

# Equivalent Artin algebraization

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## Introduction

state Heisenberg  
ingredients

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## Artin approximation

- basic

- functorial p.o.v.

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Σ 22

Application: formal vs alg equiv.

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Application: isolated ~~pts~~ pts.

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## Artin algebraization

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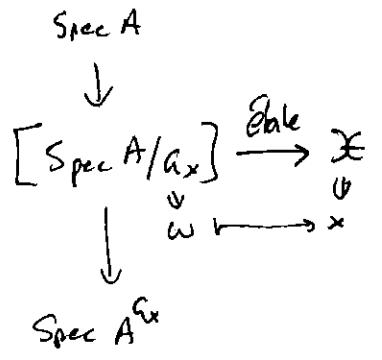
→ Applications of main thm

↪ Proof of main thm

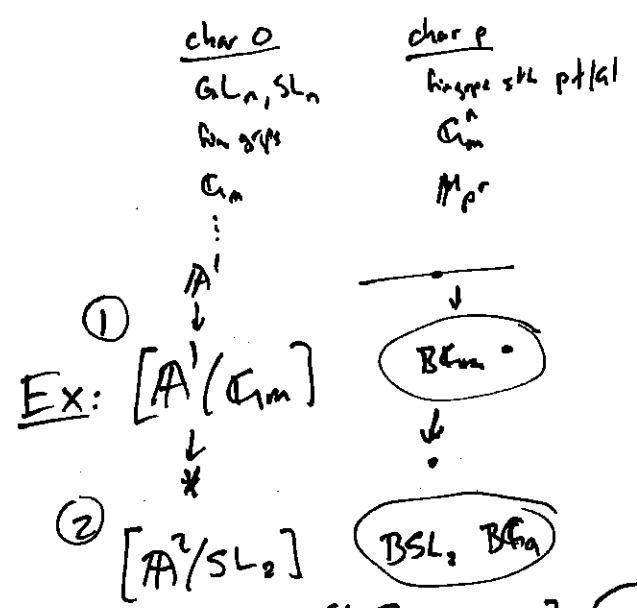
Desc of stacky Artin algebraization

w/ affine stabilizer groups

Thm (Alper-Hall-R'14)  $\mathcal{X}$  alg. stack of f.t./h.  $x \in \mathcal{X}(k)$  closed point, w/ stabilizer  $G_x$  (group scheme ft). Assume  $G_x$  linearly reductive. (can't all...)



" $\mathcal{X}$  is étale-locally a quotient stack"



Ingredients

**I** Complete local stacks "Categorical existence"  
 $G$  lin red  $\curvearrowright A$ . Suppose  $A^G$  complete local, and fixes  $m \subset A$  maximal. Then

$$\begin{array}{ccc}
 \text{Coh}^G(A) & \xrightarrow{\cong} & \varprojlim \text{Coh}^G(A/m^n) \\
 \parallel & & \parallel \\
 \text{Coh}(\mathcal{X}) & \xrightarrow{\cong} & \varinjlim \text{Coh}(\mathcal{X}^{[n]})
 \end{array}$$

Spec  $A$

$$\mathcal{X} = [A/a]$$

$$\mathcal{X}^{[n]} = [\text{Spec}(A/m^n)/a]$$

**II** Tannaka duality

$$\begin{aligned}
 \text{Hom}(\mathcal{X}, \mathcal{Y}) &= \text{Hom}_{\mathcal{O}}(\text{Coh}(\mathcal{Y}), \text{Coh}(\mathcal{X})) \\
 &= \text{Hom}_{\mathcal{O}}(\text{Coh}(\mathcal{Y}), \varprojlim \text{Coh}(\mathcal{X}^{[n]})) \\
 &= \varinjlim \text{Hom}_{\mathcal{O}}(\text{Coh}(\mathcal{Y}), \text{Coh}(\mathcal{X}^{[n]})) \\
 &= \varinjlim \text{Hom}(\mathcal{X}^{[n]}, \mathcal{Y})
 \end{aligned}$$

$\mathcal{X} = \varinjlim \mathcal{X}^{[n]}$

**III** Artin algebraicity (equivariant version)

Artin approximation [Art69a]

A henselian local excellent.

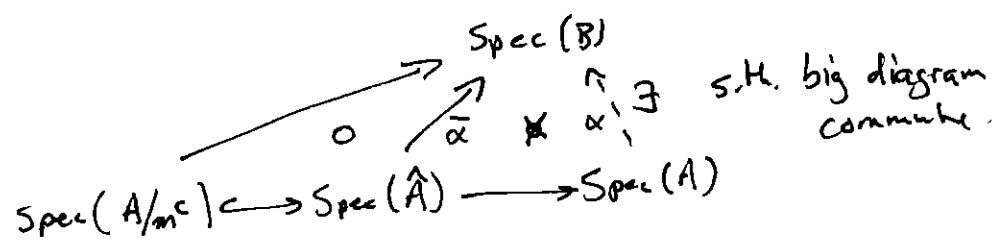
$A \rightarrow \hat{A}$  completion.

sol to (\*) in  $\hat{A}$

(\*)  $\begin{cases} f_1(x_1, \dots, x_n) = 0 \\ \vdots \\ f_m(x_1, \dots, x_n) = 0 \end{cases} \quad f_j \in A[x_1, \dots, x_n]$

$B = A[x_i] / (f_j) \xrightarrow{\bar{\alpha}} \hat{A}$

Artin/Popescu:  $\exists B \xrightarrow{\alpha} A$  s.t.  $\hat{\alpha}: B \rightarrow \hat{A}$   
 since  $B \xrightarrow{\bar{\alpha}} \hat{A}$   $\alpha \equiv \hat{\alpha} \pmod{c}$



Functorial point of view

Replace  $\text{Spec } B$  w/ functor  $F: \text{Sch}^{\text{op}} \rightarrow \text{Set}$ .

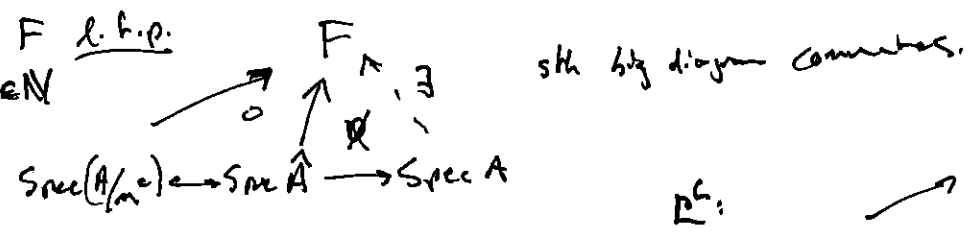
Notation:  $\sum_{\text{Sch}}^x \rightarrow F = x \in F(\mathbb{Z})$

Ex:  $F = \text{Hom}_{\text{Sch}}(-, Y) = h_Y$

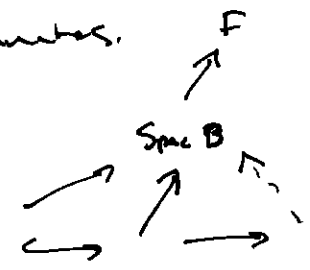
Def:  $F$  loc. fin. pres:  $\varinjlim F(\text{Spec } A_i) \xrightarrow{\sim} F(\text{Spec}(\varinjlim A_i))$   $\{A_i\}$  direct system of k-als.

Ex:  $h_Y$  l.f.p.  $\Leftrightarrow Y$  l.f.p./h. = l.f.t.

Thm (AA):  $F$  l.f.p.  $\Leftrightarrow c \in \mathbb{N}$

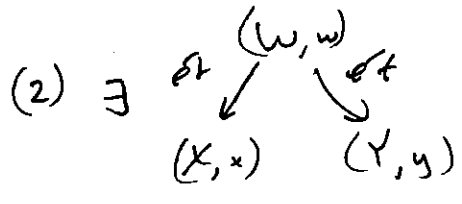


$\mathbb{Z}$ :



Appl 1: Formal vs algebraic equivalence. TFAE

(Artin '69)  $X, Y$  f.b./h.  $(1) \hat{\mathcal{O}}_{X,x} \cong \hat{\mathcal{O}}_{Y,y}$



pf:

$\text{Spec } \hat{\mathcal{O}}_{X,x} \xrightarrow{\cong} \text{Spec } \hat{\mathcal{O}}_{Y,y}$  (1) Artin approximation ( $c=2$ ).

$\hat{\varphi}^h \cong \bar{\varphi}$  (need  $m^2$ )  
 $\Rightarrow \hat{\varphi}$  isomorphism (but not equal to  $\bar{\varphi}$ !)

(2) Easy approx.

$\begin{array}{ccc} W & & \\ \downarrow & \searrow \varphi & \\ \text{Spec } \mathcal{O}_{X,x} & & Y \end{array}$   $\hat{\varphi}$  iso  $\Rightarrow \varphi$  étale.

*(Note: The diagram shows a commutative square with  $\text{Spec } \hat{\mathcal{O}}_{X,x}$  at the top-left,  $\text{Spec } \hat{\mathcal{O}}_{Y,y}$  at the top-right,  $\text{Spec } \mathcal{O}_{X,x}$  at the bottom-left, and  $Y$  at the bottom-right. Arrows indicate the natural maps between these spaces.)*

Anal 2  
Ex: Algebraicity of isolated singularities

$$\hat{A} = k[[x_1, \dots, x_n]] \twoheadrightarrow \bar{B}$$

$$A = k[x_1, \dots, x_n]^h$$

Thm (Artin '69) Assume  $\text{Spec}(\bar{B})$  form. smooth outside origin.

Then  $\exists A \twoheadrightarrow B$  s.t.  $\hat{B} \cong \bar{B}$ .

pf: Resolve  $\hat{A} \xrightarrow{\varphi_2} \hat{A} \xrightarrow{\varphi_1} \hat{A} \twoheadrightarrow \bar{B} \rightarrow 0$

NB: B unique by Etkv equivalence.

Approach

$$A \xrightarrow{\varphi_2} A \xrightarrow{\varphi_1} A$$

s.t.  $\varphi_1 \circ \varphi_2 = 0$  (complex) and  $\hat{\varphi}_1 \equiv \bar{\varphi}_1 \pmod{c}$   
 $\hat{\varphi}_2 \equiv \bar{\varphi}_2 \pmod{c}$   
 eqn! not exact

Define  $B = \text{coker } \varphi_1$ .

Hironaka '68: For  $c > 0$ ,  $\hat{B} \cong \bar{B}$ .

Whitney '65  $\exists$  analytic <sup>hypersurface</sup> ~~submanifold~~  $V \subset \mathbb{C}^3$  s.t.  $\mathcal{O}_{V,p}$  not algebraic.

(not isolated sing)  
 $S$  smooth  $\mathbb{C}^2$   
 meeting in a line

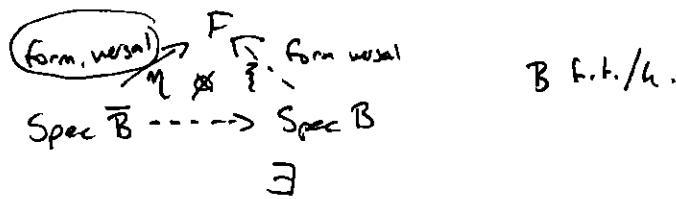
normal analytic hypersurface  $W \subset \mathbb{C}^4$   
 s.t.  $\mathcal{O}_{W,p}$  not algebraic.

Artin algebraization [Art 69b]

Question: When is a complete local k-alg.  $\hat{k}[[x_1, \dots, x_n]]/\mathcal{I} = \bar{B}$   
 the completion of B lin. type/k?

Magic: <sup>hoch</sup> Formal versality.

Thm (Artin '69) F functor l.f.p. Given form versal  $\text{Spec } \bar{B} \xrightarrow{\eta} F$  then  $\exists$ :



Def:  $\text{Spec } \bar{B} \xrightarrow{\eta} F$  form versal means  $\forall R' \twoheadrightarrow R$  surj of artinian rings  
 $\exists \text{ } \text{Spec } R \hookrightarrow \text{Spec } R' \xrightarrow{\eta'} F$  sq zero kernel

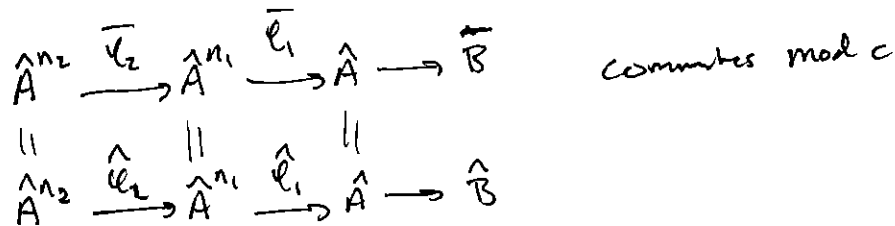
pf: (Conrad-de Jong '02)  $A = k[x_1, \dots, x_n]^h$   
 $\hat{A} = k[[x_1, \dots, x_n]] \twoheadrightarrow \bar{B}$

Choose rs.  $\hat{A}^{n_2} \xrightarrow{\bar{\varphi}_2} \hat{A}^{n_1} \xrightarrow{\bar{\varphi}_1} \hat{A} \twoheadrightarrow \bar{B}$ . Pick  $c \in \mathbb{N}$  s.t.h.

"Artin-Rees lemma works for c" for  $\bar{\varphi}_1$  and  $\bar{\varphi}_2$  ( $AR_c$ ).

Approx.  $A^{n_2} \xrightarrow{\varphi_2} A^{n_1} \xrightarrow{\varphi_1} A$  s.t.h.  $\varphi_1, \varphi_2 = 0$ .  $\hat{\varphi}_i \equiv \bar{\varphi}_i \pmod{c}$ .

Define  $B = \text{coher } \varphi_1$ . Have



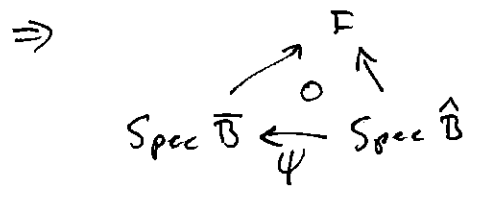
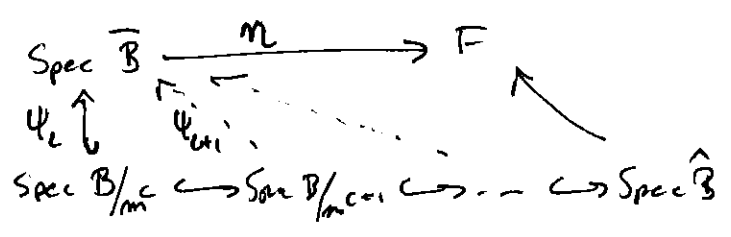
$(AR)_c$  for  $\bar{\varphi}_2$  and  $\bar{\varphi}_1 \Rightarrow (AR)_c$  holds for  $\hat{\varphi}_1$  (and bottom complex exact)

$\Rightarrow \text{gr}_m \bar{B} \cong \text{gr}_m \hat{B}$ . simple algebra

$$\bigoplus_{n \geq 0} m^n \bar{B} / m^{n+1} \bar{B}$$

Artin alg. (cont)

pt (cont)



$\psi$  isom mod  $c$  by const.  $\Rightarrow \psi$  closed imm.

$$g_m^r \bar{B} \cong g_m^r \hat{B} \Rightarrow \psi \text{ isom.}$$

↑  
not commutative!  
for  $\psi$ .

$(AR)_c$  for  $\varphi: M \rightarrow N$   $A$ -module homo.

$$\varphi(M) \cap m^n N \subseteq \varphi(m^{n-c} M) \quad \forall n \geq c$$

Artin-Rees Lemma:  $\exists c$ . s.t.  $(AR)_c$  holds.

Complete local rings and stacks

(I) A complete local noether ring.

$$\text{Coh}(A) \xrightarrow{\Psi} \varprojlim_n \text{Coh}(A/m^n)$$

$\Psi$  isom  $\Leftrightarrow A$  complete.

closed subsch. def  
by  $m\mathcal{O}_X = \mathcal{I}$

$$X^{[0]} = \rho^{-1}(*) \hookrightarrow X$$

$$\downarrow \qquad \qquad \downarrow$$

$$* \hookrightarrow \text{Spec } A$$

$$X^{[n]} \hookrightarrow X$$

$m^{n+1}\mathcal{O}_X = \mathcal{I}^{n+1}$

$$\downarrow \qquad \qquad \downarrow$$

$$\text{Spec } A/m^n \hookrightarrow \text{Spec } A$$

(II) Grothendieck's existence thm.

$$X \xrightarrow{P} \text{Spec}(A) \text{ proper (scheme / stack)}$$

Then  $\text{Coh}(X) \xrightarrow[\cong]{\Psi} \varprojlim_n \text{Coh}(X^{[n]})$

Def:  $X^{[0]} \hookrightarrow X$ . Say  $X$  coh. complete along  $X^{[0]}$  if closed

$$\text{Coh}(X) \xrightarrow[\cong]{\Psi} \varprojlim_n \text{Coh}(X^{[n]})$$

where  $X^{[n]}$  n-th iter. of  $X^{[0]}$ .

(I):  $\text{Spec } A$  coh complete along closed pt.

(II)  $X \xrightarrow{\pi} \text{pt}$  closed fiber.

Cons. of.

Tannaka formalism:  $X$  coh complete along  $X^{[0]}$ .  $X$  excellent.  
(HR'14)  $Y$  alg. stack w/ alt. stck.

$$\text{Hom}(X, Y) \xrightarrow[\cong]{\varprojlim_n} \varprojlim_n \text{Hom}(X^{[n]}, Y)$$

That is: 
$$X = \varinjlim_n X^{[n]}$$



1/4  
(AHR)

Thm:  $A$  <sup>noeth</sup> ring,  $G$  lin red. group scheme /  $h \subset A$ . Suppose  $A^G$  complete local. Suppose  $G$  fixes  $m$ , max ideal. Then

$$\text{Coh}^G(A) \cong \varprojlim \text{Coh}^G(A/m^n)$$

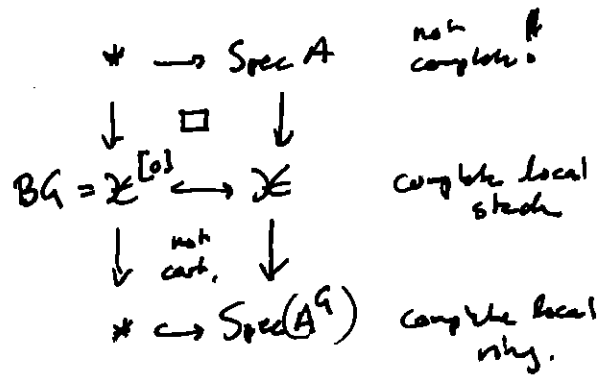
Stacky int.

$$\mathcal{X} = [\text{Spec}(A)/G]$$

$$\mathcal{X}^{[n]} = [\text{Spec}(A/m^n)/G]$$

$$\text{Coh } \mathcal{X} \xrightarrow{\cong} \varprojlim \text{Coh}(\mathcal{X}^{[n]})$$

i.e.  $\mathcal{X}$  complete along  $\mathcal{X}^{[0]}$ .



Examples

$$[A^1/G_m] = \text{B}G_m \rightarrow \bullet = \text{Spec } k[t]^{G_m} = \text{Spec } k$$

$$[A^2/SL_2] = \text{B}SL_2 \rightarrow \bullet$$

$$[A^2/G_m^2] = \text{B}G_m \times \text{B}G_m \rightarrow \bullet$$

$$[A^2/G_m] = \text{B}G_m \times \mathbb{P}^1 \rightarrow \bullet$$

$$[\mathbb{P}^1/G_m] = \text{B}G_m \rightarrow \bullet \text{ no gms.}$$

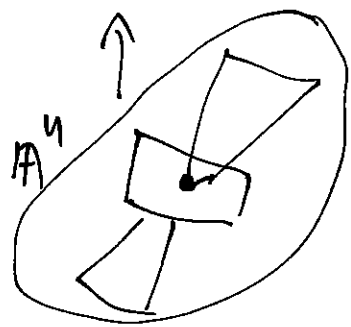
$$[X/G_m] \rightarrow [X/G_m] = \text{B}G_m \text{ sing}$$



$$[A^4/G_m] = \mathbb{P}^1 \times \text{B}G_m \times \mathbb{P}^1 \times X^{ns} \rightarrow \bullet$$

$$X = \text{Spec } k[xz, xw, yz, yw] / (xz)(yw) - (xw)(yz)$$

wts 1, 1, -1, -1  
x, y, z, w

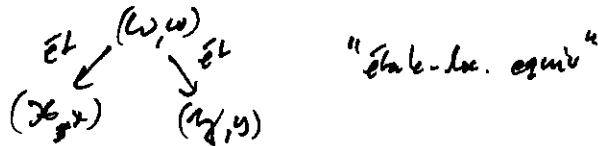


Applications:

1) Completion at  $x \in X$  exists:  $\hat{X}_x \rightarrow [\text{Spec } A / \mathfrak{a}_x]$

$$\begin{array}{ccc} \hat{X}_x & \longrightarrow & [\text{Spec } A / \mathfrak{a}_x] \\ \downarrow & & \downarrow \\ \text{Spec } \hat{A}_x & \longrightarrow & \text{Spec } A_{\mathfrak{a}_x} \end{array}$$

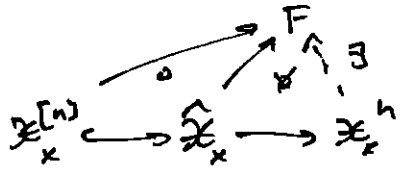
2) If  $X, Y$  stacks (or schemes) and  $\hat{X}_x \cong \hat{Y}_y$  then  $\exists$



More appl:

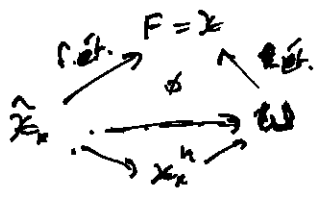
- Luna
- Sumihiro
- actions on spaces (Drinfeld)
- Birkhoff-Birch for DM-stacks
- compact generation of der. categories
- theory of good moduli spaces.
- equivalent to minimal deform. spaces
- toric Artin stacks.
- Grothendieck existence / Formal GAGA

Shelley Artin algebraization approx.



straightened form AA.

Shelley Artin algebraization



Proof of main theorem:

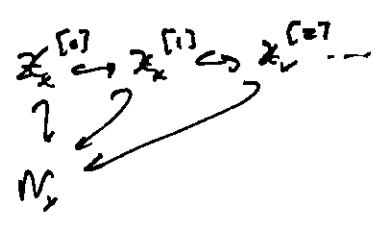
Step 1:  $X_x^{[0]} \hookrightarrow X_x^{[1]} \hookrightarrow \dots$

(Deformation theory: simple)

Step 2a: "effective"  $W_x = [N_x / \mathcal{O}_x]$  normal stud.

$\Rightarrow \exists X_x^hat \hookrightarrow W_x$

$W_x^hat$  coh complete



(deformation theory)

Step 2b: "effective" Tannaka duality

$X_x^hat \longrightarrow X$

Step 3 "algebraize"  $X_x^hat \hookrightarrow W \xrightarrow{et} X$