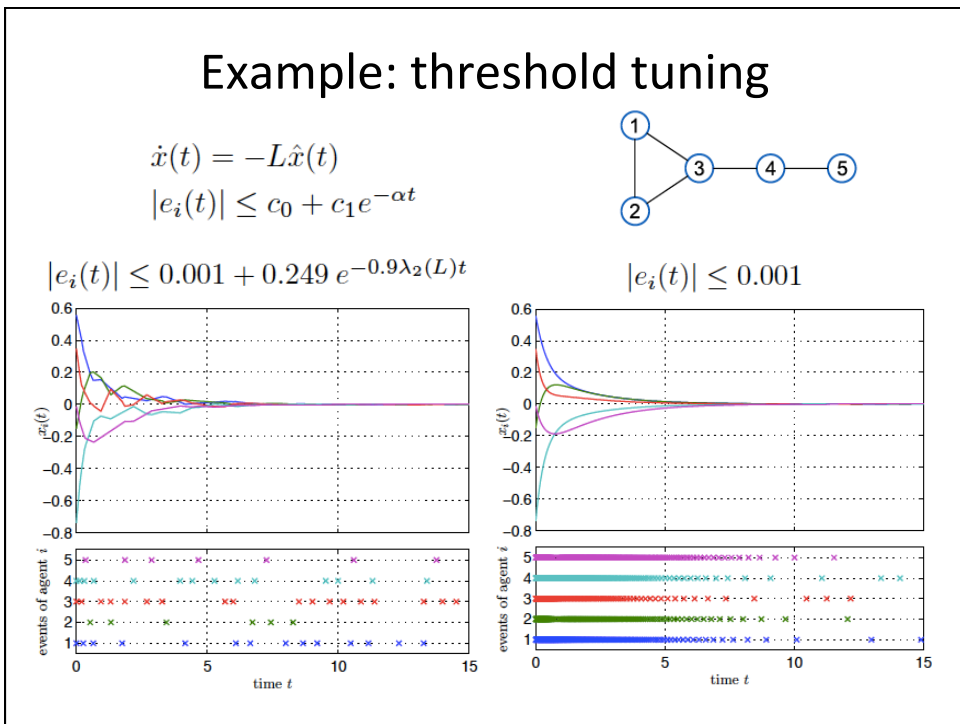
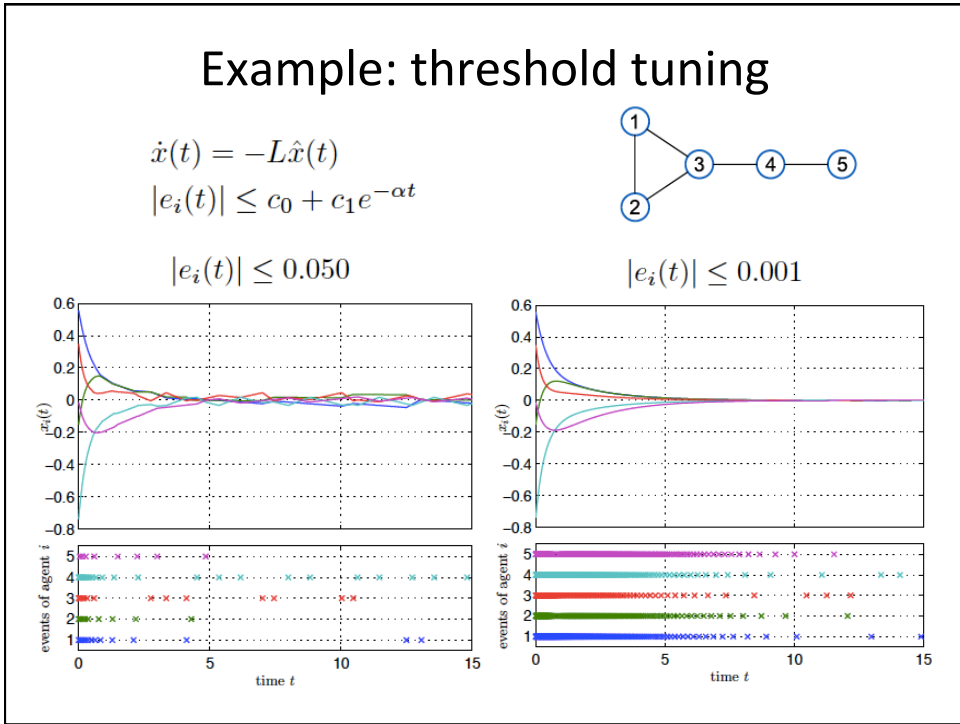
with  $c_0, c_1 \geq 0$ , at least one positive, and  $0 < \alpha < \lambda_2(L)$ . Then, for all  $x_0 \in \mathbb{R}^N$ , the system does not exhibit Zeno behavior and for  $t \rightarrow \infty$ ,

$$\|\delta(t)\| \leq \frac{\lambda_N(L)}{\lambda_2(L)} \sqrt{N} c_0.$$

## Example: threshold tuning

$$\begin{aligned} \dot{x}(t) &= -L\hat{x}(t) \\ |e_i(t)| &\leq c_0 + c_1 e^{-\alpha t} \\ |e_i(t)| &\leq 0.050 \end{aligned}$$

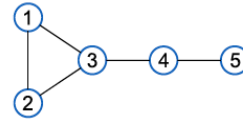




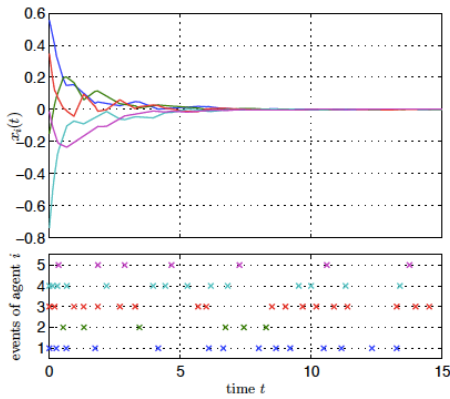
### Example: threshold tuning

$$\dot{x}(t) = -L\hat{x}(t)$$

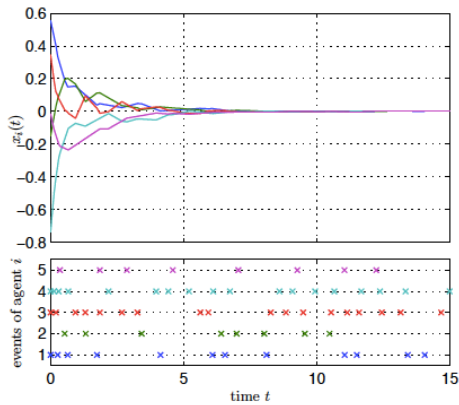
$$|e_i(t)| \leq c_0 + c_1 e^{-\alpha t}$$



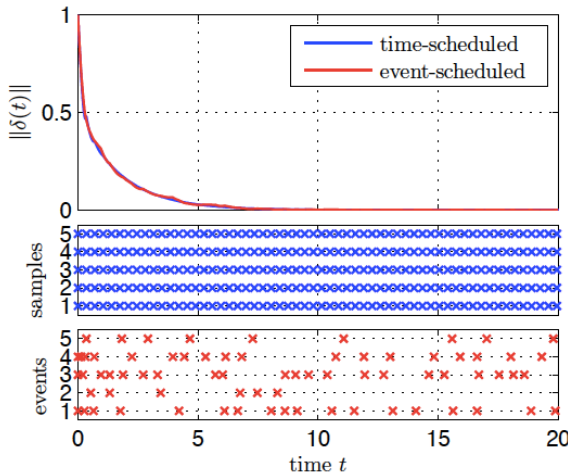
$$|e_i(t)| \leq 0.001 + 0.249 e^{-0.9\lambda_2(L)t}$$



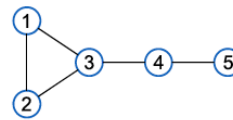
$$|e_i(t)| \leq 0.250 e^{-0.9\lambda_2(L)t}$$



### Example: Event- vs time-triggered sampling



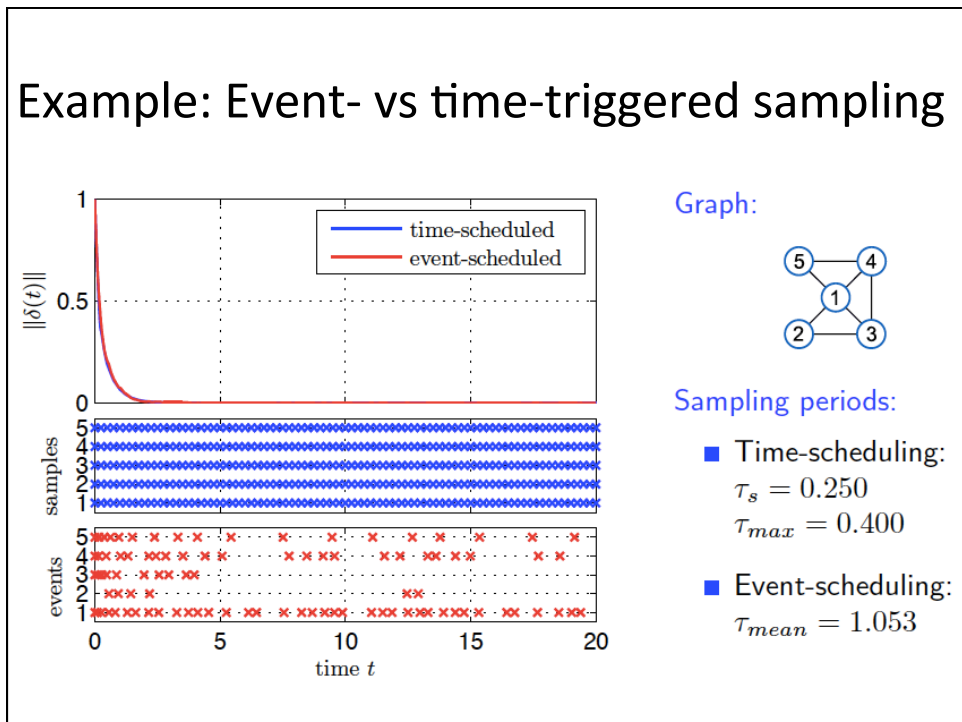
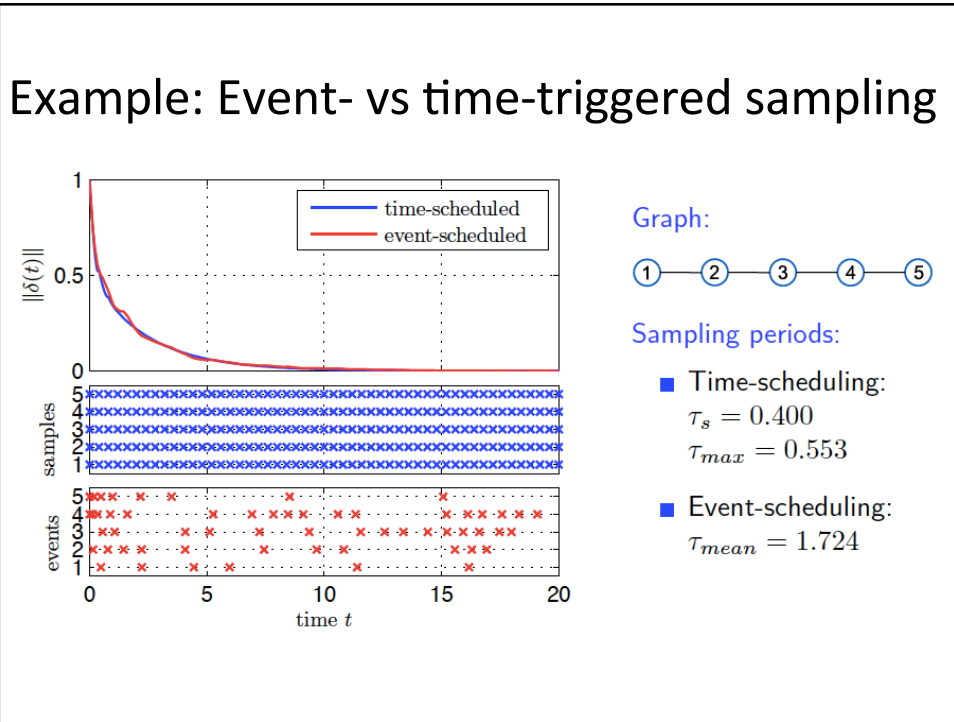
Graph:



Sampling periods:

- Time-scheduling:
  - $\tau_s = 0.350$
  - $\tau_{max} = 0.480$
- Event-scheduling:
  - $\tau_{mean} = 1.389$

$\tau_{max}$  : largest stabilizing sampling period, see [G. Xie et al., ACC2009](#)





## Extension to double-integrator agents

### Multi-agent system model

- $\dot{\xi}_i(t) = \zeta_i(t)$   
 $\dot{\zeta}_i(t) = u_i(t)$
- communication graph  $G$

### Objective: Average consensus

$$\zeta_i(t) \xrightarrow{t \rightarrow \infty} \frac{1}{N} \sum_{i=1}^N \zeta_i(0) = b$$

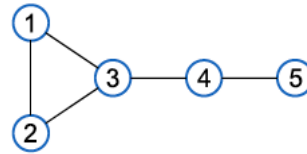
$$\xi_i(t) \xrightarrow{t \rightarrow \infty} \frac{1}{N} \sum_{i=1}^N \xi_i(0) + bt$$

### Consensus protocol

$$u(t) = -L\xi(t) - \mu L\zeta(t)$$

### Closed-loop dynamics

$$\begin{bmatrix} \dot{\xi} \\ \dot{\zeta} \end{bmatrix} = \underbrace{\begin{bmatrix} 0 & I \\ -L & -\mu L \end{bmatrix}}_{\Gamma} \begin{bmatrix} \xi \\ \zeta \end{bmatrix}$$



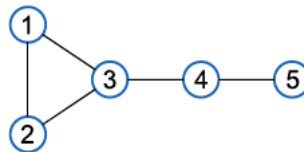
## Event-based implementation

### Multi-agent system model

- $\dot{\xi}_i(t) = \zeta_i(t)$   
 $\dot{\zeta}_i(t) = u_i(t)$
- communication graph  $G$

### Consensus protocol

$$u(t) = -L\xi(t) - \mu L\zeta(t)$$



$$u(t) = -L \left( \hat{\xi}(t) + \text{diag}(t - t_k^1, \dots, t - t_k^N) \hat{\zeta}(t) \right) - \mu L \hat{\zeta}(t)$$

$$\hat{\xi}_i(t) = \xi_i(t_k^i), \hat{\zeta}_i(t) = \zeta_i(t_k^i) \text{ for } t \in [t_k^i, t_{k+1}^i[$$

### Measurement errors

- $e_{\xi,i}(t) = (\hat{\xi}_i(t) + (t - t_k^i) \hat{\zeta}_i(t)) - \xi_i(t)$
- $e_{\zeta,i}(t) = \hat{\zeta}_i(t) - \zeta_i(t)$

## Event-based control for double-integrator agents

$$\begin{aligned} \dot{\hat{\xi}}(t) &= \zeta(t) \\ \dot{\zeta}(t) &= u(t) \end{aligned}, \quad u(t) = -L \left( \hat{\xi}(t) + \text{diag}(t - t_k^1, \dots, t - t_k^N) \hat{\zeta}(t) \right) - \mu L \hat{\zeta}(t) \quad (2)$$

### Theorem (double-integrator agents)

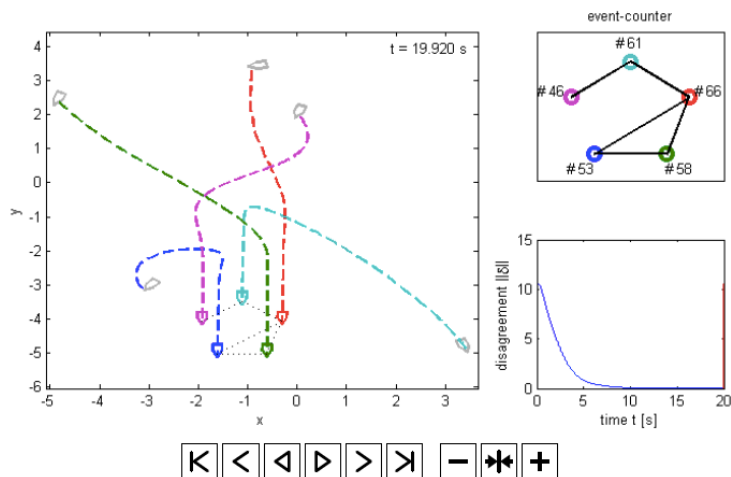
Consider system (2) with undirected connected graph  $G$ . Suppose that

$$f_i(t, e_{\xi,i}(t), e_{\zeta,i}(t)) = \left\| \begin{bmatrix} e_{\xi,i}(t) \\ \mu e_{\zeta,i}(t) \end{bmatrix} \right\| - (c_0 + c_1 e^{-\alpha t}),$$

with  $c_0, c_1 \geq 0$ , at least one positive, and  $0 < \alpha < |\Re(\lambda_3(\Gamma))|$ . Then, for all  $\xi_0, \zeta_0 \in \mathbb{R}^N$ , the system does not exhibit Zeno behavior and for  $t \rightarrow \infty$ ,

$$\|\delta(t)\| \leq c_0 c_V \frac{\lambda_N(L)}{|\Re(\lambda_3(\Gamma))|} \sqrt{2N}.$$

## Example: Formation control for non-holonomic mobile robots



■ uses feedback linearization and the double-integrator control strategy

**Summary**

- Multi-agent control under event-based communication
- Guaranteed convergence and well-posedness

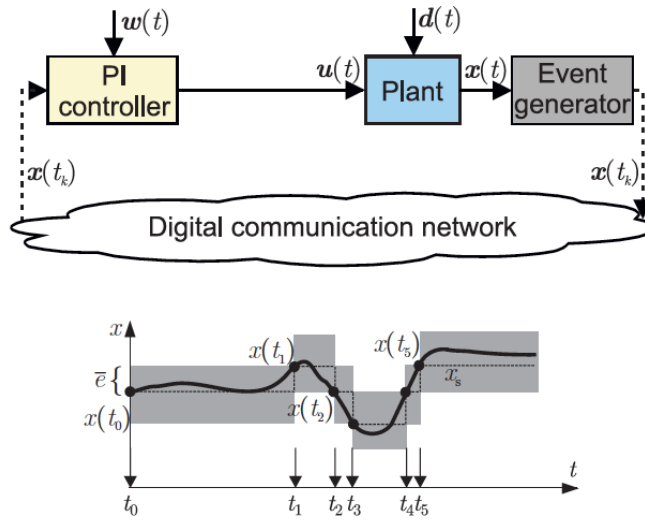
**Extensions**

- How to estimate  $\lambda_2(L)$  in a distributed way?
  - See Aragues et al. (ACC, 2012)
- How to handle general agent dynamics?
  - See Guinaldo et al. (IET, 2013)
- How to handle network delays and packet losses?
  - See Guinaldo et al. (CDC, 2012)

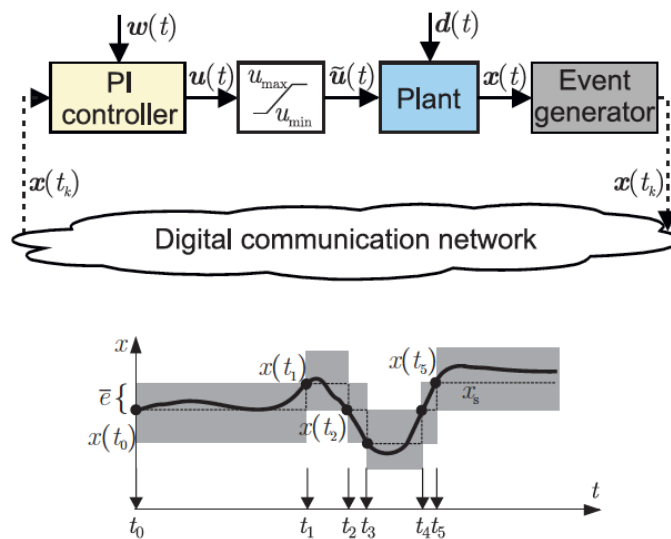
## Lecture 12 Outline

- Distributed event-based control
- **Anti-windup for event-based control**
- Event-based PID control

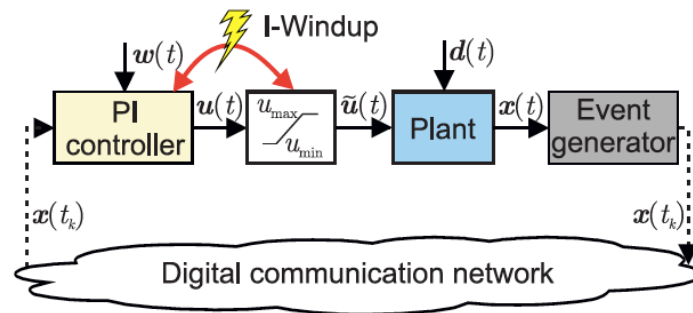
## Event-triggered PI control



## Event-triggered PI control with saturation



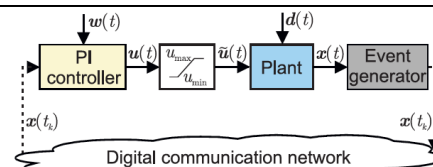
## Event-triggered PI control with saturation



► Industrial applications are generally affected by actuator limitations.

1. Does actuator saturation affect event-triggered PI control?
2. Under what conditions can we guarantee stability?
3. How to overcome potential effects of actuator saturation?

## Example



► Plant:

$$\begin{aligned}\dot{x}(t) &= 0.1x(t) + \tilde{u}(t) + 0.1d(t), & x(0) &= 0 \\ y(t) &= x(t)\end{aligned}$$

► Exogenous signals:

$$\begin{aligned}w(t) &= \bar{w} = 1.5 \\ d(t) &= \bar{d} = 0.1\end{aligned}$$

► Actuator saturation:

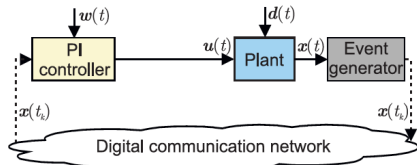
$$\tilde{u}(t) = \begin{cases} 0.4, & \text{for } u(t) > 0.4; \\ u(t), & \text{for } -0.4 \leq u(t) \leq 0.4; \\ -0.4, & \text{for } u(t) < -0.4; \end{cases}$$

► PI controller

$$\begin{aligned}\dot{x}_I(t) &= y(t) - w(t), & x_I(0) &= 0 \\ u(t) &= -x_I(t) - 1.6(y(t) - w(t))\end{aligned}$$

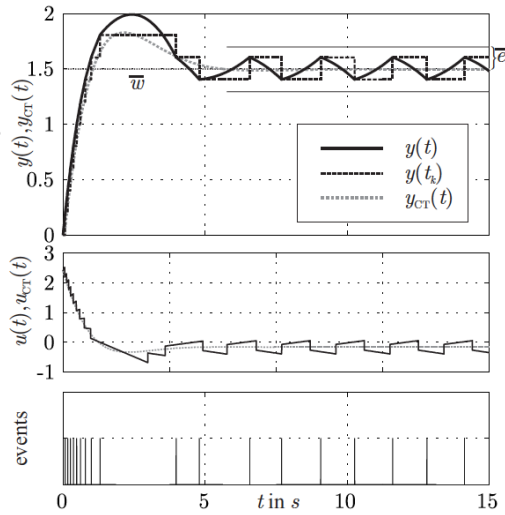
Lehmann, Johansson: Event-triggered PI control subject to actuator saturation. *IFAC PID conference*, 2012

## Example: Without saturation

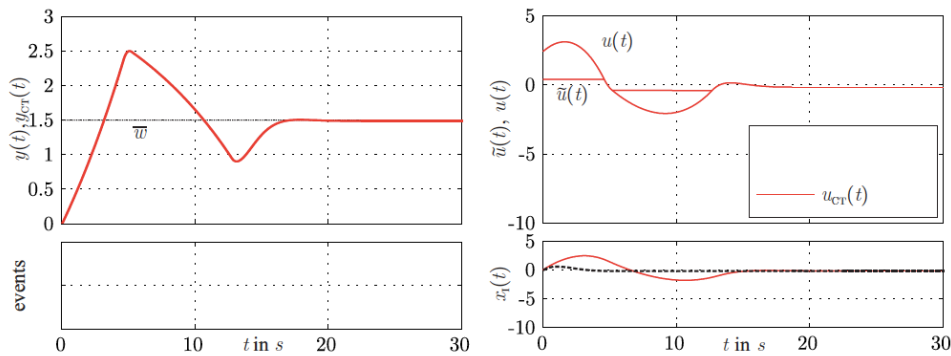
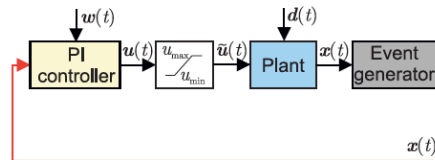


Event generator invokes a sensor transmission whenever output error reach a predefined fixed threshold:

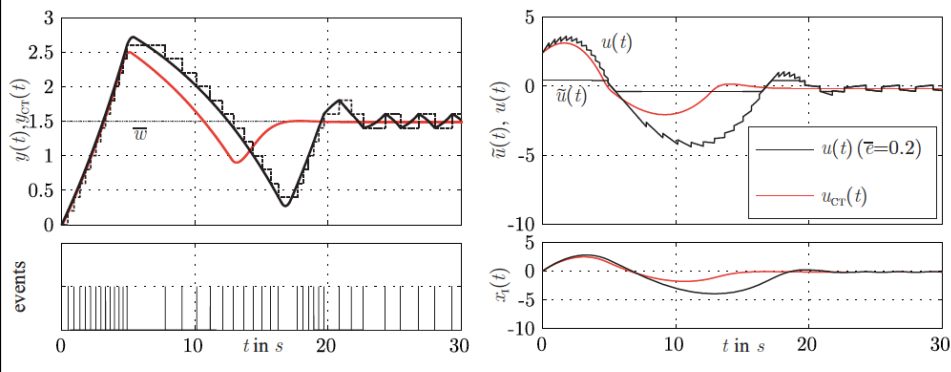
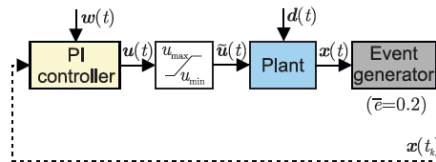
$$|e(t)| = |y(t) - y(t_k)| = \bar{e}.$$



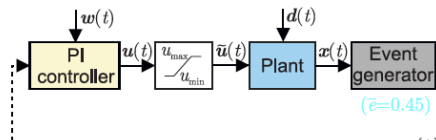
## Motivating example



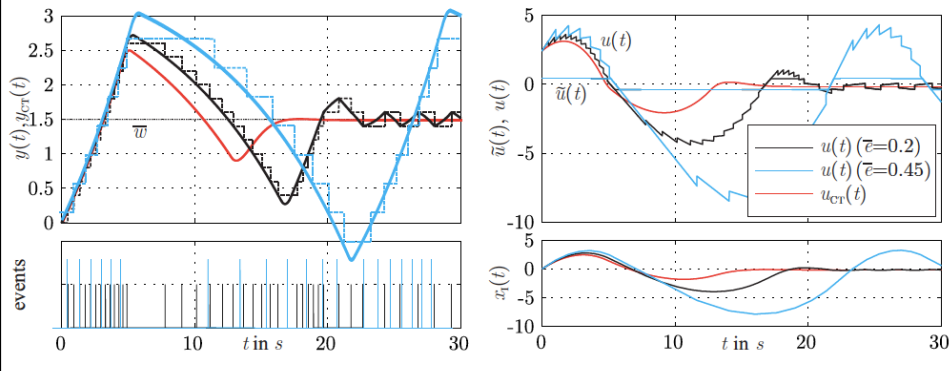
# Motivating example



# Motivating example



Need to take saturation and wind-up into account when designing event-based control systems



## Mathematical model

- ▶ Plant:

$$\dot{\mathbf{x}}(t) = \mathbf{A}\mathbf{x}(t) + \mathbf{B}\tilde{\mathbf{u}}(t) + \mathbf{E}d(t), \quad \mathbf{x}(0) = \mathbf{x}_0$$

$$\tilde{\mathbf{u}}(t) = \text{sat}(\mathbf{u}(t))$$

$$\text{sat}(u_i(t)) = \begin{cases} u_0, & \text{for } u_i(t) > u_0 \\ u_i(t), & \text{for } -u_0 \leq u_i(t) \leq u_0 \\ -u_0, & \text{for } u_i(t) < -u_0 \end{cases} \quad \forall i \in \{1, 2, \dots, m\}$$

- ▶ Event generator:  $\|\mathbf{x}(t) - \mathbf{x}(t_k)\| = \bar{e}$

- ▶ PI controller:

$$\dot{\mathbf{x}}_I(t) = \mathbf{x}(t) - \mathbf{e}(t) - \mathbf{w}(t), \quad \mathbf{x}_I(0) = \mathbf{x}_0$$

$$\mathbf{u}(t) = \mathbf{K}_I \mathbf{x}_I(t) + \mathbf{K}_P (\mathbf{x}(t) - \mathbf{e}(t) - \mathbf{w}(t))$$

- ▶ **State error:**  $\mathbf{e}(t) = \mathbf{x}(t) - \mathbf{x}(t_k)$

- ▶ For the sake of simplicity:  $\mathbf{w}(t) = d(t) = \mathbf{0}$

## Mathematical model

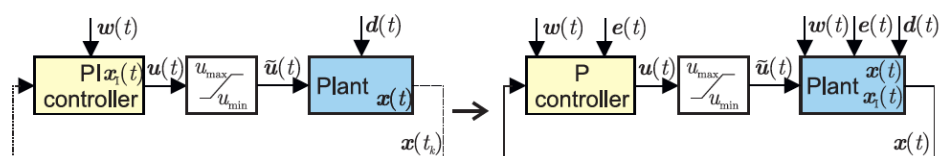
Augmented state vector:

$$\mathbf{x}_a(t) = \begin{pmatrix} \mathbf{x}(t) \\ \mathbf{x}_I(t) \end{pmatrix}$$

**State-space model of the event-triggered PI-control loop:**

$$\dot{\mathbf{x}}_a(t) = \mathbf{A}_I \mathbf{x}_a(t) + \mathbf{B}_I \text{sat}(\mathbf{K}_I \mathbf{x}_I(t) + \mathbf{K}_P (\mathbf{x}(t) - \mathbf{e}(t))) - \mathbf{F}_I \mathbf{e}(t)$$

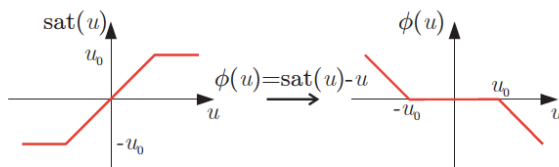
$$\mathbf{x}_a(0) = \mathbf{x}_{a0}$$





## Transformation of saturation nonlinearity

$$\phi(u) = \text{sat}(u) - u$$



Transformed state-space model of the event-triggered PI-control loop:

$$\begin{aligned} \dot{x}_a(t) &= \bar{A}_I x_a(t) + B_I \phi(K x_a(t) - K_P e(t)) - F_I e(t) \\ x_a(0) &= x_{a0} \end{aligned}$$

$$\bar{A}_I = \begin{pmatrix} A + BK_P & BK_I \\ I & O \end{pmatrix}; B_I = \begin{pmatrix} B \\ O \end{pmatrix}; F_I = \begin{pmatrix} BK_P \\ I \end{pmatrix}; K = (K_P \quad K_I)$$

Nonlinearity transformation enables tighter stability conditions [Tarbouriech et al, 2006]

### Theorem: Region of stability

If there exist a symmetric positive definite matrix  $W$ , a positive definite diagonal matrix  $S$ , a matrix  $Z$ , a positive scalar  $\eta$  and two a priori fixed positive scalars  $\tau_1$  and  $\tau_2$  satisfying

$$\begin{bmatrix} W \bar{A}_I^T + \bar{A}_I W + \tau_1 W & B_I S - W K^T - Z^T & -F_I \\ * & -2S & -K_P \\ * & * & -\tau_2 R \end{bmatrix} < 0$$

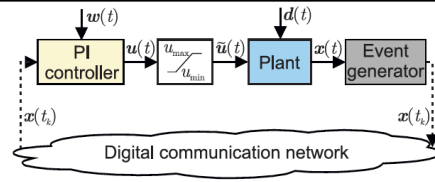
$$-\tau_1 \delta + \tau_2 \eta < 0$$

$$\begin{bmatrix} W & Z_i^T \\ * & \eta u_0^2 \end{bmatrix} \geq 0, i \in 1, \dots, m$$

then for  $e \in \mathcal{W} = \{e : e^T R e = \delta^{-1}\}$  ( $R = I, \delta^{-1} = \bar{e}^2$ ) the ellipsoid  $\mathcal{E} = \{x_a : x_a^T P x_a = \eta^{-1}\}$ , with  $P = W^{-1}$ , is a region of stability.

- Computational tool to estimate region of stability for saturated event-based control
- Extends results for continuous-time systems [Tarbouriech; Zaccarian & Teel, 2011]

# Example revisited



- ▶ Plant:

$$\begin{aligned} \dot{x}(t) &= 0.1x(t) + \tilde{u}(t) + 0.1d(t), \quad x(0) = 0 \\ y(t) &= x(t) \end{aligned}$$

- ▶ Exogenous signals:

$$\begin{aligned} w(t) &= \bar{w} = 1.5 \\ d(t) &= \bar{d} = 0.1 \end{aligned}$$

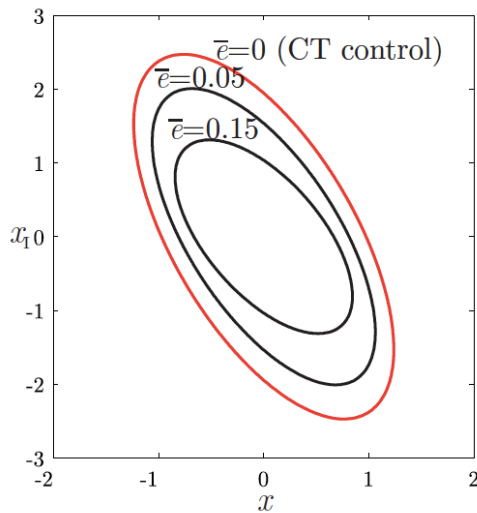
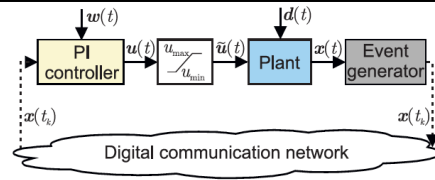
- ▶ Actuator saturation:

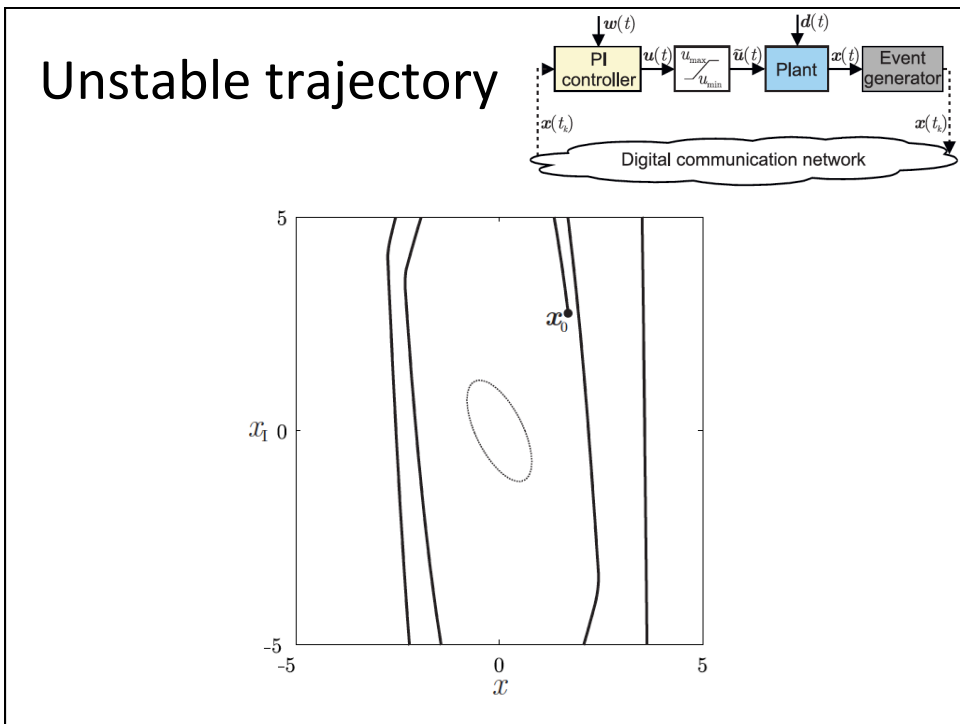
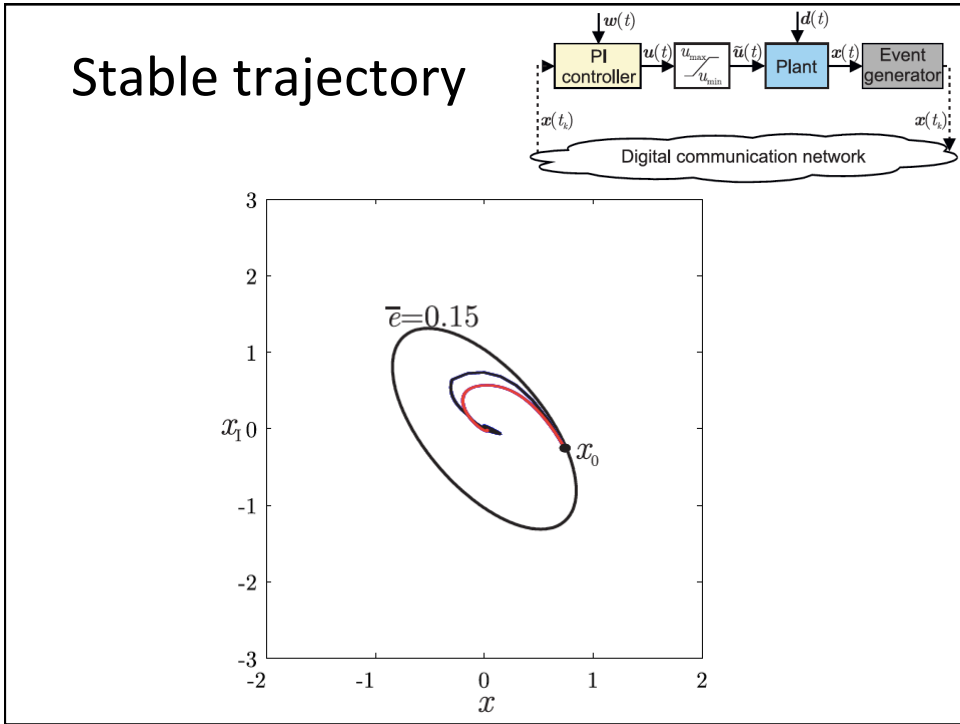
$$\tilde{u}(t) = \begin{cases} 0.4, & \text{for } u(t) > 0.4; \\ u(t), & \text{for } -0.4 \leq u(t) \leq 0.4; \\ -0.4, & \text{for } u(t) < -0.4; \end{cases}$$

- ▶ PI controller

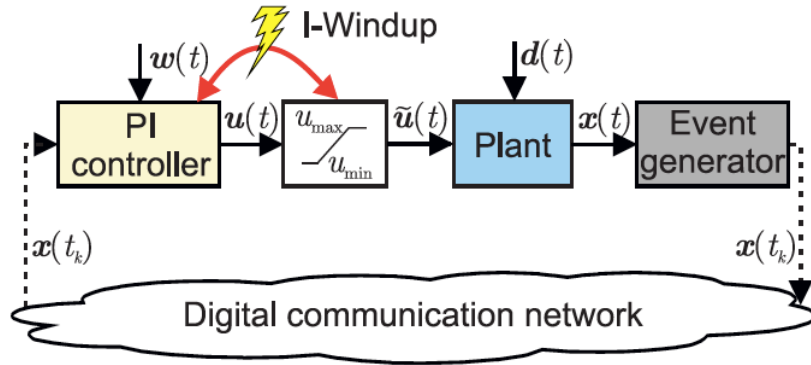
$$\begin{aligned} \dot{x}_1(t) &= y(t) - w(t), \quad x_1(0) = 0 \\ u(t) &= -x_1(t) - 1.6(y(t) - w(t)) \end{aligned}$$

# Stability regions

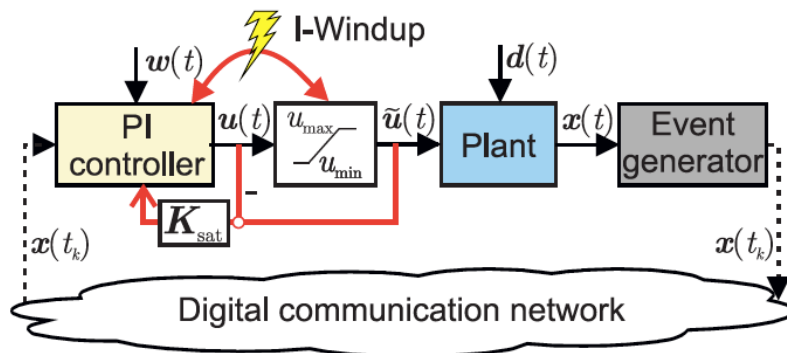




## Anti-windup for event-based control

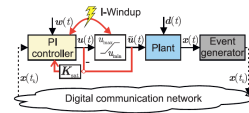
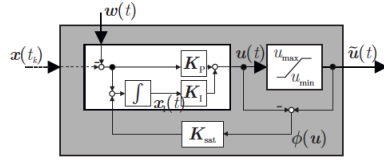


## Anti-windup for event-based control



Anti-windup schemes for conventional control systems, e.g., Åström & Hägglund, 1995

## Anti-windup for event-based PI control



- ▶ Adapted dynamics of the controller state:

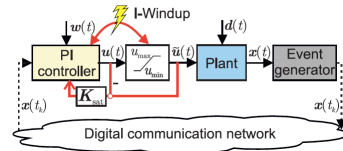
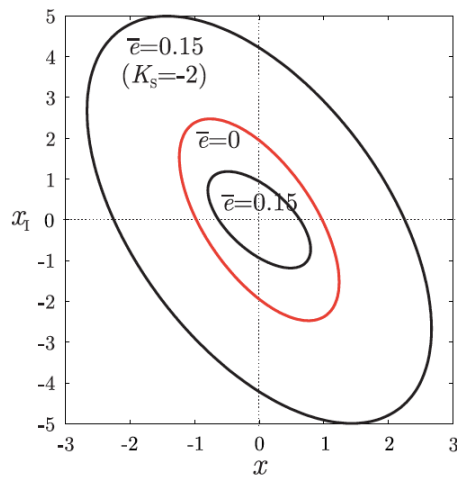
$$\dot{x}_I(t) = x(t) - e(t) - w(t) + K_{\text{sat}}\phi(u), \quad x_I(0) = x_{I0}$$

- ▶ Transformed state-space model of the event-triggered PI-control loop:

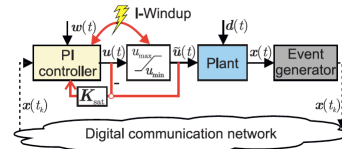
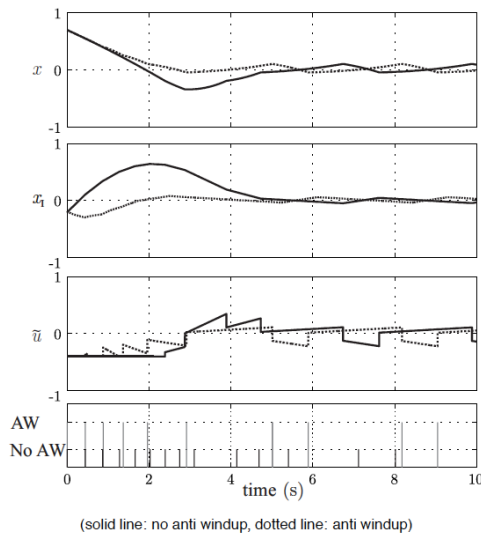
$$\dot{x}_a(t) = \bar{A}_I x_a(t) + B_I \phi(K x_a(t) - K_P e(t)) - F_I e(t), \quad x_a(0) = x_{a0}$$

$$\bar{A}_I = \begin{pmatrix} A + BK_P & BK_I \\ I & O \end{pmatrix}; B_I = \begin{pmatrix} B \\ K_{\text{sat}} \end{pmatrix}; F_I = \begin{pmatrix} BK_P \\ I \end{pmatrix}; K = \begin{pmatrix} K_P & K_I \end{pmatrix}$$

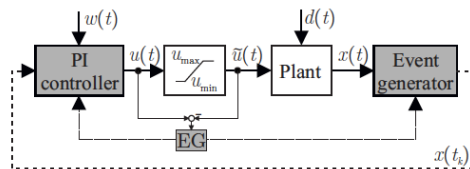
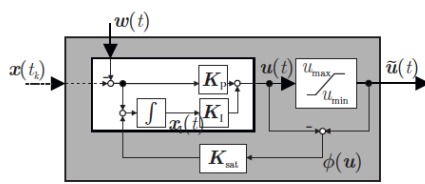
## Example: Stability regions with anti-windup



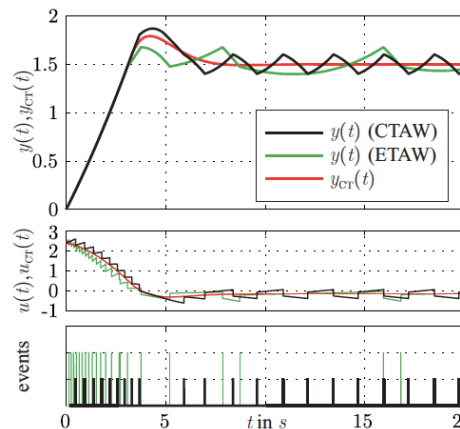
## System evolution with anti-windup



## Alternative event-based anti-windup scheme



$$|u(t) - u(t_k)| = \bar{e}_c \quad \text{if} \quad \tilde{u}(t) - u(t) \neq 0$$



**Summary**

- Actuator saturation significantly affects event-based control
- Region of stability computable by means of LMIs.
- Anti-windup improves both the behavior of the event-based control loop and the size of the region of stability

**Extensions**

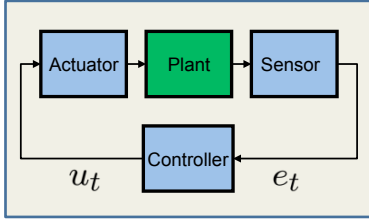
- General controller dynamics and other triggering rules
  - See Kiener et al. (DEDS, 2013)
- Many possibilities for combining event-based signaling with various feedback control schemes
  - Other anti-windup schemes, feedforward control etc

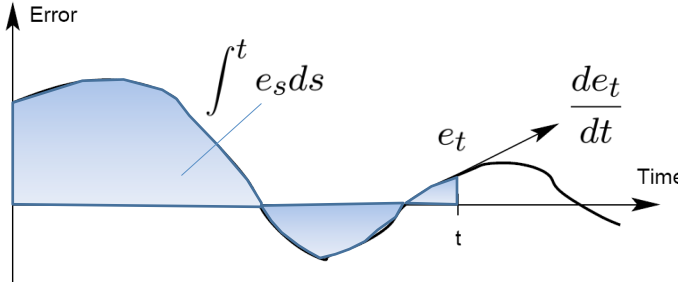
## Lecture 12 Outline

- Distributed event-based control
- Anti-windup for event-based control
- **Event-based PID control**

### Proportion-Integral-Derivative control

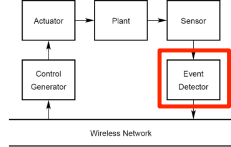
$$u_t = K_P e_t + K_I \int^t e_s ds + K_D \frac{de_t}{dt}$$

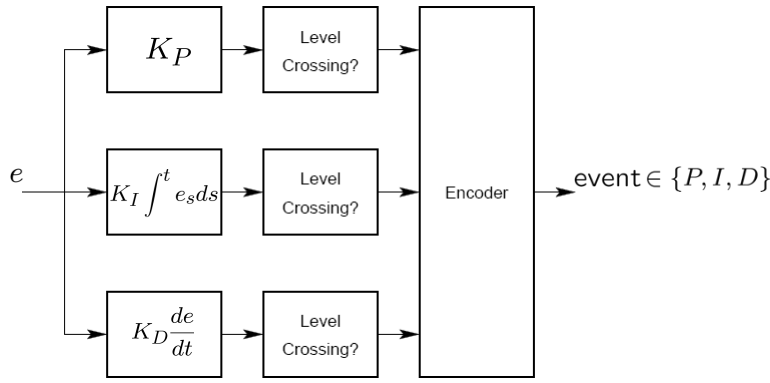




**How extend PID control to event-based control?**

### Event-detector for PID control





Rabi and J., WICON, 2008



## Control generator for PID control

Control alphabet consists of three symbols, which are activated depending on the event

Rabi and J., WICON, 2008

## Example: Integral control

I-sampling with  $\eta = 0.5, \delta u = 0.5$

Communicate only when integral error triggers events

## Lecture 12 Outline

- Distributed event-based control
- Anti-windup for event-based control
- Event-based PID control